

SENATE FLOOR AMENDMENT

Third Reading

BY SENATOR Tate

1 Amend revised bill, page 10, strike lines 4 though 6 and substitute:

2 "(II) THIS SUBSECTION (3)(d) DOES NOT APPLY TO INVESTMENTS
3 IN MUTUAL FUNDS, OPEN-END INDEX FUNDS, OR EXCHANGE-TRADED INDEX
4 FUNDS."

5 Page 10, line 25, strike "OR".

6 Page 10, line 26, strike "COMPANIES." and substitute "COMPANIES;".

7 Page 10, after line 26 insert:

8 "(j) INVESTMENTS IN MUTUAL FUNDS, OTHER THAN QUALIFIED
9 MONEY MARKET FUNDS AS DEFINED IN SECTION 10-3-242 (1); OR

10 (k) INVESTMENTS IN OPEN-END INDEX FUNDS OR
11 EXCHANGE-TRADED INDEX FUNDS."

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