


<b>MEDICAL POLICY</b>	<b>Stereotactic Body Radiation Therapy and Stereotactic Radiosurgery (All Lines of Business Except Medicare)</b>	
<b>Effective Date: 1/1/2021</b>   <div style="text-align: right;">1/1/2021</div>	Section: SUR	Policy No: 438
	Medical Policy Committee Approved Date: 3/19; 3/2020; 11/2020	
Medical Officer	Date	

**See Policy CPT CODE section below for any prior authorization requirements**

**SCOPE:**

Providence Health Plan, Providence Health Assurance, Providence Plan Partners, and Ayin Health Solutions as applicable (referred to individually as “Company” and collectively as “Companies”).

**APPLIES TO:**

All lines of business except Medicare

**BENEFIT APPLICATION**

Medicaid Members

*Oregon:* Services requested for Oregon Health Plan (OHP) members follow the OHP Prioritized List and Oregon Administrative Rules (OARs) as the primary resource for coverage determinations. Medical policy criteria below may be applied when there are no criteria available in the OARs and the OHP Prioritized List.

**DOCUMENTATION REQUIREMENTS**

- The patient’s record must support the medical necessity of treatment.
- Supporting clinical records should include not only the patient’s medical history and physical examination findings but also the patient’s current functional status, commonly described by an overall performance status score (e.g., Karnofsky Performance Status or Eastern Cooperative Oncology Group (ECOG) Performance Status score).
- A radiation oncologist must evaluate the clinical and technical aspects of the treatment and document this evaluation as well as the resulting management decision.
- Clinical record documentation of the technical aspects of treatment planning and delivery should include details of the prescribed dose to the target and relevant dose-limiting normal structures and the actual dose delivered and dates of treatment delivery.

**POLICY CRITERIA**

This policy is based on the American Society for Radiation Oncology (ASTRO) policies on stereotactic body radiation therapy (SBRT) and stereotactic radiosurgery (SRS).<sup>1,2</sup> These policies were last identified on 9/21/2020.

**Stereotactic Body Radiation Therapy (SBRT)**

- I. SBRT may be considered medically necessary and covered for primary malignant tumors of the lung, liver, kidney, adrenal gland, pancreas, bone, and prostate, and primary malignant and benign tumors of the spine and spinal cord when the patient's general medical condition (namely, the performance status) must justify aggressive, curative treatment to a primary, non-metastatic tumor and is specifically documented in the medical record.
- II. SBRT may be considered medically necessary and covered for the treatment of secondary, or metastatic, tumors and recurrent tumors or any tumor arising within or near previously irradiated volumes when at least one of the following criteria (A.- B.) is met and specifically documented in the medical record:
  - a. The patient's general medical condition (namely, the performance status) justifies aggressive local therapy to one or more deposits of metastatic cancer in an effort either to (1.-2.):
    - i. Achieve total disease clearance in the setting of oligometastatic disease **or**
    - ii. To reduce the patient's overall burden of systemic disease for a specifically defined clinical benefit, **or**
  - b. Recurrent disease requiring palliation or any tumor cannot be treated as effectively or safely by other radiotherapy methods due to proximity of previously irradiated volumes and a high level of precision and accuracy is needed to minimize the risk of injury to surrounding normal tissues.
- III. SBRT is considered **not medically necessary** and is not covered when either criteria I. or II. above is not met, including but not limited to the following circumstances (A.-C.):
  - A. Treatment is unlikely to result in clinical cancer control and/or functional improvement.
  - B. The tumor burden cannot be completely targeted with acceptable risk to nearby critical normal structures.
  - C. Patients with poor performance status (Karnofsky Performance Status less than 40 or ECOG Status of 3 or worse; see below for further scoring information regarding Karnofsky Performance Status and ECOG Status).

**Stereotactic Radiosurgery (SRS)**

- IV. SRS may be considered **medically necessary and covered** when at least one of the following indications are met (A.-I):
  - A. Primary central nervous system malignancies, generally used as a boost or salvage therapy for lesions <5 cm.
  - B. Primary and secondary tumors involving the brain parenchyma, meninges/dura or immediately adjacent bony structures.
  - C. Benign brain tumors such as meningiomas, acoustic neuromas, other schwannomas, pituitary adenomas, pineocytomas, craniopharyngiomas, glomus tumors or hemangioblastomas.
  - D. Arteriovenous malformations and cavernous malformations.
  - E. Other cranial non-neoplastic conditions such as trigeminal neuralgia and select cases of medically refractory epilepsy, movement disorders such as Parkinson’s disease and essential tremor, and hypothalamic hamartomas.
  - F. As a boost treatment for larger cranial or spinal lesions that have been treated initially with external beam radiation therapy or surgery (e.g., sarcomas, chondrosarcomas, chordomas, and nasopharyngeal or paranasal sinus malignancies).
  - G. Metastatic brain, independent of the number of lesions if other positive clinical indications exist, with stable systemic disease, Karnofsky Performance Status 40 or greater (and expected to return to 70 or greater with treatment), and otherwise reasonable survival expectations, OR ECOG Performance Status of 3 or less (or expected to return to 2 or less with treatment).
  - H. Relapse in a previously irradiated cranial where the additional stereotactic precision is required to avoid unacceptable vital tissue radiation.
  - I. Patients treated under the paradigm of Coverage with Evidence Development (CED) provided the patient is enrolled in either an IRB-approved clinical trial or in a multi-institutional patient registry adhering to Medicare requirements for CED
  
- V. SRS is considered **not medically necessary** and is not covered when criterion VII. above is not met, including but not limited to the following circumstances (A.-D.):
  - A. Treatment unlikely to result in functional improvement or clinically meaningful disease stabilization, not otherwise achievable.
  - B. Patients with wide-spread cerebral or extra-cranial metastases with limited life expectancy unlikely to gain clinical benefit within their remaining life.
  - C. Patients with poor performance status (Karnofsky Performance Status less than 40 or ECOG Performance greater than 3); see below for further scoring information regarding Karnofsky and ECOG Performance Status scales.
  - D. For ICD-10 codes G25.0-G25.2, essential tremor, coverage should be limited to the patient who cannot be controlled with medication, has major systemic disease or

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coagulopathy, and who is unwilling or unsuited for invasive surgical procedure.  
Coverage should further be limited to unilateral thalamotomy.

## POLICY GUIDELINES

### Karnofsky Performance Status Scale

- **100:** Normal, no complaints, no evidence of disease.
- **90:** Able to carry on normal activity; minor signs or symptoms of disease.
- **80:** Normal activity with effort; some signs or symptoms of disease.
- **70:** Cares for self; unable to carry on normal activity or to do active work.
- **60:** Requires occasional assistance but is able to care for most needs.
- **50:** Requires considerable assistance and frequent medical care.
- **40:** Disabled; requires special care and assistance.
- **30:** Severely disabled; hospitalization is indicated although death not imminent.
- **20:** Very sick; hospitalization necessary; active supportive treatment is necessary.
- **10:** Moribund, fatal processes progressing rapidly.
- **0:** Dead.

### ECOG Performance Status Scale

- **Grade 0:** Fully active, able to carry on all pre-disease performance without restriction.
- **Grade 1:** Restricted in physically strenuous activity but ambulatory and able to carry out work of a light or sedentary nature, e.g., light house work, office work.
- **Grade 2:** Ambulatory and capable of all self-care but unable to carry out and work activities. Up and about more than 50 percent of waking hours.
- **Grade 3:** Capable of only limited self-care, confined to bed or chair more than 50 percent of waking hours.
- **Grade 4:** Completely disabled. Cannot carry on any self-care. Totally confined to bed or chair.
- **Grade 5:** Dead.

## BILLING GUIDELINES

CPT codes 77373, 77435, G0339, and G0340 will only be considered medically necessary and covered when billed with one of the following ICD-10 diagnosis codes:

- |               |               |                 |
|---------------|---------------|-----------------|
| • C00.0-C10.8 | • C22.0-C22.9 | • C34.00-C34.92 |
| • C11.0-C14.8 | • C25.0-C25.9 | • C40.00-C41.9  |
| • C19-C21.8   | • C30.0-C32.9 | • C51.0-C58     |

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- |  |   |   |
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| <ul style="list-style-type: none"> <li>• C61</li> <li>• C64.1-C65.9</li> <li>• C70.0-C70.9</li> <li>• C71.0-C71.9</li> <li>• C72.0-C72.1</li> <li>• C72.20-C72.59</li> <li>• C74.00-C74.92</li> <li>• C75.1-C75.5</li> <li>• C76.2-C76.3</li> <li>• C77.0-C77.9</li> <li>• C78.00-C78.02</li> <li>• C78.7</li> <li>• C79.00-C79.02</li> <li>• C79.31-C79.32</li> </ul> | <ul style="list-style-type: none"> <li>• C79.40-C79.49</li> <li>• C79.51-C79.52</li> <li>• C79.70-C79.72</li> <li>• C79.89-C79.9</li> <li>• D16.6</li> <li>• D32.0-D32.9</li> <li>• D33.0-D33.4</li> <li>• D35.2-D35.6</li> <li>• D42.0-D42.9</li> <li>• D43.0-D43.4</li> <li>• D43.8-D43.9</li> <li>• D44.3-D44.7</li> <li>• D49.6-D49.7</li> <li>• G20-G21.4</li> </ul> | <ul style="list-style-type: none"> <li>• G25.0-G25.2</li> <li>• G40.411-G40.419</li> <li>• G40.301-G40.319</li> <li>• G40.911-G40.919</li> <li>• G50.0</li> <li>• G50.8</li> <li>• G50.9</li> <li>• G51.0-G51.9</li> <li>• G52.0-G53</li> <li>• Q28.2-Q28.3</li> <li>• T66.XXXA</li> <li>• Z92.3</li> </ul> |
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All other diagnosis codes billed with 77373, 77435, G0339, and G0340 will deny as not medically necessary and not covered.

CPT codes 77371, 77372, and 77432 will only be considered medically necessary and covered when billed with one of the following ICD-10 diagnosis codes:

- |  |   |   |
|--|---|---|
| <ul style="list-style-type: none"> <li>○ C11.0-C11.9</li> <li>○ C30.0-C31.9</li> <li>○ C70.0-C70.9</li> <li>○ C71.0-C71.9</li> <li>○ C72.20-C72.59</li> <li>○ C75.1-C75.5</li> <li>○ C79.31-C79.32</li> <li>○ C79.40-C79.49</li> <li>○ C79.51-C79.52</li> <li>○ C79.89-C79.9</li> <li>○ D32.0-D32.9</li> </ul> | <ul style="list-style-type: none"> <li>○ D33.0-D33.2</li> <li>○ D33.3</li> <li>○ D35.2-D35.5</li> <li>○ D35.6</li> <li>○ D42.0-D42.9</li> <li>○ D43.0-D43.4</li> <li>○ D43.8-D43.9</li> <li>○ D44.3-D44.7</li> <li>○ D49.6-D49.7</li> <li>○ G20-G21.4</li> <li>○ G25.0-G25.2</li> </ul> | <ul style="list-style-type: none"> <li>○ G40.301-G40.319</li> <li>○ G40.411-G40.419</li> <li>○ G40.911-G40.919</li> <li>○ G50.0</li> <li>○ G50.8</li> <li>○ G50.9</li> <li>○ G51.0-G51.9</li> <li>○ G52.0-G53</li> <li>○ Q28.2-Q28.3</li> <li>○ T66.XXXA</li> </ul> |
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All other diagnosis codes billed with 77371, 77372, and 77432 will deny as not medically necessary and not covered.

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**CPT CODES**

All Lines of Business Except Medicare	
No Prior Authorization Required	
77371	Radiation treatment delivery, stereotactic radiosurgery (SRS), complete course of treatment of cranial lesion(s) consisting of 1 session; multi-source Cobalt 60 based
77372	Radiation treatment delivery, stereotactic radiosurgery (SRS), complete course of treatment of cranial lesion(s) consisting of 1 session; linear accelerator based
77373	Stereotactic body radiation therapy, treatment delivery, per fraction to 1 or more lesions, including image guidance, entire course not to exceed 5 fractions
77432	Stereotactic radiation treatment management of cranial lesion(s) (complete course of treatment consisting of 1 session)
77435	Stereotactic body radiation therapy, treatment management, per treatment course, to 1 or more lesions, including image guidance, entire course not to exceed 5 fractions
G0339	Image-guided robotic linear accelerator-based stereotactic radiosurgery, complete course of therapy in one session or first session of fractionated treatment
G0340	Image-guided robotic linear accelerator-based stereotactic radiosurgery, delivery including collimator changes and custom plugging, fractionated treatment, all lesions, per session, second through fifth sessions, maximum five sessions per course of treatment

**DESCRIPTION**

**Stereotactic Body Radiation Therapy (SBRT)**

The American Society for Radiation Oncology (ASTRO) describes SBRT as the following:

“SBRT is a radiation treatment modality that couples a high degree of anatomic targeting accuracy and reproducibility with very high doses of extremely precise, externally generated, ionizing radiation. The therapeutic intent of SBRT is to maximize cell-killing effect on the target(s) while minimizing radiation-related injury in adjacent normal tissues. SBRT is used to treat extra-cranial sites as opposed to stereotactic radiosurgery (SRS), which is used to treat intra-cranial.

The adjective ‘stereotactic’ describes a procedure during which a target lesion is localized relative to a known three dimensional reference system that allows for a high degree of anatomic accuracy. Examples of devices used in SBRT for stereotactic guidance may include a body frame with external reference markers in which a patient is positioned securely, a system of implanted fiducial markers that can be visualized with low-energy (kV) X-rays and CT imaging based systems used to confirm the location of a tumor immediately prior to treatment.”<sup>1</sup>

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**Stereotactic Radiosurgery**

The American Society for Radiation Oncology (ASTRO) describes SRS as the following:

“Stereotactic radiosurgery (SRS) is a distinct discipline that utilizes externally generated ionizing radiation to inactivate or eradicate definite target(s) in the head without the need to make an incision. To assure quality of patient care, the procedure involves a multidisciplinary team consisting of a neurosurgeon, radiation oncologist and medical physicist. (For a subset of tumors involving the skull base, the multidisciplinary team may include a head and neck surgeon with training in SRS).

For the purpose of this document, SRS is strictly defined as radiation therapy delivered in one to five fractions via stereotactic guidance, with approximately 1 mm targeting accuracy to intracranial targets and selected tumors around the base of the skull.

SRS couples anatomic accuracy and reproducibility with very high doses of highly precise, externally generated, ionizing radiation, thereby maximizing the ablative effect on the target(s) while minimizing collateral damage to adjacent tissues. The adjective ‘stereotactic’ describes a procedure during which a target lesion is localized relative to a known three-dimensional reference system that allows for a high degree of anatomic accuracy. Examples of devices used in SRS for stereotactic guidance may include a rigid head frame affixed to a patient, fixed bony landmarks, a system of implanted fiducial markers or other similar systems.”<sup>2</sup>

**INSTRUCTIONS FOR USE**

Company Medical Policies serve as guidance for the administration of plan benefits. Medical policies do not constitute medical advice nor a guarantee of coverage. Company Medical Policies are reviewed annually and are based upon published, peer-reviewed scientific evidence and evidence-based clinical practice guidelines that are available as of the last policy update. The Companies reserve the right to determine the application of Medical Policies and make revisions to Medical Policies at any time. Providers will be given at least 60-days notice of policy changes that are restrictive in nature.

The scope and availability of all plan benefits are determined in accordance with the applicable coverage agreement. Any conflict or variance between the terms of the coverage agreement and Company Medical Policy will be resolved in favor of the coverage agreement.

**REGULATORY STATUS**

Mental Health Parity Statement

Coverage decisions are made on the basis of individualized determinations of medical necessity and the experimental or investigational character of the treatment in the individual case.

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## **MEDICAL POLICY CROSS REFERENCES**

- Proton Beam Radiation Therapy, MED324

## **REFERENCES**

1. American Society for Radiation Oncology (ASTRO) Model Policy: Stereotactic Body Radiation Therapy (SBRT).  
<https://www.astro.org/ASTRO/media/ASTRO/Daily%20Practice/PDFs/ASTROSBRTModelPolicy.pdf>. Published 2020. Accessed 9/21/2020.
2. American Society for Radiation Oncology (ASTRO) Model Policy: Stereotactic Radiosurgery (SRS).  
[https://www.astro.org/uploadedFiles/MAIN\\_SITE/Daily\\_Practice/Reimbursement/Model\\_Policies/Content\\_Pieces/ASTROSRModelPolicy.pdf](https://www.astro.org/uploadedFiles/MAIN_SITE/Daily_Practice/Reimbursement/Model_Policies/Content_Pieces/ASTROSRModelPolicy.pdf). Published 2014. Accessed 9/21/2020.