

# Department of Health and Human Services

## Part 1. Overview Information

<b>Participating Organization(s)</b>	National Institutes of Health ( <a href="http://www.nih.gov">NIH (http://www.nih.gov)</a> )
<b>Components of Participating Organizations</b>	National Center for Complementary and Integrative Health ( <a href="http://www.nccam.nih.gov">NCCIH (http://www.nccam.nih.gov)</a> )
<b>Funding Opportunity Title</b>	<b>Administrative Supplements for Complementary Health Practitioner Research Experience (Admin Supp)</b>
<b>Activity Code</b>	<p>Administrative Supplement</p> <p>Additional funds may be awarded as supplements to parent awards using the following Activity Code(s):</p> <p>Administrative supplement requests must be submitted on paper for the following activity codes:</p> <p><a href="http://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=p01&amp;Search.x=0&amp;Search.y=0&amp;Search_Type=Activity">P01 (//grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=p01&amp;Search.x=0&amp;Search.y=0&amp;Search_Type=Activity)</a> Research Program Projects</p> <p><a href="http://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=p50&amp;Search.x=0&amp;Search.y=0&amp;Search_Type=Activity">P50 (//grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=p50&amp;Search.x=0&amp;Search.y=0&amp;Search_Type=Activity)</a> Specialized Center</p> <p><a href="http://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=u19&amp;Search.x=0&amp;Search.y=0&amp;sort=ac&amp;Search_Type=Activity&amp;text_prev=">U19 (//grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=u19&amp;Search.x=0&amp;Search.y=0&amp;sort=ac&amp;Search_Type=Activity&amp;text_prev=)</a> Research Program – Cooperative Agreements</p> <p><a href="http://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=U41&amp;Search.x=0&amp;Search.y=0&amp;Search_Type=Activity">U41 (//grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=U41&amp;Search.x=0&amp;Search.y=0&amp;Search_Type=Activity)</a> Biotechnology Resource Cooperative Agreements</p> <p><a href="http://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=u54&amp;Search.x=0&amp;Search.y=0&amp;Search_Type=Activity">U54 (//grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=u54&amp;Search.x=0&amp;Search.y=0&amp;Search_Type=Activity)</a> Specialized Center – Cooperative Agreements</p> <p>Administrative supplement requests may be submitted electronically for the following activity codes:</p> <p><a href="http://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=r01&amp;Search.x=0&amp;Search.y=0&amp;Search_Type=Activity">R01 (//grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=r01&amp;Search.x=0&amp;Search.y=0&amp;Search_Type=Activity)</a> Research Project Grant</p> <p><a href="http://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=r21&amp;Search.x=0&amp;Search.y=0&amp;Search_Type=Activity">R21 (//grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=r21&amp;Search.x=0&amp;Search.y=0&amp;Search_Type=Activity)</a> Exploratory/Developmental Research Grant Award</p>

[R21 \(//grants.nih.gov/grants/funding/ac\\_search\\_results.htm?text\\_curr=r21&Search.x=0&Search.y=0&Search\\_Type=Activity\)](https://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=r21&Search.x=0&Search.y=0&Search_Type=Activity)/[R33 \(//grants.nih.gov/grants/funding/ac\\_search\\_results.htm?text\\_curr=r33&Search.x=0&Search.y=0&Search\\_Type=Activity\)](https://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=r33&Search.x=0&Search.y=0&Search_Type=Activity) Phased Innovation Award

[R33 \(//grants.nih.gov/grants/funding/ac\\_search\\_results.htm?text\\_curr=r33&Search.x=0&Search.y=0&Search\\_Type=Activity\)](https://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=r33&Search.x=0&Search.y=0&Search_Type=Activity) Exploratory/Developmental Grants Phase II

[R34 \(//grants.nih.gov/grants/funding/ac\\_search\\_results.htm?text\\_curr=r34&Search.x=0&Search.y=0&Search\\_Type=Activity\)](https://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=r34&Search.x=0&Search.y=0&Search_Type=Activity) Clinical Trial Planning Grant Program

[R61 \(//grants.nih.gov/grants/funding/ac\\_search\\_results.htm?text\\_curr=r61&Search.x=0&Search.y=0&sort=ac&Search\\_Type=Activity&text\\_prev=\)](https://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=r61&Search.x=0&Search.y=0&sort=ac&Search_Type=Activity&text_prev=)/[R33 \(//grants.nih.gov/grants/funding/ac\\_search\\_results.htm?text\\_curr=r33&Search.x=0&Search.y=0&Search\\_Type=Activity\)](https://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=r33&Search.x=0&Search.y=0&Search_Type=Activity) Exploratory/Developmental Phased Award

[U01 \(//grants.nih.gov/grants/funding/ac\\_search\\_results.htm?text\\_curr=u01&Search.x=0&Search.y=0&Search\\_Type=Activity\)](https://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=u01&Search.x=0&Search.y=0&Search_Type=Activity) Research Project – Cooperative Agreements

[U34 \(//grants.nih.gov/grants/funding/ac\\_search\\_results.htm?text\\_curr=u34&Search.x=0&Search.y=0&Search\\_Type=Activity\)](https://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=u34&Search.x=0&Search.y=0&Search_Type=Activity) Clinical Planning Grant Cooperative Agreement

[UG3 \(//grants.nih.gov/grants/funding/ac\\_search\\_results.htm?text\\_curr=ug3&Search.x=0&Search.y=0&sort=ac&Search\\_Type=Activity&text\\_prev=\)](https://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=ug3&Search.x=0&Search.y=0&sort=ac&Search_Type=Activity&text_prev=)/[UH3 \(//grants.nih.gov/grants/funding/ac\\_search\\_results.htm?text\\_curr=uh3&Search.x=0&Search.y=0&Search\\_Type=Activity\)](https://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=uh3&Search.x=0&Search.y=0&Search_Type=Activity) Exploratory/Developmental Phased Award Cooperative Agreement

[UH2 \(//grants.nih.gov/grants/funding/ac\\_search\\_results.htm?text\\_curr=uh2&Search.x=0&Search.y=0&Search\\_Type=Activity\)](https://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=uh2&Search.x=0&Search.y=0&Search_Type=Activity) Exploratory/Developmental Cooperative Agreement Phase I

[UH2 \(//grants.nih.gov/grants/funding/ac\\_search\\_results.htm?text\\_curr=uh2&Search.x=0&Search.y=0&Search\\_Type=Activity\)](https://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=uh2&Search.x=0&Search.y=0&Search_Type=Activity)/[UH3 \(//grants.nih.gov/grants/funding/ac\\_search\\_results.htm?text\\_curr=uh3&Search.x=0&Search.y=0&Search\\_Type=Activity\)](https://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=uh3&Search.x=0&Search.y=0&Search_Type=Activity) Phase Innovation Awards Cooperative Agreement

[UH3 \(//grants.nih.gov/grants/funding/ac\\_search\\_results.htm?text\\_curr=uh3&Search.x=0&Search.y=0&Search\\_Type=Activity\)](https://grants.nih.gov/grants/funding/ac_search_results.htm?text_curr=uh3&Search.x=0&Search.y=0&Search_Type=Activity) Exploratory/Developmental Cooperative Agreement Phase II

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### Announcement Type

Reissue of [PA-16-013 \(https://grants.nih.gov/grants/guide/pa-files/pa-16-013.html\)](https://grants.nih.gov/grants/guide/pa-files/pa-16-013.html)

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### Related Notices

[NOT-AT-18-006 \(https://grants.nih.gov/grants/guide/notice-files/NOT-AT-18-006.html\)](https://grants.nih.gov/grants/guide/notice-files/NOT-AT-18-006.html)

<b>Funding Opportunity Announcement (FOA) Number</b>	<b>PA-19-031</b>
<b>Companion Funding Opportunity</b>	None
<b>Number of Applications</b>	See <a href="#">Section III. 3. Additional Information on Eligibility</a> .
<b>Catalog of Federal Domestic Assistance (CFDA) Number(s)</b>	93.213
<b>Funding Opportunity Purpose</b>	NCCIH encourages applications for administrative supplements to NCCIH-supported awards (see relevant Activity Codes above) to support complementary health practitioners in an intensive, supervised research experience that will lead to a better understanding of, and practical experience in, complementary and integrative health research. For this FOA, complementary health practitioners include (but are not limited to): licensed acupuncturists, massage therapists, and music therapists; chiropractic doctors; and naturopathic or osteopathic physicians. Funding is available for 1 year, with an option to renew for a second year, to conduct basic, translational, or clinical research associated with the parent grant. This initiative is focused on providing a research experience for complementary health practitioners and is not intended to support clinical residency training or other clinical practitioner training.

## Key Dates

<b>Posted Date</b>	October 18, 2018
<b>Open Date (Earliest Submission Date)</b>	March 1, 2019
<b>Letter of Intent Due Date(s)</b>	Not Applicable
<b>Application Due Date(s)</b>	April 1, 2019; October 1, 2019; April 1, 2020; October 1, 2020; April 1, 2021; October 1, 2021 by 5:00 p.m. local time of applicant organization.  Applicants are encouraged to apply early to allow adequate time to make any corrections to errors found in the application during the submission process by the due date.
<b>AIDS Application Due Date(s)</b>	Not Applicable

<b>Scientific Merit Review</b>	Not Applicable
<b>Advisory Council Review</b>	Not Applicable
<b>Earliest Start Date</b>	August 1 for April applications and January 1 for October applications
<b>Expiration Date</b>	October 2, 2021
<b>Due Dates for E.O. 12372</b>	Not Applicable

## Required Application Instructions

It is critical that applicants follow the instructions in the Application Guide ([SF424 \(R&R\) Application Guide](http://grants.nih.gov/grants/guide/url_redirect.htm?id=12000) ([//grants.nih.gov/grants/guide/url\\_redirect.htm?id=12000](http://grants.nih.gov/grants/guide/url_redirect.htm?id=12000)), [eRA Commons Administrative Supplement User Guide](http://era.nih.gov/commons/user_guide.cfm) ([http://era.nih.gov/commons/user\\_guide.cfm](http://era.nih.gov/commons/user_guide.cfm)) or [PHS 398 Application Guide](http://grants.nih.gov/grants/guide/url_redirect.htm?id=22000) ([//grants.nih.gov/grants/guide/url\\_redirect.htm?id=22000](http://grants.nih.gov/grants/guide/url_redirect.htm?id=22000)), as appropriate) except where instructed to do otherwise (in this FOA or in a Notice from the [NIH Guide for Grants and Contracts](http://grants.nih.gov/grants/guide/) ([//grants.nih.gov/grants/guide/](http://grants.nih.gov/grants/guide/))). Conformance to all requirements (both in the Application Guide and the FOA) is required and strictly enforced. Applicants must read and follow all application instructions in the Application Guide as well as any program-specific instructions noted in [Section IV](#). When the program-specific instructions deviate from those in the Application Guide, follow the program-specific instructions. **Applications that do not comply with these instructions may be delayed or not accepted for review.**

There are several options available to submit your application through Grants.gov to NIH and Department of Health and Human Services partners. You **must** use one of these submission options to access the application forms for this opportunity.

1. Use the NIH ASSIST system to prepare, submit and track your application online.

[Apply Online Using ASSIST](#)

2. Use an institutional system-to-system (S2S) solution to prepare and submit your application to Grants.gov and [eRA Commons](http://public.era.nih.gov/commons/) (<http://public.era.nih.gov/commons/>) to track your application. Check with your institutional officials regarding availability.
3. Use [Grants.gov](http://www.grants.gov/web/grants/applicants/download-application-package.html#search=true&oppNum=PA-19-031) (<http://www.grants.gov/web/grants/applicants/download-application-package.html#search=true&oppNum=PA-19-031>) Workspace to prepare and submit your application and [eRA Commons](http://public.era.nih.gov/commons/) (<http://public.era.nih.gov/commons/>) to track your application.

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## Part 2. Full Text of Announcement

### Section I. Funding Opportunity Description

#### Background

The National Center for Complementary and Integrative Health (NCCIH) recognizes a compelling need to develop clinician scientists to conduct complementary and integrative health research. The goal of this initiative is to provide hands-on research experience to complementary health practitioners to gain experience and acquire skills to enhance understanding and appreciation of research in complementary and integrative health domains. It is hoped that the research opportunity supported under this FOA will encourage and thus increase the participation of clinicians trained in complementary health care to pursue additional complementary and integrative health-related research opportunities, which could include NIH-supported research and additional research training (Ruth L. Kirschstein National Research Service Award Individual Postdoctoral Fellowship (Parent F32), Mentored Patient-Oriented Research Career Development Award (Parent K23), etc.). In turn, providing a vehicle for complementary health practitioners to obtain research skills will benefit the complementary health research community, as these individuals bring an in-depth knowledge of the complementary health practices to the research enterprise.

NCCIH encourages applications for administrative supplements to NCCIH-supported awards (see relevant Activity Codes above) to support complementary health practitioners in an intensive, supervised research experience that will lead to a better understanding of, and practical experience in, complementary and integrative health research. This initiative aligns with recommendations of the [NACCIH Working Group Report on Clinician-Scientist Workforce Development](https://nccih.nih.gov/sites/nccam.nih.gov/files/Workforce-Development-Working-Group-Report.pdf) (<https://nccih.nih.gov/sites/nccam.nih.gov/files/Workforce-Development-Working-Group-Report.pdf>) to support research training for clinicians with complementary and integrative health degrees.

A complementary health practitioner may be supported once in their career under this administrative supplement and cannot be currently supported on a federally-funded research grant. The complementary health practitioner candidate must devote at least 75 percent effort to research-related activities supported by this administrative supplement. Depending on the level of experience, a candidate may have their own research project that is within the scope of the parent grant, may propose additional analyses related to the parent grant project, or at minimum, should be sufficiently immersed and actively involved in an ongoing research project(s) such that the candidate has an in-depth research experience. In addition, it is expected that the candidate will take part in regular research group meetings and appropriate seminars. It is also expected that the candidate will have regular meetings and mentoring sessions with the PD/PI of the parent grant. Candidates can propose to gain experience in basic, mechanistic, translational, and/or clinical research.

Complementary health practitioners may seek out eligible NCCIH grantees for potential research opportunities and support under this FOA using the NIH RePORTER system (<https://nccih.nih.gov/research/extramural/awards>) (<https://nccih.nih.gov/research/extramural/awards>) with the understanding that prospective NCCIH-funded grantees must determine whether a mentoring arrangement is appropriate and feasible before submitting a supplement request. The NCCIH-funded grantee will submit the application for the supplement. The supplement awarded under this FOA is intended to provide support to practitioners for 1 year with the option to renew for a second year.

Applications for this award must propose a comprehensive research plan that has the following qualities:

- aligned with the [NCCIH Strategic Plan](https://nccih.nih.gov/about/strategic-plans/2016) (<https://nccih.nih.gov/about/strategic-plans/2016>)

- within the scope of the of the parent research grant
- will serve as a suitable vehicle for learning methodology, theories, and concepts needed to conduct research in complementary and integrative health
- will support a high level of productivity.

**Funded PIs with potential complementary health practitioner candidates are strongly encouraged to contact NCCIH program staff to discuss their concepts and eligibility prior to submitting their application.**

See [Section VIII](#). Other Information for award authorities and regulations.

## Section II. Award Information

<b>Funding Instrument</b>	The funding instrument will be the same as the parent award.
<b>Application Types Allowed</b>	Non-competing Administrative Supplements
<b>Clinical Trial?</b>	Not Allowed: Only accepting applications that do not propose clinical trials <a href="https://grants.nih.gov/grants/guide/uri_redirect.htm?id=82370">Need help determining whether you are doing a clinical trial?</a> ( <a href="https://grants.nih.gov/grants/guide/uri_redirect.htm?id=82370">https://grants.nih.gov/grants/guide/uri_redirect.htm?id=82370</a> )
<b>Funds Available and Anticipated Number of Awards</b>	The number of awards is contingent upon NIH appropriations and the submission of a sufficient number of meritorious applications.
<b>Award Budget</b>	<p>Application budgets are limited to no more than \$100,000 total direct costs per year and must reflect the actual needs of the proposed project. These funds may be used for salary and fringe benefits for the complementary health practitioner, research supplies, travel, and/or tuition for courses if they enhance the research experience. Tuition is an allowable cost only if the individual is enrolled in a degree-granting program and is considered part of compensation. Salary and fringe benefits for the candidate should be consistent with the institution's salary structure. No funds may be requested to supplement the salaries of the PD/PI or other personnel, or to purchase equipment.</p> <p>The funding mechanism being used to support this program, administrative supplements, can be used to cover cost increases that are associated with achieving certain new research objectives, as long as the research objectives are within the original scope of the peer reviewed and approved project, or the cost increases are for unanticipated expenses within the original scope of the project. Any cost increases need to result from making modifications to the project that would increase or preserve the overall impact of the project consistent with its originally approved objectives and purposes.</p>
<b>Award Project Period</b>	The project and budget periods must be within the currently approved project period for the existing parent award. The parent award must have at least one year of active funding remaining at the time of the supplement award.

NIH grants policies as described in the [NIH Grants Policy Statement \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11120\)](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11120) will apply to the applications submitted and awards made from this FOA.

## Section III. Eligibility Information

### 1. Eligible Applicants

#### Eligible Organizations

All organizations administering an eligible parent award may apply for a supplement under this announcement.

##### Higher Education Institutions

- Public/State Controlled Institutions of Higher Education
- Private Institutions of Higher Education

The following types of Higher Education Institutions are always encouraged to apply for NIH support as Public or Private Institutions of Higher Education:

- Hispanic-serving Institutions
- Historically Black Colleges and Universities (HBCUs)
- Tribally Controlled Colleges and Universities (TCCUs)
- Alaska Native and Native Hawaiian Serving Institutions
- Asian American Native American Pacific Islander Serving Institutions (AANAPISIs)

##### Nonprofits Other Than Institutions of Higher Education

- Nonprofits with 501(c)(3) IRS Status (Other than Institutions of Higher Education)
- Nonprofits without 501(c)(3) IRS Status (Other than Institutions of Higher Education)

##### For-Profit Organizations

- Small Businesses
- For-Profit Organizations (Other than Small Businesses)

##### Governments

- State Governments
- County Governments
- City or Township Governments
- Special District Governments
- Indian/Native American Tribal Governments (Federally Recognized)
- Indian/Native American Tribal Governments (Other than Federally Recognized)
- Eligible Agencies of the Federal Government
- U.S. Territory or Possession

##### Other

- Independent School Districts
- Public Housing Authorities/Indian Housing Authorities
- Native American Tribal Organizations (other than Federally recognized tribal governments)
- Faith-based or Community-based Organizations
- Regional Organizations

This announcement is for supplements to existing projects. To be eligible, the parent award must be active and the research proposed in the supplement must be accomplished within the competitive segment. The proposed



supplement must be to provide for an increase in costs due to unforeseen circumstances. All additional costs must be within the scope of the peer reviewed and approved project.

IMPORTANT: The research proposed by the NIH grantee in the supplement application must be within the original scope of the NIH-supported grant project.

## Foreign Institutions

Non-domestic (non-U.S.) Entities (Foreign Institutions) **are not** eligible to apply.

Non-domestic (non-U.S.) components of U.S. Organizations **are not** eligible to apply.

Foreign components, as [defined in the NIH Grants Policy Statement \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11118\)](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11118), **are not** allowed.

## Required Registrations

### Applicant Organizations

Applicant organizations must complete and maintain the following registrations as described in the SF 424 (R&R) Application Guide to be eligible to apply for or receive an award. Since administrative supplements are made against active grants and cooperative agreements, many of these registrations may already be in place. All registrations must be completed prior to the application being submitted. Registration can take 6 weeks or more, so applicants should begin the registration process as soon as possible. The [NIH Policy on Late Submission of Grant Applications \(//grants.nih.gov/grants/guide/notice-files/NOT-OD-15-039.html\)](http://grants.nih.gov/grants/guide/notice-files/NOT-OD-15-039.html) states that failure to complete registrations in advance of a due date is not a valid reason for a late submission.

- [Dun and Bradstreet Universal Numbering System \(DUNS\) \(http://fedgov.dnb.com/webform\)](http://fedgov.dnb.com/webform) - All registrations require that applicants be issued a DUNS number. After obtaining a DUNS number, applicants can begin both SAM and eRA Commons registrations. The same DUNS number must be used for all registrations, as well as on the grant application.
- [System for Award Management \(SAM\) \(https://www.sam.gov/portal/public/SAM/\)](https://www.sam.gov/portal/public/SAM/) (formerly CCR) – Applicants must complete and maintain an active registration, **which requires renewal at least annually**. The renewal process may require as much time as the initial registration. SAM registration includes the assignment of a Commercial and Government Entity (CAGE) Code for domestic organizations which have not already been assigned a CAGE Code.
  - [NATO Commercial and Government Entity \(NCAGE\) Code \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11176\)](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11176) – Foreign organizations must obtain an NCAGE code (in lieu of a CAGE code) in order to register in SAM.
- [eRA Commons \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11123\)](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11123) - Applicants must have an active DUNS number and SAM registration in order to complete the eRA Commons registration. Organizations can register with the eRA Commons as they are working through their SAM or Grants.gov registration. eRA Commons requires organizations to identify at least one Signing Official (SO) and at least one Program Director/Principal Investigator (PD/PI) account in order to submit an application.
- [Grants.gov \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=82300\)](http://grants.nih.gov/grants/guide/url_redirect.htm?id=82300) – Applicants must have an active DUNS number and SAM registration in order to complete the Grants.gov registration. Grants.gov registration is only required if you plan to submit using the 'Electronic Application Submission through Grants.gov' option.

### Program Directors/Principal Investigators (PD(s)/PI(s))

All PD(s)/PI(s) must have an eRA Commons account. PD(s)/PI(s) should work with their organizational officials to either create a new account or to affiliate their existing account with the applicant organization in eRA Commons. If the PD/PI is also the organizational Signing Official, they must have two distinct eRA Commons accounts, one for each role. Obtaining an eRA Commons account can take up to 2 weeks.

### Eligible Individuals (Program Director/Principal Investigator)



Individual(s) must hold an active grant or cooperative agreement, and the research proposed in the supplement must be accomplished within the competitive segment of the active award. Individuals are encouraged to work with their organizations to develop applications for support.

For supplements to parent awards that include multiple PDs/PIs, the supplement may be requested by any or all of the PDs/PIs (in accordance with the existing leadership plan) and submitted by the awardee institution of the parent award. Do not use this administrative supplement application to add, delete, or change the PDs/PIs listed on the parent award. Visit the Multiple Program Director/Principal Investigator Policy in the SF424 (R&R) Application Guide for more information.

## 2. Cost Sharing

This FOA does not require cost sharing as defined in the [NIH Grants Policy Statement](#). ([http://grants.nih.gov/grants/guide/url\\_redirect.htm?id=11126](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11126))

## 3. Additional Information on Eligibility

### Number of Applications

Applicant organizations may submit more than one application, provided that each is sufficiently distinct from any other administrative supplement currently under consideration by the awarding NIH Institute or Center.

### Eligible Candidates

For this FOA, complementary health practitioners include licensed practitioners including (but not limited to): licensed acupuncturists, massage therapists, and/or music therapists; chiropractic doctors; and naturopathic or osteopathic physicians.

Within the framework of this Program's commitment to excellence and projected need for investigators in particular areas of research, attention should be given to practitioners from racial or ethnic groups underrepresented in the biomedical, behavioral, and clinical sciences and individuals with disabilities. Clinicians must be a U.S. citizen or permanent resident.

## Section IV. Application and Submission Information

### 1. Requesting an Application Package

Applicants must prepare applications using current forms in accordance with the Application Guide.

Buttons to access the online ASSIST system or to download application forms are available in [Part 1](#) of this FOA. See your administrative office for instructions if you plan to use an institutional system-to-system solution.

### 2. Content and Form of Application Submission

All forms must be completed for the supplemental activities **only** and must not reflect funding or activities for the previously awarded parent award.

It is critical that applicants follow the instructions for their submission option ([SF424 \(R&R\) Application Guide](#) ([http://grants.nih.gov/grants/guide/url\\_redirect.htm?id=12000](http://grants.nih.gov/grants/guide/url_redirect.htm?id=12000)), [eRA Commons Administrative Supplement User Guide](#) ([http://era.nih.gov/commons/user\\_guide.cfm](http://era.nih.gov/commons/user_guide.cfm)) or [PHS 398 Application Guide](#) ([http://grants.nih.gov/grants/guide/url\\_redirect.htm?id=22000](http://grants.nih.gov/grants/guide/url_redirect.htm?id=22000)), as appropriate) including [Supplemental Grant Application Instructions](#) (<https://grants.nih.gov/grants/funding/424/SupplementallInstructions.pdf>) except where instructed in this funding opportunity announcement to do otherwise. Conformance to documented requirements is required and strictly enforced. Applications that are out of compliance with these instructions may be delayed or not accepted for review.

For information on Application Submission and Receipt, visit [Frequently Asked Questions – Application Guide](#),

[Electronic Submission of Grant Applications \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=41137\)](http://grants.nih.gov/grants/guide/url_redirect.htm?id=41137).

## Page Limitations

All page limitations applicable to the parent award as described in the Application Guide and the [Table of Page Limits \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11132\)](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11132) must be followed, with the following exceptions or additional requirements:

The Research Strategy is limited to 3 pages.

## Application Submission

Administrative supplement requests for most single-project activity codes can be submitted using either paper or electronic submission processes. Administrative supplement requests for multiproject activity codes must be submitted using the paper submission process. See Activity Code section in Part 1 to determine if electronic submission is an option for your activity code.

Applicants submitting paper applications must use the PHS 398 Application Forms and the PHS 398 Application Guide.

## Instructions for Electronic Application Submission through Grants.gov

Use the “Apply” button(s) in Part I of this announcement to access the application forms package posted at Grants.gov. If presented with more than one form package, use the Competition ID and Competition Titles provided to determine the most appropriate application forms package for your situation.

Prepare applications using the SF424 (R&R) forms associated with the chosen package. Please note that some forms marked optional in the application package are required for submission of applications for this announcement. Follow all instructions in the [SF424 \(R&R\) Application Guide \(//grants.nih.gov/grants/funding/424/index.htm\)](http://grants.nih.gov/grants/funding/424/index.htm) to ensure you complete all appropriate required and optional forms, with the following additional guidance:

- R&R Cover form: Select “Revision” in the “Type of Application” field.
- Research Plan form: At a minimum, the Research Strategy section should be completed and must include a summary or abstract of the funded parent award or project. Other sections should also be included if they are being changed by the proposed supplement activities.
- Project/Performance Site Location form: Include the primary site where the proposed supplement activities will be performed. If a portion of the proposed supplement activities will be performed at any other site(s), identify the locations in the fields provided.
- Sr/Key Personnel form: List the PD/PI as the first person (regardless of their role on the supplement activities). List the candidate proposed to be added through this supplement. Candidates for this supplement support must have an [eRA Commons \(http://era.nih.gov/index.cfm\)](http://era.nih.gov/index.cfm) account and the candidate's Commons Username must be entered in the Credential field. Do not include any other senior/key personnel on this form.
- Biographical sketch: Include a biographical sketch for the PD/PI and the proposed candidate. The personal statement of the candidate's biographical sketch should address the following:
  - Evidence of scientific achievement or interest
  - Any source(s) of current funding
  - A statement from the candidate outlining his/her research objectives and career goals.
- Budget forms (e.g., R&R Budget, PHS 398 Training Budget): Only include funds requested for the additional supplement activities.
- R&R Other Project Information form: If applicable, attach PDF documents in the “Other Attachments” field indicating that the proposed research experience was approved by the Institutional Animal Care and Use

Committee (IACUC) or human subjects Institutional Review Board (IRB) at the grantee institution. Name the documents “IACUC Documentation.pdf” and/or “IRB Documentation.pdf.” Adherence to the NIH policy for including women and minorities in clinical studies must also be ensured, if additional human subjects’ involvement is planned for the supplement.

### **Special Instructions for Streamlined Submissions using the eRA Commons for electronic-based submissions**

NIH offers a streamlined system through the eRA Commons for submitting administrative supplements. Login to the [eRA Commons \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11123\)](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11123), identify the parent award, and prepare an administrative supplement request. A [User’s Guide \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11130\)](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11130) for submitting through this system is available, with the following additional guidance:

- Budget information should be entered for the grantee institution in the tabs provided for each selected budget period.
- Because there is no template or form available for subaward budget information, all subaward information must be included as a PDF attachment in the Subrecipient Budgets section showing the funds requested (by budget period) and using the same categories provided for the grantee institution. The attachment must also include any related budget justification information.
- Use the “Add Other Attachments” function to include the following PDF documents:
- Research Strategy including a summary or abstract of the funded parent award or project.
- Note: Candidates for this supplement support must have an [eRA Commons \(http://era.nih.gov/index.cfm\)](http://era.nih.gov/index.cfm) account and the candidate's Commons Username must be entered in the Credential field. Do not include any other senior/key personnel on this form.
- Biographical sketch: Include a biographical sketch for the PD/PI and the proposed candidate. The personal statement of the candidate's biographical sketch should address the following:
  - Evidence of scientific achievement or interest
  - Any source(s) of current funding
  - A statement from the candidate outlining his/her research objectives and career goals
- If applicable, attach documents indicating that the proposed research experience was approved by the IACUC or human subjects IRB at the grantee institution. Adherence to the NIH policy for including women and minorities in clinical studies must also be ensured, if additional human subjects’ involvement is planned for the supplement component.

### **Instructions for Paper-based Submissions using the PHS 398 Application Forms**

Applications must be prepared using the [PHS 398 research grant application forms and instructions \(//grants.nih.gov/grants/funding/phs398/phs398.html\)](http://grants.nih.gov/grants/funding/phs398/phs398.html) for preparing a research grant application, with the following additional guidance:

- Checklist: Select “Revision” in the “Type of Application” field.
- Face Page (Form Page 1): On the face page of the application form, note that your application is in response to a specific program announcement, and enter the title and number of this announcement.
- Research Plan: At a minimum, the Research Strategy section should be completed and must include a summary or abstract of the funded parent award or project. Other sections should also be included if they are being changed by the proposed supplement activities.
- Project/Performance Sites section (Form Page 2): Include the primary site where the proposed supplement activities will be performed. If a portion of the proposed supplement activities will be performed at any other site(s), identify the locations in the fields provided.

- Sr/Key Personnel form: List the PD/PI as the first person (regardless of their role on the supplement activities). List the candidate proposed to be added through this supplement. Candidates for this supplement support must have an [eRA Commons](http://era.nih.gov/index.cfm) (<http://era.nih.gov/index.cfm>) account and the candidate's Commons Username must be entered in the Credential field. Do not include any other senior/key personnel on this form.
- Biographical sketch: Include a biographical sketch for the PD/PI and the proposed candidate. The personal statement of the candidate's biographical sketch should address the following:
  - Evidence of scientific achievement or interest
  - Any source(s) of current funding
  - A statement from the candidate outlining his/her research objectives and career goals.
- Budget for Entire Proposed Project Period (Form Page 5): A proposed budget should be submitted using the PHS 398 budget forms and should only include funds requested for the additional supplement activities.
- If applicable, attach documentation in the Appendix section indicating that the proposed research experience was approved by the IACUC or human subjects IRB at the grantee institution. Adherence to the NIH policy for including women and minorities in clinical studies must also be ensured, if additional human subjects' involvement is planned for the supplement component.

The grantee institution, on behalf of the PD/PI of the parent award, must submit the request for supplemental funds directly to the awarding component that supports the parent award. Submit a signed, typewritten original of the application, including the checklist, to:

Lanay Mudd, Ph.D.

National Center for Complementary and Integrative Health (NCCIH)

6707 Democracy Boulevard, Suite 401

Bethesda, MD 20892-5475 (Courier Service 20817)

Telephone: 301-594-9346

Email: [lanay.mudd@nih.gov](mailto:lanay.mudd@nih.gov) (<mailto:lanay.mudd@nih.gov>)

On the face page of the application form, note that your application is in response to a specific program announcement, and enter the title and number of this announcement.

### 3. Unique Entity Identifier and System for Award Management (SAM)

See Part 1. Section III.1 for information regarding the requirement for obtaining a unique entity identifier and for completing and maintaining active registrations in System for Award Management (SAM), NATO Commercial and Government Entity (NCAGE) Code (if applicable), eRA Commons, and Grants.gov.

### 4. Submission Dates and Times

[Part I. Overview Information](#) contains information about Key Dates and Times. Applicants are encouraged to submit electronic applications before the due date to ensure they have time to make any application corrections that might be necessary for successful submission. When a submission date falls on a weekend or [Federal holiday](https://www.opm.gov/policy-data-oversight/snow-dismissal-procedures/federal-holidays/) (<https://www.opm.gov/policy-data-oversight/snow-dismissal-procedures/federal-holidays/>), the application deadline is automatically extended to the next business day.

**Applicants are responsible for viewing their electronic application before the due date in the eRA Commons to ensure accurate and successful submission.**

For electronic application submission, information on the submission process and a definition of on-time submission are provided in the SF424(R&R) Application Guide.

For paper-based application submission, information on the process of receipt and determining if your application is

considered on-time is described in detail in the PHS 398 Application Guide.

## 5. Intergovernmental Review (E.O. 12372)

This initiative is not subject to [intergovernmental review](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11142). ([http://grants.nih.gov/grants/guide/url\\_redirect.htm?id=11142](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11142))

## 6. Funding Restrictions

All NIH awards are subject to the terms and conditions, cost principles, and other considerations described in the [NIH Grants Policy Statement](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11120) ([http://grants.nih.gov/grants/guide/url\\_redirect.htm?id=11120](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11120)).

Pre-award costs are allowable only as described in the [NIH Grants Policy Statement](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11143) ([http://grants.nih.gov/grants/guide/url\\_redirect.htm?id=11143](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11143)).

## 7. Other Submission Requirements and Information

Applications must be submitted using the instructions specified above.

**Applicants must complete all required registrations prior to submission.** [Section III. Eligibility Information](#) contains information about registration.

For assistance with your electronic application or for more information on the electronic submission process, visit [Applying Electronically](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11144) ([http://grants.nih.gov/grants/guide/url\\_redirect.htm?id=11144](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11144)). If you encounter a system issue beyond your control that threatens your ability to complete the submission process on-time, you must follow the [Guidelines for Applicants Experiencing System Issues](http://grants.nih.gov/grants/ElectronicReceipt/support.htm#guidelines) (<http://grants.nih.gov/grants/ElectronicReceipt/support.htm#guidelines>). For assistance with application submission contact the Application Submission Contacts in [Section VII](#).

### Important reminders:

For applications submitted electronically on the SF424 (R&R) Application forms, all PD(s)/PI(s) must include their eRA Commons ID in the Credential field of the Senior/Key Person Profile form of the SF 424(R&R) Application Package. Failure to register in the Commons and to include a valid PD/PI Commons ID in the credential field will prevent the successful submission of an electronic application to NIH.

The applicant organization must ensure that the DUNS number it provides on the application is the same number used in the organization's profile in the eRA Commons and for the (SAM). Additional information may be found in the Application Guide.

See [more tips](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11146) ([http://grants.nih.gov/grants/guide/url\\_redirect.htm?id=11146](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11146)) for avoiding common errors.

## Post Submission Materials

Not Applicable

## Section V. Application Review Information

### 1. Criteria

Administrative Supplements do not receive peer review. Instead, the administrative criteria described below will be considered in the administrative evaluation process.

The staff of the NIH awarding component will evaluate requests for a supplement to determine its overall merit. The following general criteria will be used:

### Budget and Period of Support

NIH staff will consider whether the budget and the requested period of support are fully justified and reasonable in relation to the proposed research.

## Overall Impact

NIH staff will consider the ability of the proposed supplement activities to increase or preserve the parent award's overall impact within the original scope of award.

The Program staff of the NIH awarding component will review requests for a supplement to determine their overall merit. Only the administrative review criteria described below will be considered in the review process:

- Is the candidate qualified for this administrative supplement, based on their degree background, career goals, prior research training, research potential, and any relevant experience?
  - Is there evidence of educational achievement and interest in science (if the candidate is a student)?
  - Is the plan and timeline for the proposed research and career development experiences in the supplemental request appropriate and achievable within the timeline of the parent grant?
  - Will the proposed experience expand and foster the research capabilities, knowledge, and/or skills of the candidate?
  - Will the activities of the candidate be an integral part of the project?
  - Will the proposed experience provide career mentoring and enhance the candidate's potential for, and commitment to, a productive, independent scientific research career in a complementary health-related field?
- In addition, each of the following criteria will be evaluated as applicable for the proposed supplement.

## Protections for Human Subjects:

For research that involves human subjects but does not involve one of the six categories of research that are exempt under 45 CFR Part 46, NIH staff will evaluate the justification for involvement of human subjects and the proposed protections from research risk relating to their participation according to the following five review criteria: 1) risk to subjects, 2) adequacy of protection against risks, 3) potential benefits to the subjects and others, 4) importance of the knowledge to be gained, and 5) data and safety monitoring for clinical trials.

For research that involves human subjects and meets the criteria for one or more of the six categories of research that are exempt under 45 CFR Part 46, NIH staff will evaluate: 1) the justification for the exemption, 2) human subjects involvement and characteristics, and 3) sources of materials. For additional information on review of the Human Subjects section, please refer to the [Guidelines for the Review of Human Subjects \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11175\)](https://grants.nih.gov/grants/guide/url_redirect.htm?id=11175).

## Inclusion of Women, Minorities, and Children

When the proposed project involves human subjects and/or NIH-defined clinical research, the committee will evaluate the proposed plans for the inclusion (or exclusion) of individuals on the basis of sex/gender, race, and ethnicity, as well as the inclusion (or exclusion) of children to determine if it is justified in terms of the scientific goals and research strategy proposed. For additional information on review of the Inclusion section, please refer to the [Guidelines for the Review of Inclusion in Clinical Research \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11174\)](https://grants.nih.gov/grants/guide/url_redirect.htm?id=11174).

## Vertebrate Animals

NIH staff will evaluate the involvement of live vertebrate animals as part of the scientific assessment according to the following five points: (1) description of proposed procedures involving animals, including species, strains, ages, sex, and total number to be used; (2) justifications for the use of animals versus alternative models and for the appropriateness of the species proposed; (3) interventions to minimize discomfort, distress, pain and injury; and (4) justification for euthanasia method if NOT consistent with the AVMA Guidelines for the Euthanasia of Animals. Reviewers will assess the use of chimpanzees as they would any other application proposing the use of vertebrate animals. For additional information on review of the Vertebrate Animals section, please refer to the [Worksheet for Review of the Vertebrate Animal Section. \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11150\)](https://grants.nih.gov/grants/guide/url_redirect.htm?id=11150)

## Biohazards



NIH staff will assess whether materials or procedures proposed are potentially hazardous to research personnel and/or the environment, and if needed, determine whether adequate protection is proposed.

## 2. Review and Selection Process

Administrative supplement requests will undergo an administrative evaluation by NIH staff, but not a full peer review. Applications submitted for this funding opportunity will be assigned to the awarding component for the parent award and will be administratively evaluated using the criteria shown above.

## 3. Anticipated Announcement and Award Dates

Not Applicable

# Section VI. Award Administration Information

## 1. Award Notices

A formal notification in the form of a Notice of Award (NoA) will be provided to the applicant organization for successful applications. This may be as an NoA for the supplemental activities only; alternatively, it may be as either a revision to the current year NoA or included as part of a future year NoA. The NoA signed by the grants management officer is the authorizing document and will be sent via email to the grantee's business official.

Awardees must comply with any funding restrictions described in [Section IV.5. Funding Restrictions](#). Selection of an application for award is not an authorization to begin performance. Any costs incurred before receipt of the NoA are at the recipient's risk. These costs may be reimbursed only to the extent considered allowable pre-award costs.

Any application awarded in response to this FOA will be subject to terms and conditions found on the [Award Conditions and Information for NIH Grants \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11158\)](#) website. This includes any recent legislation and policy applicable to awards that is highlighted on this website. When calculating the award for additional funds, NIH will 1) prorate funding if the requested budget period is adjusted at the time of award, and 2) use the institution's current F&A rate; i.e., the rate in effect when the new funding is provided.

## 2. Administrative and National Policy Requirements

All NIH grant and cooperative agreement awards include the [NIH Grants Policy Statement \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11120\)](#) as part of the NoA. For these terms of award, see the [NIH Grants Policy Statement Part II: Terms and Conditions of NIH Grant Awards, Subpart A: General \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11157\)](#) and [Part II: Terms and Conditions of NIH Grant Awards, Subpart B: Terms and Conditions for Specific Types of Grants, Grantees, and Activities \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11159\)](#). More information is provided at [Award Conditions and Information for NIH Grants \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11158\)](#).

Recipients of federal financial assistance (FFA) from HHS must administer their programs in compliance with federal civil rights law. This means that recipients of HHS funds must ensure equal access to their programs without regard to a person's race, color, national origin, disability, age and, in some circumstances, sex and religion. This includes ensuring your programs are accessible to persons with limited English proficiency. HHS recognizes that research projects are often limited in scope for many reasons that are nondiscriminatory, such as the principal investigator's scientific interest, funding limitations, recruitment requirements, and other considerations. Thus, criteria in research protocols that target or exclude certain populations are warranted where nondiscriminatory justifications establish that such criteria are appropriate with respect to the health or safety of the subjects, the scientific study design, or the purpose of the research.

For additional guidance regarding how the provisions apply to NIH grant programs, please contact the Scientific/Research Contact that is identified in Section VII under Agency Contacts of this FOA. HHS provides general



guidance to recipients of FFA on meeting their legal obligation to take reasonable steps to provide meaningful access to their programs by persons with limited English proficiency. Please see <http://www.hhs.gov/ocr/civilrights/resources/laws/revisedlep.html>. The HHS Office for Civil Rights also provides guidance on complying with civil rights laws enforced by HHS. Please see <http://www.hhs.gov/ocr/civilrights/understanding/section1557/index.html> (<http://www.hhs.gov/ocr/civilrights/understanding/section1557/index.html>); and <http://www.hhs.gov/ocr/civilrights/understanding/index.html> (<http://www.hhs.gov/ocr/civilrights/understanding/index.html>). Recipients of FFA also have specific legal obligations for serving qualified individuals with disabilities. Please see <http://www.hhs.gov/ocr/civilrights/understanding/disability/index.html> (<http://www.hhs.gov/ocr/civilrights/understanding/disability/index.html>). Please contact the HHS Office for Civil Rights for more information about obligations and prohibitions under federal civil rights laws at <http://www.hhs.gov/ocr/office/about/rgn-hqaddresses.html> (<http://www.hhs.gov/ocr/office/about/rgn-hqaddresses.html>) or call 1-800-368-1019 or TDD 1-800-537-7697. Also note it is an HHS Departmental goal to ensure access to quality, culturally competent care, including long-term services and supports, for vulnerable populations. For further guidance on providing culturally and linguistically appropriate services, recipients should review the National Standards for Culturally and Linguistically Appropriate Services in Health and Health Care at <http://minorityhealth.hhs.gov/omh/browse.aspx?lvl=2&lvlid=53> (<http://minorityhealth.hhs.gov/omh/browse.aspx?lvl=2&lvlid=53>).

In accordance with the statutory provisions contained in Section 872 of the Duncan Hunter National Defense Authorization Act of Fiscal Year 2009 (Public Law 110-417), NIH awards will be subject to the Federal Awardee Performance and Integrity Information System (FAPIS) requirements. FAPIS requires Federal award making officials to review and consider information about an applicant in the designated integrity and performance system (currently FAPIS) prior to making an award. An applicant, at its option, may review information in the designated integrity and performance systems accessible through FAPIS and comment on any information about itself that a Federal agency previously entered and is currently in FAPIS. The Federal awarding agency will consider any comments by the applicant, in addition to other information in FAPIS, in making a judgement about the applicant's integrity, business ethics, and record of performance under Federal awards when completing the review of risk posed by applicants as described in 45 CFR Part 75.205 "Federal awarding agency review of risk posed by applicants." This provision will apply to all NIH grants and cooperative agreements except fellowships.

### **Cooperative Agreement Terms and Conditions of Award**

Any supplements to Cooperative Agreements will be subject to the same Cooperative Agreement terms and conditions as the parent award.

### **3. Reporting**

Reporting requirements will be specified in the terms and conditions of award as applicable to the supplemental activities. In most non-competing continuation applications, the progress report and budget for the supplement must be included with, but clearly delineated from, the progress report and budget for the parent award. The progress report must include information about the activities supported by the supplement even if support for future years is not requested. Continuation of support for the supplement activities in the remaining years of the competitive segment of the grant will depend upon satisfactory review by the NIH awarding component of progress for both the parent award and the supplement project, the research proposed for the next budget period, and the appropriateness of the proposed budget for the proposed effort. This information is submitted with the [Research Performance Progress Report \(RPPR\)](http://grants.nih.gov/grants/rppr/index.htm) (<http://grants.nih.gov/grants/rppr/index.htm>) and financial statements as required in the [NIH Grants Policy Statement](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11161) ([http://grants.nih.gov/grants/guide/url\\_redirect.htm?id=11161](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11161)).

The Federal Funding Accountability and Transparency Act of 2006 (Transparency Act), includes a requirement for

awardees of Federal grants to report information about first-tier subawards and executive compensation under Federal assistance awards issued in FY2011 or later. All awardees of applicable NIH grants and cooperative agreements are required to report to the Federal Subaward Reporting System (FSRS) available at [www.fsrs.gov](http://www.fsrs.gov) ([//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11170](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11170)) on all subawards over \$25,000. See the [NIH Grants Policy Statement \(//grants.nih.gov/grants/guide/url\\_redirect.htm?id=11171\)](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11171) for additional information on this reporting requirement.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts from all Federal awarding agencies with a cumulative total value greater than \$10,000,000 for any period of time during the period of performance of a Federal award, must report and maintain the currency of information reported in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently FAPIIS). This is a statutory requirement under section 872 of Public Law 110-417, as amended (41 U.S.C. 2313). As required by section 3010 of Public Law 111-212, all information posted in the designated integrity and performance system on or after April 15, 2011, except past performance reviews required for Federal procurement contracts, will be publicly available. Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75 – Award Term and Conditions for Recipient Integrity and Performance Matters.

## Section VII. Agency Contacts

We encourage inquiries concerning this funding opportunity and welcome the opportunity to answer questions from potential applicants.

### Application Submission Contacts

eRA Service Desk (Questions regarding ASSIST, eRA Commons, application errors and warnings, documenting system problems that threaten on-time submission, and post-submission issues)

Finding Help Online: <http://grants.nih.gov/support/> ([//grants.nih.gov/support/](http://grants.nih.gov/support/)) (preferred method of contact)

Telephone: 301-402-7469 or 866-504-9552 (Toll Free)

General Grants Information (Questions regarding application processes and NIH grant resources)

Email: [GrantsInfo@nih.gov](mailto:GrantsInfo@nih.gov) (<mailto:GrantsInfo@nih.gov>) (preferred method of contact)

Telephone: 301-945-7573

Grants.gov Customer Support (Questions regarding Grants.gov registration and Workspace)

Contact Center Telephone: 800-518-4726

Email: [support@grants.gov](mailto:support@grants.gov) (<mailto:support@grants.gov>)

### Scientific/Research Contact(s)

Lanay M. Mudd, Ph.D.

National Center for Complementary and Integrative Health (NCCIH)

Telephone: 301-594-9346

Email: [lanay.mudd@nih.gov](mailto:lanay.mudd@nih.gov) (<mailto:lanay.mudd@nih.gov>)

### Peer Review Contact(s)

Not Applicable

### Financial/Grants Management Contact(s)

Shelley M. Carow

National Center for Complementary and Integrative Health (NCCIH)

Telephone: 301-594-3788

Email: [carows@mail.nih.gov](mailto:carows@mail.nih.gov) (<mailto:carows@mail.nih.gov>)

## Section VIII. Other Information

Recently issued trans-NIH [policy notices](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11163) ([http://grants.nih.gov/grants/guide/url\\_redirect.htm?id=11163](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11163)) may affect your application submission. A full list of policy notices published by NIH is provided in the [NIH Guide for Grants and Contracts](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11164) ([http://grants.nih.gov/grants/guide/url\\_redirect.htm?id=11164](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11164)). All awards are subject to the terms and conditions, cost principles, and other considerations described in the [NIH Grants Policy Statement](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11120) ([http://grants.nih.gov/grants/guide/url\\_redirect.htm?id=11120](http://grants.nih.gov/grants/guide/url_redirect.htm?id=11120)).

### Authority and Regulations

Awards are made under the authorization of Sections 301 and 405 of the Public Health Service Act as amended (42 USC 241 and 284) and under Federal Regulations 42 CFR Part 52 and 45 CFR Part 75.

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[Weekly TOC for this Announcement](http://grants/guide/WeeklyIndex.cfm?10-19-18) (<http://grants/guide/WeeklyIndex.cfm?10-19-18>)

[NIH Funding Opportunities and Notices](http://grants/guide/index.html) (<http://grants/guide/index.html>)

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**Note:** For help accessing PDF, RTF, MS Word, Excel, PowerPoint, Audio or Video files, see [Help Downloading Files](http://grants/edocs.htm) (<http://grants/edocs.htm>).