Marine Historical Ecology in Conservation

Applying the Past to Manage for the Future

Edited by JOHN N. KITTINGER, LOREN MCCLENACHAN, KERYN B. GEDAN, and LOUISE K. BLIGHT

Foreword by Daniel Pauly

UNIVERSITY OF CALIFORNIA PRESS
CONTENTS

Chapter Contributors ix
Viewpoint Contributors xiii
Foreword xvii
Daniel Pauly
Acknowledgments xix

PART I. Recovering Endangered Species 13
Lead Section Editor: Louise K. Blight

1 Managing Human Legacies in a Changing Sea: An Introduction 1
John N. Kittinger, Louise K. Blight, Keryn B. Gedan, and Loren McClenachan

2 What Recovery of Exploited Marine Animals Tells Us about Management and Conservation 15
Heike K. Lotze

3 Natural or Anthropogenic? Novel Community Reassembly after Historical Overharvest of Pacific Coast Pinnipeds 39
Jon M. Erlandson, Todd J. Braje, Robert L. DeLong, and Torben C. Rick

4 Using Disparate Datasets to Reconstruct Historical Baselines of Animal Populations 63
Francesco Ferretti, Larry B. Crowder, and Fiorenza Micheli

PART II. Conserving Fisheries 87
Lead Section Editor: John N. Kittinger

5 Improving Fisheries Assessments Using Historical Data: Stock Status and Catch Limits 91
Alan M. Friedlander, Joshua Nowlis, and Haruko Koike
6 Understanding Fisheries through Historical Reconstructions: Implications
   for Fishery Management and Policy  119
   Dalal Al-Abdulrazzak, Dirk Zeller, and Daniel Pauly

7 Back to the Future: Integrating Customary Practices and Institutions
   into Comanagement of Small-scale Fisheries  135
   John N. Kittinger, Joshua E. Cinner, Shankar Aswani, and Alan T. White

PART III. Restoring Ecosystems  161
   Lead Section Editor: Keryn B. Gedan

8 Historical Information for Ecological Restoration in Estuaries
   and Coastal Ecosystems  163
   Keryn B. Gedan, Denise L. Breitburg, Robin M. Grossinger, and Torben C. Rick

9 Estimates of Historical Ecosystem Service Provision Can Guide
   Restoration Efforts  187
   Philine S. E. zu Ermgassen, Mark D. Spalding, and Robert D. Brumbaugh

10 Incorporating Historical Perspectives into Systematic
    Marine Conservation Planning  207
    Natalie C. Ban, John N. Kittinger, John M. Pandolfi, Robert L. Pressey,
    Ruth H. Thurstan, Matt J. Lybolt, and Simon Hart

PART IV. Engaging the Public  235
   Lead Section Editor: Loren McClenachan

11 Engaging Public Interest in the Ocean of the Past: The Promise
    of New Media  239
    Catherine Marzin, Sian Evans, and Karen Alexander

12 Choice without Memory: Uncovering the Narrative Potential
    of Historical Ecology  265
    J. B. MacKinnon

Index  277
Marine Historical Ecology in Conservation, the title of this book, may be hard on potential readers, in that each of its two nouns and two adjectives can be seen as potential challenges:

- “Ecology,” because some find it difficult to distinguish the scientific discipline of ecology from the passion of environmentalism;
- “Historical,” because until recently, many academic ecologists suffering from physics envy were attempting to ban history and contingency from ecology;
- “Marine,” because we are air-breathing, terrestrial animals with a strong bias against the watery world that covers most of the surface of our ill-named planet; and finally,
- “Conservation,” because the word implies, for still too many, a departure from what scientists are supposed to do (describe our world, as opposed to changing it, or in this case, developing the tools to prevent it from being dismantled).

Why do we need marine historical ecology and conservation? The fact is that since Darwin’s On the Origin of Species, we have become quite good at inferring what existed—in terms of animals and plants—if only because we have (a) fossils and (b) a powerful theory which allows, nay demands, that we interpolate between the forms we know existed, because we have fossils, and the forms for which we have no direct evidence but which we can link to present forms, including us humans.

Thus, in a sense, we know most of what was there since the Cambrian, and this knowledge becomes more precise and accurate the closer we come to the present. However, we don’t know how much of what was there actually was there, and this may be seen as the defining feature of historical ecology and its potential use in marine conservation.

One way to view this is that while evolution’s “central casting” provides us with a reliable stable of actors (e.g., a wide range of dinosaurs in the Triassic or a flurry of mammals in the
Pleistocene), it is for historical ecology to give them roles to play. (Note that these examples imply that historical ecology should mean the ecology of past systems and not only past ecology as recoverable through written documents, as one could assume when relying on a narrow interpretation of the word “history”.)

Thus, an ecosystem with, say, sea turtles in it will function in a radically different way if these turtles are very abundant (as they appear to have been, e.g., in the pre-Columbian Caribbean) than it will where sea turtles are marginal, as is now the case in the Caribbean.

The Earth’s ecosystems have all been modified by human activities, and this applies also to essentially all marine ecosystems, which whaling and hunting of other marine mammals, and later fishing, have reduced to shadows of their former selves in terms of the larger organisms they now support and the benefits they can provide us.

Some of these ecosystem modifications were unavoidable, as humans living on coastlines are largely incompatible with large populations of, say, sturgeons, sea turtles, or pinnipeds, and our appetite for fish implies that some fish populations will have to be reduced by fishing. But to a large extent, the depredations that we have imposed on the oceans have been entirely gratuitous: we need not have eradicated the great auk (*Pinguinus impennis*) or the Caribbean monk seal (*Monachus tropicalis*) to satisfy our seafood requirements, and thus it is perfectly reasonable to ask ourselves how we could prevent such catastrophes in the future (each species loss is a catastrophe) and whether we can rebuild now depleted populations of marine organisms so as to reduce the risk of this occurring again, and to have more to enjoy.

This is what marine historical ecology in conservation is for: to inform us about what these populations have been in the past, and under which conditions these populations could flourish so that we can start helping them do so. This is what the neat book you have in your hands is about.

*Daniel Pauly*
*Vancouver*
*August 2013*
Fish cannot regulate their body temperature (we include water-breathing invertebrates here but exclude tuna and a few other very active, large pelagic fishes whose existence does not affect the thrust of our argument). This implies that, to a large extent, fish must follow the average temperature of the habitat in which they have evolved, which explains the pattern of migration of many fishes (e.g., sardines *Sardinops sagax*) along the western coast of North America.

We have recently demonstrated that temperature constraints have caused, in the past 40 years, a poleward migration of the fish exploited by commercial fisheries (Cheung et al. 2013). This migration had been documented through dozens, perhaps hundreds, of reported occurrences of low-latitude fish in the catch of high-latitude fisheries, but these anecdotes were not perceived as representative of anything but themselves. The procedure we used was simple in principle: we attributed to each species occurring in fishery catches a single temperature representing the mode of the temperatures observed over their historical distribution range (mostly based on maps derived from FishBase; see www.fishbase.org). This temperature was then multiplied, for each species and location of interest, by the catch of that species; the species-specific products were added for all species in the catch of a given year; and the sum of these products was divided by the total catch. The result was, for each location and year, a precise estimate of the mean temperature of the catch (MTC), which we showed to have increased in most parts of the world; indeed, these tracked observed temperatures rather faithfully (Figure 3.4).

These findings, which generalized a local study by Collie et al. (2008), can be applied, in the context of historical marine ecology, to estimate the prevailing sea surface temperature at the time when subfossil or fossil assemblages of fish were generated (e.g., in middens or other
All that is needed to compute their MTC is a characteristic temperature for each species in the assemblage and the proportion of each species in the assemblage, preferably as estimated by live-weight equivalent reconstructions. (This is better than counting numbers of bone fragments, as these can be seriously biased toward species with numerous small bones that do not contribute much to the biomass of the catch). We are looking forward to the first publication of an ancient-fish-based temperature.

Daniel Pauly is Principal Investigator in the Sea Around Us Project, Fisheries Centre, University of British Columbia, Vancouver, Canada. William Cheung is Associate Professor in the Changing Ocean Unit and Nereus Program, Fisheries Centre, University of British Columbia.


Understanding Fisheries through Historical Reconstructions

Implications for Fishery Management and Policy

DALAL AL-ABDULRAZZAK, DIRK ZELLER, and DANIEL PAULY

Global fisheries catch statistics are often incomplete. The contribution of many sectors, including small-scale fisheries, illegal catches, and discards are frequently absent from or underreported in statistics submitted annually by member countries of the United Nations Food and Agriculture Organization (FAO). This incomplete accounting in official statistics, and the resulting distorted historical trends, impairs our understanding of the management and policy prescriptions necessary for fisheries sustainability. This chapter describes an approach to retroactively estimate catches where comprehensive time series data are lacking. Data are gathered from nontraditional sources, such as unpublished studies, gray literature, published studies, and surveys; or from sources unrelated to fisheries, such as satellite imagery. We present examples of the discrepancy between reported and reconstructed catches and discuss the implications of such misreporting for management and fisheries policy on national, regional, and global scales.

INTRODUCTION

Indisputably, the single most important piece of information about a fishery is the total catch, by species, over time (Froese et al. 2012). Catch data increase our knowledge of a fishery by determining two essential things: (1) the scale of the fishery (Zeller et al. 2006, 2011a) and (2) the status of the stock over time (Froese et al. 2012, Kleisner et al. 2012). Understanding long-term trends (decades to centuries) in catch is critical to managing fisheries sustainably because it allows us to assess the “health” of a fishery within the context of species life spans, human impacts, environmental disturbances such as El Niño events, as well as...
long-term changes in oceanographic regimes. Temporal trends also allow us to establish viable restoration targets based on past abundances of species.

Since its creation, the FAO has been tasked to collect, analyze, and disseminate information related to food, nutrition, and agriculture, including fisheries (Ward 2004). Since 1950, the FAO has assembled, standardized, and distributed annual marine fishery landings reported by its member countries, broken down by taxa as well as by 19 large statistical areas. This global-capture fishery database, known as FishStat (www.fishstat.org), provides the only global time series of national fishery landings (Garibaldi 2012), and a detailed analysis of its contents is published every 2 years (see, e.g., FAO 2011).

FishStat has served as a major source of data in many global and regional fisheries studies that analyze and interpret fisheries trends. These global studies include, among others, the first estimation of the primary production required to sustain global fisheries (Pauly and Christensen 1995), evidence that humans are “fishing down” marine food webs (Pauly et al. 1998), the first global estimation of fuel usage by fishing fleets (Tyedmers et al. 2005), a prediction that fisheries will collapse in 2048 (Worm et al. 2006), and fish biomass trends since 1950 (Tremblay-Boyer et al. 2011, Watson et al. 2013). These data have also formed the core of global catch-mapping efforts (Watson et al. 2004), which, in turn, enabled a greater understanding of the spatial expansion of global fisheries (Swartz et al. 2010a) and, conversely, of the manner in which they supply fish markets (Swartz et al. 2010b).

Besides scientific studies, FAO data have been used to inform fisheries management policy. For example, organizations such as the World Resources Institute often use FAO catch data to estimate national earnings from fish exports, production trends, and per capita fish consumption rates. Additionally, the Marine Trophic Index (which was derived from the “fishing down” concept of Pauly et al. 1998) is partly based on FAO catch data and is one of the indicators used to measure the biodiversity of large fishes by the countries party to the Convention on Biological Diversity (Pauly and Watson 2005).

Despite their wide use, numerous studies have called into question how closely FAO data resemble reality (Zeller et al. 2006, 2007a; Clarke et al. 2006; Watson and Pauly 2001). Because catch data and other fishery statistics are generally submitted to FAO by national entities, the quality of FAO data is dependent on the accuracy and reliability of statistical data collection within these member countries (Garibaldi 2012). Thus, perverse incentives (for the case of China, see Watson and Pauly 2001), the historical legacies of governmental or institutional change (e.g., in Tanzania; see Jacquet et al. 2010), or political interference (e.g., in India; Bhathal and Pauly 2008) can cause such statistical reporting systems to underperform or decay.

In general, FAO data are recognized as incomplete in many regions; more than half of FAO member countries failed to report their annual fishery statistics over the past decade (Garibaldi 2012). Additionally, FAO does not account for discards in its database and, thus, implicitly requires countries to report fisheries “landings” rather than “catches.” By contrast, at least one regional fisheries management organization, the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR), includes discarded catches obtained via onboard observer programs into their catch database (although they do not label these as
discards). Overall, however, the contribution of many sectors, including small-scale fisheries, recreational fishing, and illegal catches are frequently absent or substantially underreported (e.g., Zeller et al. 2007a, 2007b, 2011a, 2011b; Jacquet et al. 2010; Le Manach et al. 2012).

The chronic misreporting of fisheries data is not trivial; the consequences extend far beyond resource conservation and can lead to inequitable policy decisions that jeopardize food security (Jacquet et al. 2010, Le Manach et al. 2013), underestimate the contribution of small-scale fisheries to rural food security and GDP (Pauly 2006, Zeller et al. 2006), and incorrectly assume that global fish catches are increasing (Watson and Pauly 2001). This may lead to negative policy outcomes, such as when misreported catches undermine stock assessments and management plans (Zeller et al. 2008), potentially leading to overoptimistic investments or between-country access agreements, which consequently subvert the sustainability of fisheries.

Breaking this cycle requires estimating overall national catches from independent data if possible, or by complementing the data provided by countries to the FAO with estimates for all missing or underreported components. Pauly (1998) suggested that a complex, multifaceted sector of a given country’s or territory’s economy cannot operate without casting a “shadow” on the other sectors of that economy (ranging from boat building, repairs, and supplies to domestic fish consumption). Therefore, if catch data are unavailable, they can be indirectly inferred from other related sectors.

These ideas were operationalized by Zeller et al. (2007a), who devised an approach for retroactively estimating or “reconstructing” the catch of neglected fisheries, in order to enable a more accurate picture of historical catch patterns and trends. Often, catch reconstructions rely on information and data sources originally intended for other purposes. Such information can be found in a number of sources, including unpublished studies, gray literature, maritime records, aerial photos, and interviews with fishers, or obtained from published studies and surveys whose primary focus is other than catch reporting. (See Box 6.1, where Loren McClenachan relates her stories on how archival research can yield important—and sometimes unexpected—sources for marine historical ecologists.) These nontraditional sources can be used to derive estimates of catch and of catch rates per unit area, per fisher, or per capita for a given period. Using a series of such data “anchor points” (Zeller et al. 2007a), total-catch time-series estimates can then be derived for years with missing data using interpolations.

Fishing predates all other human activities in the ocean (Jackson et al. 2001), and data sets compiled over longer periods (decades to centuries) can be used to detect greater declines in large fishes (McClenachan 2009). However, the reconstruction method developed by Zeller and colleagues typically starts at 1950 for several reasons, including the following: (1) this is the year when FAO began to publish its annual “yearbook” of global fisheries statistics; (2) in many parts of the world, this period marks the start of industrialized fishing; and (3) greater data availability for this period allows for the development of more robust catch time series. As discussed in Box 6.2, these catch reconstructions can provide important information on fishery trends for conservation practitioners.

Marine fisheries catches have been successfully reconstructed in many regions of the world, including for Pacific Island countries and territories (Zeller et al. 2006, 2007a), East Africa and
Historical research involves detective work: patient searching through piles of archival documents to find relevant sources, careful reading to understand context, and intuitive use of clues along the way to direct and redirect the search. Ecologists who use historical data must undertake this process as well, but with the added challenge that they are often interested in much longer time scales and larger geographic areas than individual historians typically investigate. For my PhD research, I made trips to dozens of archives in the United States, Europe, and the Caribbean. During my first—a three-month visit to the Archivo de Indias in Seville, Spain—I met many historians who were researching social changes within single nations over decades; I was researching change to large marine vertebrate populations across the entire Spanish Caribbean during the whole period of colonization. I needed to target my search and think creatively about the types of sources that might contain ecological data.

Targeting searches and interpreting documents involves understanding the motivations of people in the past. Businessmen in the nineteenth century were not carefully recording tortoiseshell exports because they knew that ecologists two centuries later would be interested in the long-term dynamics of hawksbill turtle (Eretmochelys imbricata) populations. Rather, they were recording business transactions, many of which are still housed in colonial archives. Together with other sources, these documents can describe previously unknown change to marine species and ecosystems. After looking through a variety of sources, I focused my search on export records, travelers’ diaries, and early natural histories. And after poring over hundreds of these types of documents, I was able to extract and compile information that described geographic changes in large marine vertebrates across the Caribbean (McClenachan et al. 2006, McClenachan and Cooper 2008).

The detective work of historical ecology often finds data in surprising places. In the last week of my final archival trip for my dissertation research—to the Monroe County...
Public Library in Key West, Florida—I found a box of photographs of tourists posing near fish caught on recreational fishing boats in the 1950s, '60s, '70s, and '80s. To most people, the photos would be interesting for their local flair, so they had not immediately come to mind when I initially described my interest in the history of Keys fisheries to the library’s archivist. Finding the photographs—which contained a nearly unbroken record of data on the size structure and species composition of reef fisheries decades before traditional ecological or fisheries data existed (McClenachan 2009)—took weeks of following leads and conversing with librarians, local people, and other researchers. Similarly, after several archival visits and conversations with local residents, Kyle Van Houtan realized that decorative menus from Hawaiian seafood restaurants could be used to describe the historical harvest of reef fish, at a critical period at the beginning of the twentieth century for which no other data existed (Van Houtan et al. 2013).

As technology advances, the detective process of historical research becomes easier. Increasingly, we can search electronically the contents of digitized books, digital archives, and photographs (also see chapters 4 and 11, this volume). For example, the Monroe County Library has now digitized hundreds of their “Dead Fish” pictures and archived these online (Florida Keys Public Libraries n.d). Advances like this should encourage more ecologists to undertake historical research and expedite the use of the results for conservation and management. However, there is great value to elements of the detective process that cannot be replicated online: searching through a variety of sources to determine the best ones and following clues toward sources that may be unexpectedly rich. This process is enhanced through conversations with librarians, local people, and researchers sitting across the table at the archives. As historical ecology advances, ecologists should make full use of new technologies, but these cannot fully replace the detective process of historical research.

As technology advances, the detective process of historical research becomes easier. Increasingly, we can search electronically the contents of digitized books, digital archives, and photographs (also see chapters 4 and 11, this volume). For example, the Monroe County Library has now digitized hundreds of their “Dead Fish” pictures and archived these online (Florida Keys Public Libraries n.d). Advances like this should encourage more ecologists to undertake historical research and expedite the use of the results for conservation and management. However, there is great value to elements of the detective process that cannot be replicated online: searching through a variety of sources to determine the best ones and following clues toward sources that may be unexpectedly rich. This process is enhanced through conversations with librarians, local people, and researchers sitting across the table at the archives. As historical ecology advances, ecologists should make full use of new technologies, but these cannot fully replace the detective process of historical research.
Part II. Conserving Fisheries

Such substantial differences between reported landings and reconstructed total catches illustrate the magnitude of the data-reporting problems faced by countries with small-scale, data-poor fisheries (e.g., Zeller et al. 2007a, 2011a, 2011b). It also points to a fundamental problem of fisheries catch data being viewed purely from a commercial, market perspective, which accounts only for what is landed and utilized for commercial sale or export (Zeller and Pauly 2004, Pauly and Zeller 2003). By contrast, fisheries data collection—and, hence, catch accounting—needs to account for total catches, particularly given the global move toward

BOX 6.2  Viewpoint from a Practitioner: The Value of Catch Reconstructions for Conservation Practitioners
Rod Fujita

The global fisheries catch is a key indicator of the status of one of the planet’s most important ecosystem services. Conservation practitioners and funders interested in food security, ocean conservation, and sustainable development focus on global catch and its trend to set agendas for action and to generate urgency. Catch is the most salient attribute of fisheries to most people; as such, it has a strong effect on the dominant worldview regarding the status of fisheries. And of course, the dominant view determines demand for change and the amount of funding and political will for making change.

Knowing how many fish are being caught is just as important at other scales, for many different reasons. Catch statistics are often used to estimate the status of fish stocks, the very basis of scientific fisheries management—essential for setting sustainable catch limits, a primary fisheries conservation tool. And once a catch limit is set, of course, catch statistics are necessary for ensuring that the limit is not exceeded. Catch records are often used to allocate catch privileges, helping to establish rules for member states, regions, groups, or even individual fishermen that can alleviate the competition to maximize catch. This competition tends to deplete fish populations and make fisheries unprofitable in the absence of governance or rules.

Clearly, catch statistics are extremely important for fisheries management. But unfortunately, the reliability of these statistics is very uneven. Some countries collect high-quality catch information, while others do not. One way to remedy this is to reconstruct the catch, using whatever information is available to piece together the missing history of a fishery.

Catch reconstruction is easy to criticize, and there is an active debate among scientists about it (Pauly et al. 2013). But the bottom line is that the alternative—a massive new data-collection program—is infeasible in the short term. Moreover, it would not yield information on catches early in the history of fisheries, which is critically important for practitioners because it provides a baseline against which to measure the performance of a fishery.

The FAO’s global fisheries database includes >19,000 unique entries, but only a few hundred fisheries have been assessed. The available evidence (stock status of unassessed fisheries extrapolated from catch statistics) suggests that most unassessed stocks are overfished and are becoming more overfished as time goes on. If we are to reverse this trend, one of the first things we need to do is assess as many stocks as possible, and that means reducing data requirements and developing

Such substantial differences between reported landings and reconstructed total catches illustrate the magnitude of the data-reporting problems faced by countries with small-scale, data-poor fisheries (e.g., Zeller et al. 2007a, 2011a, 2011b). It also points to a fundamental problem of fisheries catch data being viewed purely from a commercial, market perspective, which accounts only for what is landed and utilized for commercial sale or export (Zeller and Pauly 2004, Pauly and Zeller 2003). By contrast, fisheries data collection—and, hence, catch accounting—needs to account for total catches, particularly given the global move toward
assessment methods that are accessible to a much larger number of people.

One of my priorities is to remedy this situation, and I think that catch reconstructions will help. Most fisheries collect limited data; catch is virtually the only fishery statistic that is collected around the globe, however spotty that record may be. Fortunately, simple and rapid methods are now available for analyzing these kinds of stocks. However, they depend on estimates of unflushed biomass—hard to come by in most fisheries, because few fisheries bother to collect data from day one. Catch reconstructions that yield estimates of historical catch may provide valuable insight into what unflushed biomass might have been, facilitating the kinds of data-limited analytical methods that I and many others have been promoting.

While many fisheries collect catch data, and catch reconstruction can fill in many gaps, I often encounter fisheries that have no data whatsoever—particularly in developing countries. As the authors of this chapter assert, new data-collection efforts should focus on using assessment methods that require less time, fewer resources, and less expertise than conventional methods. We practitioners should also mine the available data more effectively. For example, my team is trying to generate abundance indices using visual census data, which are often quite rich in coral reef countries that lack traditional fisheries data. Moreover, if every fishery included an unflushed reference area, there would be less need for long historical catch records, as the unflushed area provides a good baseline for assessing stock status. We are using ratios of abundance in fishing grounds to abundance in no-take marine reserves as an index of stock status, which we hope can serve as a performance indicator in combination with empirical thresholds for coral reef tipping points related to fish biomass in adaptive management. If the ratio stays above the threshold associated with coral-dominated reef systems, no change in fishing mortality is required. But if the ratio falls below thresholds associated with transitions to less desirable ecosystem states, a harvest control rule is triggered in order to reduce fishing mortality to levels that are likely to increase the ratio. Similarly, information on the distribution of size classes in a fish population can provide a snapshot of stock status useful for management without the need for many years of data. Methods that utilize unflushed areas and size data are much simpler to use than conventional methods and offer hope that many more stocks will be assessed and managed, improving fishery outcomes for stocks, ecosystems, and society in the future.

Rod Fujita is Director of Research and Development, Environmental Defense Fund.
recreational fisheries sectors (Zeller et al. 2007b). For example, in the Pacific Islands, household income and expenditure surveys are undertaken periodically to assess fisheries’ performance and importance to local economies (Box 6.3). Al-Abdulrazzak and Pauly (2014) use Google Earth to “ground truth” weir fish catches, speaking to the potential of satellite technologies for monitoring fisheries remotely, particularly in areas that were once considered too dangerous or expensive for fisheries surveillance and enforcement.

The reconstructed time series also illustrates the magnitude and importance of discards (Figure 6.2). In terms of tonnage, discards amounted to almost 10 times the total amount of

---

**BOX 6.3  Viewpoint from a Practitioner: The Value of Household Income and Expenditure Surveys in Reconstructing Catches of Small-scale Fisheries**

Johann Bell

A practical way to reconstruct basic information on small-scale commercial and subsistence fisheries where it is not collected by fisheries agencies, or to verify such information where it is available, is to use the household income and expenditure survey (HIES). These surveys are usually conducted every 5 years by national statistics offices to calculate the consumer price index and other socioeconomic measures.

Provided that the HIES reflects national trends and contains questions on how much fish is purchased, caught, received as gifts, and sold by the household, it provides important information for sustainable management of coastal fish stocks. For example, a well-designed HIES can provide estimates of the total quantities of different types of fish consumed within the country (reef fish, oceanic fish, freshwater fish, etc.) and whether these fish were derived from commercial or subsistence fishing. Each of these categories of fish can be divided into simple classes (e.g., herbivorous and carnivorous reef fish, the main species of tuna) within the HIES to provide catch estimates for important groups of species or to determine the extent of selective fishing where independent data are available on the relative abundance of these groups in the wild.

The information from HIES not only provides useful snapshots of the total catch from small-scale fisheries and per capita fish consumption, but can also be used to monitor the performance of management and conservation measures. Because the HIES is done at regular intervals, trends in fish catch and consumption can be assessed and the results can be used to adapt management as required.

In Pacific Island countries and territories, coastal communities are being encouraged to shift some of their fishing effort from coral reef fish to oceanic fish (especially skipjack and yellowfin tuna) by fishing around fish aggregating devices (FADs) anchored close to shore to attract large pelagic fish. This measure is designed to help provide more fish for food security as human populations grow, and as an adaptation to the declines in coral-reef fish production projected to occur as the climate changes. Fishing around inshore fish aggregating devices also has the conservation benefit of reducing pressure on coral reef fisheries. HIES is a powerful tool for measuring whether this management option changes the percentages of reef fish and tuna in the catches of small-scale commercial and subsistence fisheries.

Johann Bell was previously Principal Fisheries Scientist with the Secretariat of the Pacific Community; he is now Honorary Professorial Fellow at the Australian National Centre for Ocean Resources and Security, University of Wollongong, NSW, Australia.
landed finfish in Kuwait. Although local fisheries are currently not essential to Kuwait’s food security (because the country’s food resources are largely imported), recent climate-change studies predict that the Persian Gulf may lose 50% of its fisheries in the coming decades (Huelsenbeck 2012), prompting a greater demand for imports in the near future. To adequately prepare for climate-change impacts, as well as for sound fisheries management, managers should consider policies that reduce discarding practices. Such approaches may also help bolster local food-security policy as fisheries resources shift under global climate change. In general, a more complete estimate of total fisheries extractions is fundamental to
effectively manage fisheries resources in order to mediate potential threats to food security and to assess ecological resilience. The future success of many countries relies, in part, on their ability to keep pace with an increasingly global economy while maintaining a healthy supply of resources for domestic purposes.

THE REGIONAL SCALE

The importance of historical reconstructions for food security policy can also be examined from a regional perspective. Historical catches have been reconstructed for almost all small island states and territories of the Caribbean (e.g., see Frotté et al. 2009, Harper et al. 2009), thus enabling some generalizations. The first is that catches are systematically underreported in the region, by 32–35% in Guadeloupe and Martinique and by 430% in Jamaica (with a median of 250% for all islands in the region). Such underreporting—which includes only a small amount of the discarded fish that is reported to FAO—implies that the fisheries of the Caribbean are systematically underreported in terms of their contribution to food security and GDP, just as in other areas of the world (Zeller et al. 2007b).

As in the Caribbean, catches by Pacific Island countries have also been systematically underreported (see, e.g., Zeller and Harper 2009, Harper and Zeller 2011). Most small Pacific Island countries have shifted their focus to lucrative pelagic tuna fisheries. These fisheries are conducted almost exclusively through foreign fishing interests, whether via fishing access agreements, through so-called joint venture or charter operations, or even by reflagging of foreign vessels to permit easier and cheaper access to Pacific Island countries’ waters. Fishing access fees often provide a major share of a country’s foreign exchange earnings (Gillett et al. 2001; Gillett 2009, 2011) in this region, but such approaches also discount future production from domestic fisheries, which may provide for current and future food security in a region with few other domestic protein sources (Secretariat of the Pacific Community 2008). See Box 6.3 on how regional initiatives in the Pacific are being undertaken to provide local communities more access to pelagic fishery resources and how fisheries experts are helping us better understand the role of fisheries in food security in this region.

Catch reconstructions can also benefit public policy by providing improved catch statistics and a better accounting of the role of fisheries in national, regional, and global economies. For example, reconstructed catches for Mozambique and Tanzania (Jacquet and Zeller 2007a, 2007b; Jacquet et al. 2010) contributed directly to changes in how these two countries estimate and report their data. Mozambique, which was shown to have 6 times higher catches than the reported data suggested at the time (mid-2000s), has subsequently been able to improve its catch sampling program, which is reflected in substantially improved catch data in recent years. Such increased accuracy of reported catch data can assist during foreign fishing-access negotiations, which often focus on a perceived “surplus production.” Catch reconstructions can reveal the true catch landed by domestic fisheries, thus preventing excessive allocation to foreign fishing and reducing the likelihood of “selling the same
fish twice” to both domestic and foreign fishers operating in the same waters (also see Le Manach et al. 2012, 2013).

Another example comes from Tanzania, where catch statistics from a major region of the country (the island group of Zanzibar), despite being collected by the Zanzibar fisheries agency, were missing entirely from the data reported by the government of Tanzania to FAO (Jacquet and Zeller 2007b). These catch statistics were retroactively included as of 2000 (Jacquet et al. 2010). This reporting oversight was likely brought to light through catch reconstructions, which were shared with local authorities, thus influencing the subsequent data correction.

THE GLOBAL SCALE
Reconstructed time series can also be used to influence policy in the international arena. For example, in recent years the representatives of Caribbean countries sided with Japan in voting for whaling in successive meetings of the International Whaling Commission, citing the need to maintain a “balance in the ecosystem” (Swartz and Pauly 2008). Robust time-series data on catch, which include all withdrawals from fisheries ecosystems, can provide an important first step in provisioning data for ecosystem-based fisheries management, and one that is required for any kind of “balance” to be assessed. This is especially important because it has shown that fisheries have strong impacts on the structure and functioning of Caribbean marine ecosystems (Jackson et al. 2001, Mora 2008). By contrast, marine mammals in general, and whales in particular, have only negligible effects on these ecosystems, even when abundant (Gerber et al. 2009).

One major insight emerging from catch reconstructions is the global footprint of fisheries and the full extent of living-marine-resource extraction. Reconstructions are illustrating the trajectories of fisheries and how they are changing over time, which can contrast with official reported statistics and challenge existing assumptions about global trends. For example, contrary to the FAO’s (2011) description of global fisheries being “stable,” reconstructed catches, when pooled for the whole world, show a declining trend. This suggests that increased catches from newly exploited areas are no longer replacing those from depleted stocks, as was the case for the past few decades when geographically expanding fisheries masked the overfishing of traditional stocks (Swartz et al. 2010a). These insights, which have critical consequences for the way global fisheries are managed, are not possible without a historical perspective.

CONCLUSIONS
When fisheries managers rely solely on conventional quantitative data (i.e., data collected from mainly commercial fisheries using logbook or landings site/port or market sampling), catch data often suffer from massive underreporting. Such approaches can result in incorrect assumptions that small-scale fishery catches are small or negligible, leading to
inappropriate management, often with unintended outcomes and consequences both for fisheries resources and coastal communities. Researchers are increasingly paying more attention to methods that make use of imperfect records of the past. Researchers are accessing and using a wide range of nontraditional sources such as historical anecdotes, which can be “as factual as a temperature record” (Pauly 1995). As we show in the context of fishery reconstructions, marine historical ecology approaches can counter the underestimation of traditionally neglected sectors and the shifting baselines syndrome, which can result in mismanagement of fisheries resources.

Despite data uncertainties and the need to appropriately qualify data assumptions, catch reconstruction approaches are preferable to the alternative, which assumes low levels of catch or—even worse—leaves whole sectors out of reported data, which may be erroneously interpreted as “zero” catch in the policy and management arena (Zeller et al. 2006). Such “zero” interpretations of missing data components will certainly be more misleading than reconstructed estimates (despite uncertainties), especially if reconstructions remain conservative. Some managers and practitioners may be understandably uneasy with the imprecision of catch reconstructions, given the uncertainty of the data and the information sources that underlie them, rather than valuing the trends and improved accuracy they provide (i.e., by accounting for sectors ignored in reported data). However, as the economist John Maynard Keynes is reported to have said, “It is better to be vaguely right than precisely wrong.”

With increased availability of catch reconstructions for historical fisheries, managers and policymakers will be able to focus on trends in the available data rather than focusing on the uncertainty surrounding these data (Rosenberg et al. 2005). We hope the increased acceptance of such approaches—and recognition of their validity and value—will help inform much-needed shifts in data collection and accounting toward considering and embedding fisheries in long-term reconstructions of marine ecosystems. As we have shown here, the incorporation of missing fishery sectors often results in distinctly different baselines of past catches, and these can have strong policy and management implications.

Even among fisheries scientists, there remain debates about the global status of fisheries and even the value and need for basic fisheries data such as catch (Pauly et al. 2013). Many believe that rigorous quantification of the uncertainties surrounding stock assessments and the subsequent delivery of results to managers in the form of risk assessments sufficiently address the overfishing crisis (Pauly and Zeller 2003). Yet the major challenges in fisheries are based in the realm of public policy. Issues of equitable resource allocation require public involvement, participatory democratic planning, and provisioning of decision making with the most accurate and reliable data. As we have shown here, fishery reconstructions can provide a critical long-term view on fisheries performance, sectoral contributions, and risks associated with various management strategies (e.g., not managing discards appropriately).

Fishery reconstructions and other data from marine historical ecology can be critical in informing the public, and the public-policy decision makers who represent them, of the true status and trend of fisheries and their associated effects on ocean health (Pauly and Zeller 2003).
Findings from marine historical ecology will continue to be challenged, but continuous efforts on behalf of the scientific and practitioner community to effectively communicate this emerging area not only to scientific colleagues, but also to policymakers and the general public, are making headway. Historical reconstruction approaches have much potential to remove “lack of data” from the list of excuses used to maintain the status quo, and to increase public transparency and involvement in fishery policy by the true owners of the marine resources, the present and future global citizens.

ACKNOWLEDGMENTS

This is a contribution from the Sea Around Us, a collaboration between the University of British Columbia and the Pew Charitable Trusts.

REFERENCES


