

NASA Johnson Space Center

Part I: Licensing Application Business Terms

NASA has the authority to grant licenses pursuant to 35 USC §§207-209. NASA follows the regulations set forth in 37 CFR §404. All NASA licenses are individually negotiated and each license contains terms concerning technology transfer (practical application), license duration, royalties, and periodic reporting—information that constitutes the business terms of the license.

The license application process takes place in two stages to expedite the license agreement negotiation process. This document constitutes the first part of the process, the Part I: License Application Business Terms, which contains the proposed business terms. Once the information contained in this document (Part I: License Application Business Terms) is agreed upon by NASA and the potential licensee, Part II: Development/Marketing Plan will be requested by NASA and must be submitted by the licensee to complete the license application process. All information contained in the final Part I: License Application Business Terms and Part II: Development/Marketing Plan documents will be included in the license agreement.

Technology Information

NASA Case: LAR- XX,XXX-X (herein Technology)
Title: Title
Patent Number: US Patent # or Patent Application #
Software Involved? yes, no (select one)

Licensee Information

Potential Licensee: Company Name (herein Company)
Contact Name
Address (including country)
Phone
Fax
E-mail
For company, indicate place of incorporation and/or name/
address of any parent company/organization.
For individual, indicate citizenship.

- Small business under 37 CFR§404.3(c)?** yes, no (select one)
- Company summary:** Describe the nature and type of your business
- # employees:** X full-time employees
- Tech transfer history:** Describe any products/services you have successfully transferred in the past (or “none”)
- Source:** How did you become aware of NASA’s technology (e.g., NASA Tech Briefs article, trade show presentation, NASA or other website, USPTO search, referral, etc.)?

Proposed Technology Use

- Product:** Describe the products/services that will use the Technology.
- Market:** Describe the markets and applications that will use the above described products/services.
- Market Size:** Estimate the expected annual revenue to be generated from the above described products/services.

Proposed License Terms

- Grant of License:** NASA Langley Research Center (NASA) would grant to Company, a revocable, royalty-bearing, license to Technology.
- Type of License:** Exclusive, Non-Exclusive, Exclusive in a Field of Use (select one)
- Fields of Use:** Paragraph description of any particular fields of use or state “all fields of use”
- Sublicense Rights:** Granted, Not Granted (select one, sublicense rights are not typically granted with a non-exclusive license)
- Reserved Rights:** NASA reserves the royalty-free right to practice the licensed invention throughout the world for all governmental purposes.
- License Fees:** Company would pay to NASA an upfront license fee of X dollars (\$X.XX), payable upon signing of license.

Royalty Obligations: Company agrees to pay NASA a running royalty of X-percent (X%) of net sales of royalty-base products covered by the Technology (or describe the proposed royalty payment offer).

In addition to this running royalty, Company agrees to pay a minimum guaranteed royalty per year as specified below:

Year 1 — \$X commencing on the anniversary of license execution.

Year 2 — \$X commencing on the anniversary of license execution.

Year 3 and beyond — \$X per year commencing on the anniversary of license execution.

Sublicensing (only applies for exclusive license): Company agrees to pay NASA X-percent (X%) of any consideration, including, but not limited to, sublicense issue fees, received from Sublicensees in consideration for any sublicense granted for the Technology.

Milestones:	Product development completed	X months
	Funding acquired (if start-up)	X months
	Product marketing commencement	X months
	Product launch	X months
	First product sold	X months

Patent Prosecution: NASA would continue to prosecute and maintain the above referenced patents.

Ownership: NASA currently owns the above referenced patents, or patents pending, and can warrant same.

Company understands that the above is the initial basis for an offer to license the Technology.

Signature: _____ Date: _____

Name: _____

Title: _____

Return to:

Technology Transfer and Commercialization Office (TTO)

NASA/Johnson Space Center

2101 NASA Parkway

Mail Code: XP

Houston, Texas 77058

(281) 483-3809