

Lockton's Fiduciary Examinations Can Reveal Costly Breaches

Allow Lockton to
conduct the **"exam
before the exam."**

Led by a former DOL
Senior Investigator, our
team can assist with
compliance oversight
and fiduciary risk
mitigation strategies
**before the DOL
knocks on your
door.**



The average Lockton Fiduciary examination **uncovers 5 or more
fiduciary breaches** that would have resulted in DOL penalties.¹

¹As of 2016

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