Overview of Consolidated and P/FDS Waivers

August 2, 2017
1. **Web Series Kick Off with Deputy Secretary Thaler**
   July 31, 2017 from 12:00-1:00 p.m.

2. **Overview of Consolidated and P/FDS Waiver Renewals**
   August 2, 2017 from 10:00 a.m.-12:00 p.m. and 5:30-7:30 p.m

3. **Waiver Renewals: Community Participation Supports**
   August 17, 10:00 a.m.-12:00 p.m

4. **Waiver Renewals: Changes in Residential Services**
   September 21, 2017 from 10:00 a.m.-12:00 p.m
Eligibility
The County MH/ID/DD Program determines whether individuals meet the following waiver eligibility criteria:

- Diagnosis of one of the following:
  - Intellectual disability
  - Autism
  - Developmental disability with a high probability of resulting in an intellectual disability or autism – Age 0 through age 8

- Level of Care for ICF/ID or ICF/ORC
- Emergency PUNS or reserved capacity
- Need for at least 1 waiver service in addition to Supports Coordination
Waiver Eligibility Criteria

The County Assistance Office determines whether individuals meet the following eligibility criteria:

• Eligibility for Medical Assistance/Medicaid
• Financial requirements for waiver eligibility
B-3-a: Unduplicated Number of Participants

Consolidated Waiver
- Year 1 18,209
- Year 2 18,209
- Year 3 18,209
- Year 4 18,209
- Year 5 18,209

P/FDS Waiver
- Year 1 14,720
- Year 2 14,720
- Year 3 14,720
- Year 4 14,720
- Year 5 14,720
## Consolidated Waiver

<table>
<thead>
<tr>
<th>Age Out and Transitions – NEW</th>
<th>Community Transfers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year 1 – 200</td>
<td>Year 1 – 70</td>
</tr>
<tr>
<td>Year 2 – 200</td>
<td>Year 2 – 70</td>
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<tr>
<td>Year 3 – 200</td>
<td>Year 3 – 70</td>
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<tr>
<td>Year 4 – 200</td>
<td>Year 4 – 70</td>
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<tr>
<td>Year 5 – 200</td>
<td>Year 5 – 70</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Unanticipated Emergencies – No Change</th>
<th>Hospital/Rehabilitation Care</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year 1 – 80</td>
<td>Year 1 – 200</td>
</tr>
<tr>
<td>Year 2 – 80</td>
<td>Year 2 – 200</td>
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<tr>
<td>Year 3 – 80</td>
<td>Year 3 – 200</td>
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<tr>
<td>Year 4 – 80</td>
<td>Year 4 – 200</td>
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<tr>
<td>Year 5 – 80</td>
<td>Year 5 – 200</td>
</tr>
</tbody>
</table>
### B-3-c: Reserved Waiver Capacity

#### P/FDS Waiver

<table>
<thead>
<tr>
<th>Hospital/Rehabilitation Care – No Change</th>
<th>Competitive Employment Initiative</th>
</tr>
</thead>
<tbody>
<tr>
<td>Year 1 – 25</td>
<td>Year 1 – 50</td>
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<tr>
<td>Year 2 – 25</td>
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<tr>
<td>Year 3 – 25</td>
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<tr>
<td>Year 4 – 25</td>
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<tr>
<td>Year 5 – 25</td>
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<tr>
<td>Graduate Waiting List Initiative</td>
<td></td>
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<tr>
<td>Year 1 - 820</td>
<td></td>
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</tbody>
</table>
Waiver Services
New Services

Support families throughout the life span and expand options for community living

- Supported Living (Consolidated Only)
- Housing Tenancy and Tenancy Sustaining Services
- Family/Unpaid Caregiver Training and Consultation
- Expansion of life sharing

Promote self-direction, choice and control

- Participant-Directed Goods and Services (P/FDS Only)

Assure effective communication

- Communication Specialist
New Services

Promote health, wellness, and safety and support people with complex needs
- Consultative Nutritional Services
- Art, Music and Equine-Assisted Therapy

Increase employment and increase community participation
- Community Participation Supports
- Advanced Supported Employment
- Benefits Counseling
Employment Services

Supported Employment

Advanced Supported Employment - New

Small Group Employment

Benefits Counseling - New
Example of A Person’s Vision for a Good Life Related to Employment:

- Make new friends, feel more connected to my community
- Learn new skills, gain confidence in my abilities
- Make money so I can go on vacation
- Become more independent
<table>
<thead>
<tr>
<th></th>
<th>Advanced Supported Employment</th>
<th>Supported Employment</th>
<th>Small Group Employment</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>This service is appropriate for:</strong></td>
<td>People interested in competitive integrated employment who have little or no work experience; or People who have used Supported employment and were unable to secure a job or keep a job for more than 6 months.</td>
<td>People interested in competitive integrated employment who have little or no work experience; or People who are currently employed but need extended supports to maintain their current job; or People who are currently employed but are interested in finding a new job.</td>
<td>People who need to gain work skills to achieve competitive integrated employment and are interested and would benefit from experience in a mobile work force, a work station in industry, affirmative industry or enclave to learn those skills.</td>
</tr>
</tbody>
</table>
## Wages and Staffing Ratios

<table>
<thead>
<tr>
<th></th>
<th>Advanced Supported Employment</th>
<th>Supported Employment</th>
<th>Small Group Employment</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Wages</strong></td>
<td>Employer pays minimum wage or higher.</td>
<td></td>
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</tr>
<tr>
<td><strong>Staff to Individual Ratios:</strong></td>
<td>Not Applicable, outcome based service</td>
<td>1:1</td>
<td>1:10 to 1:6</td>
</tr>
<tr>
<td></td>
<td></td>
<td>1:2</td>
<td>1:6 to 1:3.5</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>1:3.5 to &gt;1:1</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>1:1</td>
</tr>
<tr>
<td>Limits:</td>
<td>Advanced Supported Employment</td>
<td>Supported Employment</td>
<td>Small Group Employment</td>
</tr>
<tr>
<td>-----------------------------------------------------------------------</td>
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<tr>
<td></td>
<td>Advanced Supported Employment and Supported Employment services may not be authorized during the same time period.</td>
<td>Providers cannot also be the employer.</td>
<td>When not provided with any other employment service the hours cannot exceed 40 per week. When provided in conjunction with other employment services, the hours cannot exceed 50 per week.</td>
</tr>
<tr>
<td>On The Job Companion Support</td>
<td>Advanced Supported Employment</td>
<td>Supported Employment</td>
<td>Small Group Employment</td>
</tr>
<tr>
<td>-----------------------------</td>
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</tr>
<tr>
<td>Companion services may be provided at the same time as these services to support the individual with personal care needs.</td>
<td></td>
<td>Support with personal care needs is included as part of the Small Group Employment service. Companion services may not be authorized for this.</td>
<td></td>
</tr>
</tbody>
</table>
# Provider Qualifications

<table>
<thead>
<tr>
<th></th>
<th>Advanced Supported Employment</th>
<th>Supported Employment</th>
<th>Small Group Employment</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Who:</strong></td>
<td>Staff who work directly with the participant to provide the service.</td>
<td>Staff who work directly with the participant to provide the service.</td>
<td></td>
</tr>
<tr>
<td><strong>When and What:</strong></td>
<td>7/1/17 Education and/or experience; AND Advanced Supported Employment certification</td>
<td>1/1/19 Certified Employment Support Professional or Basic Employment Services Certificate of Achievement</td>
<td>11/1/18, newly hired staff who do not have the required certification when hired must work under the supervision of someone who is certified (for max 60 days post-hire).</td>
</tr>
</tbody>
</table>
NEW service

• Through accurate individualized assessment, this service provides information to the participant regarding the full array of available work incentives for essential benefit programs including Supplemental Security Income, SSDI, Medicaid, Medicare, housing subsidies, food stamps, etc.

• Provided by Certified Work Incentive Counselor

• Limit 10 hours annually

• Available only after service sought and unavailable through PA’s Work Incentive Planning and Assistance programs (WIPAs)
Overview of Most Commonly Used Waiver Services

<table>
<thead>
<tr>
<th>In-Home and Community Support</th>
<th>Companion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Respite</td>
<td>Transportation</td>
</tr>
<tr>
<td>Shift Nursing</td>
<td>Behavioral Support</td>
</tr>
</tbody>
</table>

Reminder: Webinars focused on Community Participation Support 8/17/17 And Changes in Residential Services 9/21/2017
Example of A Person’s Vision for a Good Life Related to Support in Home and Community Settings:

- I am a foodie and want to become more independent and healthier by learning how to shop for groceries and make meals.

- I like music and want to become more connected to my community by volunteering to work the door at open mic night
Previously Home and Community Habilitation

Used to assist individuals to acquire, maintain and improve skills necessary to live in the community, to live more independently and to participate more meaningfully in community life.

- Activities of daily living
- Manage medical care
- Manage mental health diagnosis and emotional wellness
- Manage a home
- Achieve financial stability
- Communicate with others
- Develop and maintain relationships with members of the broader community
In-Home and Community Support

• Transportation that is 30 miles or less per day is included in the rate paid to agencies (does not apply to participant directed services).
  – Anything over 30 miles may be authorized as a separate transportation service.

• Maximum of 14 hours per day of In-Home and Community Support, Community Participation Support and/or Companion in Consolidated Waiver only
  – Variance when the individual has a physical health, mental health or behavioral need that requires services be provided more than 14 hours per day.
Companion

- Services for individuals age 18 and older who live in private homes for the purposes of providing supervision or assistance that is designed to ensure the participant’s health, safety and welfare or to perform activities of daily living for the participant. This service is intended to assist the individual to participate more meaningfully in home and community life.

- Companion services are used in lieu of In-Home and Community Support when a habilitative outcome is not appropriate or feasible (i.e. when the professional providing the service mainly does activities for the participant or supervises the participant versus assisting the participant to learn, enhance or maintain a skill).
Staffing Ratios

• In-Home and Community Support and Companion have the following staff to individual ratios:
  – 1:3
  – 1:2
  – 1:1

• In-Home and Community Support also has the following staff to individual ratios:
  – 1:1 enhanced
  – 2:1
  – 2:1 enhanced
  – Variances required for 2:1 and 2:1 enhanced.
• Services provided to supervise and support individuals living in private homes on a short-term basis for planned or emergency situations, giving the person(s) normally providing care a period of relief that may be scheduled or due to an emergency.

• Respite nursing is no longer covered (can be covered under Shift Nursing)

• When the individual has a Needs Group 3 or 4 that indicates medical or behavioral needs and is unable to locate a community-based respite provider, respite can be provided in ICF/ID or Nursing Facility
Respite Limitations:

• 30 units of day respite per fiscal year and
• 480 units of 15-minute unit respite per fiscal year (Consolidated) or
• 1440 units of 15-minute unit respite peer fiscal year (P/FDS).

• Variance to limits may be made for participants who have behavioral or medical support needs or for emergency circumstances.
Transportation

• Transportation Mile – Mileage will be paid per trip versus round trip. A trip is from the point of pick-up to the destination as identified in the service plan.

• For individuals under the age of 21, Transportation may only be used to travel to and from waiver services or a job that meets the definition of competitive integrated employment.

• Individuals authorized to receive Residential Habilitation, Life Sharing or Supported Living may only be authorized for Transportation services as a discrete service when the individual requires transportation to or from a job that meets the definition of competitive employment.
Shift Nursing

• Can be provided either part-time or full-time in accordance with 49 Pa. Code Chapter 21 (State Board of Nursing)
  – Diagnosing and treating human responses to actual or potential health problems through such services as case finding, health teaching, health counseling, provision of care supportive to or restorative of life and well-being, and executing medical regimens as prescribed by a licensed physician or dentist.

• Nursing Ratio of 1:2 and 1:1 available

• Not available for people under 21 as medically necessary nursing services for children are covered under the EPSDT benefit of Medical Assistance.
Behavioral Support

• Includes a comprehensive assessment; the development of strategies to support the individual based upon the assessment; and the provision of interventions and training to individuals, staff, parents and caregivers.

• Effective 1/1/18 - Not available for people under 21 as behavioral health services for children are covered under the EPSDT benefit of Medical Assistance.
Behavioral Support

• Level 1:
  – Master’s in Human Services (or a related field) or a Bachelors’ and work under supervision of an individual with a Master’s

• Level 2:
  – Individuals demonstrated complex needs, including regression or lack of adequate progress with Level 1, or be high risk for decreased stability in the absence of Level 2 support.
  – Providers of Level 2 must have a Master’s in Human Services (or a related field) or be a licensed psychiatrist, psychologist, professional counselor, social worker, or hold a behavior specialist license.

• Initial and On-going service distinguished for both levels
# Overview of New Services

<table>
<thead>
<tr>
<th>Communication Specialist</th>
<th>Family/Unpaid Caregiver Training and Consultation</th>
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</thead>
<tbody>
<tr>
<td>Consultative Nutritional Services</td>
<td>Art, Music and Equine-Assisted Therapy</td>
</tr>
<tr>
<td>Housing Tenancy and Tenancy Sustaining Services</td>
<td>Participant-Directed Goods and Services (P/FDS Only)</td>
</tr>
</tbody>
</table>
Communication Specialist

NEW service

• Supports individuals with nontraditional communication needs by determining his or her communication needs, educating the individual and his or her caregivers on the individual’s communication needs and the best way to meet those needs in their daily lives.
NEW service

• Training and counseling services for unpaid family members or caregivers

• Intended to develop, strengthen and maintain healthy, stable relationships among the participant and all members of the participant’s informal network, to support achievement of the goals in the participant’s service plan.

• Includes updates as necessary to safely maintain the participant at home and in the community during transitions throughout the lifespan.

• Max 20 hours/FY and $500/FY for conference, training event fees
Consultative Nutritional Services

NEW service

• Assist unpaid caregivers and/or paid support staff in carrying out participant treatment/service plan
• Provided by a Licensed Dietitian-Nutritionist (LDN). The service may include assessment, the development of a home treatment/service plan, training and technical assistance to carry out the plan and monitoring of the participant and the provider in the implementation of the plan.
• Not available for people receiving residential services
• Not available for people <21, EPSDT service
• Limit of 48 units (12 hours) annually
NEW service

• Direct therapy to maintain, improve or prevent regression of the participant’s condition and assist in the acquisition, retention or improvement of skills necessary for the participant to live and work in the community.

• Music Therapy and Art Therapy can only be provided to adult participants (21+).

• Equine Assisted Therapy can be provided to participants of any age as it is not covered by MA.

• Cumulative annual limit of any combination of Music Therapy, Art Therapy, or Equine Assisted Therapy is 104 fifteen-minute units (26 hours).
NEW service

- Tenant screening and housing assessment
- Developing an individualized housing support plan
- Assisting with the housing application process, including assistance with applying for housing vouchers/applications.
- Assisting with the housing search process.
- Identifying resources to cover expenses
- Developing a housing support crisis plan that includes prevention and early intervention services when housing is jeopardized
Housing Tenancy

• Providing early identification and intervention for behaviors that may jeopardize housing
• Education and training on the role, rights and responsibilities of the tenant and landlord.
• Assistance in resolving disputes with landlords and/or neighbors to reduce risk of eviction or other adverse action.

• Limit on entire service of 160 hours per fiscal year.
P/FDS ONLY - NEW service

• Equipment or supplies not otherwise provided through other services offered in this waiver, MA, or a responsible third-party and address and:
  – Decrease the need for other Medicaid services;
  – Promote or maintain inclusion in the community;
  – Promote the independence of the participant;
  – Increase the participant’s health and safety;
  – Develop or maintain personal, social, physical or work-related skills.
  – Must be used primarily for the benefit of the participant.

• $2000 annual cap
SC responsibility:
- Person-centered planning
- Ensuring needs met in the least restrictive manner possible
- Services provided are integrated in and support full access to the community.

Waiver funding cannot be used to provide any service in any private home purchased for, developed for or promoted as serving people with an intellectual disability and/or autism in a manner that isolates or segregates the participant from the community of individuals not receiving waiver services.
Further, waiver funding cannot be used to provide any service in a private home that is:

- farmstead – community primarily for people with disabilities
- gated/secured community for people with disabilities
- in any private home purchased for, developed for or promoted as serving people with an intellectual disability and/or Autism in a manner that isolates or segregates the participant from the community of individuals not receiving waiver services.
Timelines for Implementation

**July 1, 2017**
- Waiver renewals

**January 1, 2018**
- Changes in residential services
- Children transitioned to EPSDT for behavioral support
- 14 hour/day limitation on Community Participation Supports, In-Home and Community Support and Companion begins

**July 1, 2018**
- Staff training requirements for Community Participation Supports

**January 1, 2019**
- Certification requirements Supported Employment
- Standard for time in community 25%+

For Full List: Communication #078-17 Fiscal Year (FY) 2017-2018 FAQs
APPENDIX E: IMPLEMENTATION DATES

www.dhs.pa.gov
Resources
Resources

• Current Consolidated Waiver
  http://dhs.pa.gov/learnaboutdhs/waiverinformation/consolidatedwaiverforindividualswithintellectualdisabilities/index.htm

• Current P/FDS Waiver
  http://dhs.pa.gov/learnaboutdhs/waiverinformation/personfamilydirectedsupportwaiver/index.htm
Resources

• ODP Communication 078-17 Fiscal Year (FY) 2017-2018 FAQs

• ODP Communication 065-17: Consolidated and P/FDS Consolidated Request for Variance to Established Service Requirements Effective July 1, 2017

All ODP Communications are on MyODP.org
The LifeCourse Framework: Envisioning Everyday Lives for All

www.supportstofamilies.org

www.lifecoursetools.com

https://www.myodp.org/mod/page/view.php?id=8883
(Under Everyday Lives tab, scroll down to Envisioning an Everyday Life)
For more information about LifeCourse learning opportunities for families, contact Lisa Tesler at ltesler@visionforequality.org
Resources

PENNSYLVANIA COMMUNITY OF PRACTICE FOR SUPPORTING FAMILIES

Nancy Richey, c-nrichey@pa.gov or 717-783-1003