From 22 May to 28 June 2016, Iraqi security forces sought to recapture Fallujah and its surrounding suburbs from ISIL. More than 100,000 civilians were in areas where fighting occurred, raising serious and sensitive questions about protection of civilians and the conduct of hostilities. By end of June, over 85,000 civilians had fled. During the military operation, civilians were at extreme risk from cross-fire, artillery, and IEDs. In a number of instances, families were at risk as they tried to escape along evacuation routes. Serious abuses at informal screening sites, including torture and disappearances, were reported.

Practical steps for senior humanitarian leaders to take forward their responsibilities to protect civilians

- Establish networks to supply real time information on the protection situation of the civilian population.
- Use Humanitarian Civil Military Coordination experts for information collection, networking, and advocacy.
- Use an International Humanitarian Law and Human Rights framework in public and private advocacy.
- Convene a small group of Humanitarian Coordination Team members, led by the Humanitarian Coordinator, to coordinate advocacy. Build on the complementarities of Humanitarian Coordination Team members who have access to different types of stakeholders. Employ the good offices of the Humanitarian Coordinator and SRSG for advocacy.

Senior leadership to protect civilians:

In Iraq, the Humanitarian Coordinator worked with a wide range of key political, military, and diplomatic decision-makers seeking concrete measures to protect civilians inside Fallujah and those attempting to escape. Major efforts were also taken by the civil-military liaison team and protection partners. The steps taken included:

1. Engaging in direct, real time diplomacy with Iraqi leaders and security forces to minimise casualties and protect civilians.
2. Reaching out through the media to influence the behaviour of parties to the conflict.
3. Tracking conditions on the ground through local networks and channelling real-time information on evacuation routes and the location of the informal screening sites to families attempting to flee.
4. Engaging with military commanders on the location and management of evacuation routes.
5. Engaging with political and diplomatic leaders on the location and conditions in formal and informal screening sites.

Making use of the humanitarian principles to deal with operational dilemmas

Humanitarian organisations inevitably face acute protection and operational dilemmas in front-line operations. With the Fallujah operation, actors faced the dilemma of whether to provide humanitarian services in screening centers where violations were reportedly occurring. This placed the humanitarian imperative against principles such as impartiality, do no harm and respect for fundamental human rights.

1. Based on the efforts of the Shelter Cluster, the Protection Cluster worked to update one of the Humanitarian Country Team’s key protection documents, Taking a Principled Approach: Framework for Defining Humanitarian Engagement When Humanitarian and Protection Concerns are present.
2. The intention of the framework is to provide front-line partners with concrete guidance on the parameters of operations when faced with protection dilemmas.

3. The framework sets out the thresholds for engagement and provides guidance on the types of response. The process of developing the framework has been inclusive.

4. The inter-Cluster Coordination Group requested a single document with concrete guidance on protection measures and policies. The Shelter/NFI cluster worked on early drafts, which were expanded on by the Protection Cluster and shared with the Inter Cluster Coordination Group. Each cluster has provided input to ensure practical applicability, with a colour coded – red, yellow, and green - system. The Humanitarian Country Team is currently reviewing the framework. Once endorsed, the framework will be disseminated to all front-line partners.

Potential next steps for the response

- Build on and strengthen Civil Military Coordination capacity to support information gathering, networking and engagement.
- Strengthen engagement of Humanitarian Country Team representatives to utilise their good offices and influence at their levels.
- Do not refer to operational dilemmas framework as a ‘Red lines’, as it implies restrictive obligations. Emphasise that it is a tool to assist front line workers deal with operational dilemmas through a principled framework.
- Ensure that the framework is rolled out to front-line staff.
- Have International Humanitarian Law training for protection cluster members, including national and local partners.
- Look at opportunities for strengthening humanitarian advocacy competencies in humanitarian leaders.

“Protection is the foundation of all humanitarian work, and humanitarians have a duty to do all they can to protect civilians whenever and wherever they are at risk. Like never before, disrespect for International Humanitarian Law and the obligation to protect civilians is increasing. Nonetheless, and no matter how difficult the task, protection should be a central concern in all humanitarian operations”.

This information sheet is based on findings from the P2P Support learning mission to Iraq. For more information, please visit: http://www.deliveraidbetter.org/