United Nations Global Compact

Hettl Consult
Zwieselweg 24
91189 Rohr/Nuremberg
Germany

Communication of Progress 2013

Period covered by our COP: December 2012 – December 2013

Statement of Support by our CEO

We hereby declare that Hettl Consult, located in Germany continues to embrace the United Nations Global Compact and its ten principles, as well as to integrate the principles into our daily business activities.

We strongly believe in the value of the UN Global Compact network and its emphasis on the enlightened self-interest of all relevant social actors, including companies.

Our Business

Hettl Consult is a leadership training and management consultancy company offering various trainings, personal development programmes, human resources check-ups, strategy development and strategy workshops.

15 staff members work from our office in Rohr/Nuremberg all around the world, mainly in Europe. In our work we help companies and individuals to develop their potential in leadership and in management with a focus on sustainable development.

Our Commitment

We perceive environmental and social challenges as opportunities for private enterprise to provide innovative and entrepreneurial solutions towards sustainable development.

Thus, in our training and consulting activities, we sensitize our clients for sustainability.

This is our first COP Report, so we are happy now to report our activities in all four areas Human Rights, Labour, Environment and Anti-Corruption including information on measurement of outcomes.

With kindest regards

December 2013, Dr. Matthias Hettl, CEO

PART I: HUMAN RIGHTS PRINCIPLES

Principle 1: Businesses should support and respect the protection of internationally proclaimed human rights; and

Principle 2: Business should make sure that they are not complicit in human rights abuses.

Assessment, Policies and Goals:

At Hettl Consult, we adhere to the Universal Declaration of Human Rights and to national laws. Moreover, we have established some guidelines and policies that underline the company's devotion to the Human Rights principles.

For 2013, Hettl Consult has set the goal to perform an internal review of potential field of actions and develop advanced measures of implementation as seen appropriate.

Our goal is to maintain our zero abuse record and to continue to be an attractive and responsible employer.

Implementation:

Hettl Consult enforced a set of policies regarding Human Rights policies. Hettl Consult has published an Employee Handbook, which details employees' rights, duties and resources within the organization. The Hettl Consult handbook includes policies regarding stress and overtime regulations, pregnancy, illness, health as well as guidelines related to professional ethics, best management practices and standard processes and procedures.

The documents are handed over to every employee and are accessible for every member of the staff by our intranet. Parental-leave as one example: Hettl Consult fully supports its employees to take advantage of parental-leave schemes, even if no employee so far has taken that opportunity.

Furthermore, our organization has been active in promoting human rights within the activities of our business partners and clients by advising them on human rights issues and measures to respect, protect and promote human rights.

Measurement of Outcomes:

Hettl Consult is a strong believer in participation. On a regular and structured basis, Hettl Consult employees are asked to give feedback, raise complaints and issues and share ideas for improvement. We actively support a culture of trust and organizational learning.

Hettl Consult has received no complaints from employees, business partners or clients in relation to (potential) human rights violations, nor was the organization involved in any human rights incidences before or during the reporting period.

Because of the scope of our activities and the limited number of employees, an external audit on human rights performance is not seen as required.

Part II: LABOR PRINCIPLES

Principle 3: Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining;
Principle 4: the elimination of all forms of forced and compulsory labour;
Principle 5: the effective abolition of child labour; and
Principle 6: the elimination of discrimination in respect of employment and occupation.

Assessment, Policies and Goals:

Hettl Consult adheres strictly to all required labor rights, including non-discrimination and equal opportunities, the freedom of association and right to collective bargaining, workplace health and safety, as well as conditions of employment and work (wages, working hours, leave, benefits etc).

Hettl Consult is an equal opportunities employer who evaluates its employees by merits only and not on grounds of gender, sexual orientation, race or religion.

In addition, Hettl Consult has developed further policies and measures to underline our strong belief that our employees are the most valuable asset of the company and should be able to unfold their full potential as individuals and members of the team.

Implementation:

Promoting workplace health and safety

Hettl Consult adheres to all national laws and regulation concerning workplace health and safety. For example: Annual check of all electric devices (UV BGV A3), annual meeting with experts on health and safety, annual on-sight review with experts, regular First- Aid training and offer to employees for eyesight check-ups.

In addition, Hettl Consult provides the best possible office equipment to its employees to cater to special health and illness prevention needs. Furthermore we offer free fresh fruit and beverages in our offices to promote healthy nutrition. The food and the beverages which are offered are only fair trade products and are solely organic food and water (Demeter).

Creating a modern working environment

Hettl Consult promotes family friendly working-conditions and career opportunities. Fifty percent of our employees are women. In 2013 thirteen percent currently work part-time; of those are all females.

Hettl Consult has also developed family-friendly work schemes to facilitate a healthy work-life balance, including teleworking, part-time work opportunities and extended return programmes for stay-at home parents.

Professional Development Activities

In 2013, Hettl Consult prepares to train one young professionals in a two- to three-year formal, paid apprenticeship program. Continuing the high standards and extensive variety of professional development opportunities offered to our employees has been a core goal of our company.

Thus, we have taken a number of important measures to further enhance this important process of professional improvement and development. We continually improve the offerings of our internal training and development programmes. In 2013, Hettl Consult was able to offer more than eight training courses in the areas: methods, leadership, learning organisations and self-management, time-management and facilitated 6 Lunch & Learn sessions.

Hettl Consult has been able to continue its offering of dedicated and tailor-made education programmes for employees, continuing to teach core skills necessary for our work and in this way to wisely use the available time and resources during slower project periods.

These training offerings are predominantly hosted by Hettl Consult staff, facilitating knowledge flows within the organisation as well as offering personal and professional development for those hosting these events - as well as to the participants.

With the wealth of learning and on-the-job-training offered by Hettl Consult to its employees a key feature of our success and our ability to attract the most important talents for our business, it has been important for Hettl Consult to maintain and enlarge the portfolio of these offerings.

Improving the quality of work through an open culture and promotion of diversity

We lay emphasis on an open corporate culture, which allows for mistakes to be made and that promotes the strengths of each member of the Hettl Consult team.

Participation is a core principle that guides us both in our work with clients and internally, as are open communication, regular and structures feedback and appraisal mechanisms, all of which are actively practiced at Hettl Consult.

We support the initiative “Diversity as an Opportunity” which was launched by Maria Böhmer, Minister of State in the Federal Chancellery and Federal Government Commissioner for Migration, Refugees, and Integration.

The aim of implementing the ‘Charter for Diversity’ within our company is to create a working environment that is free of prejudice. All our employees should experience appreciation – regardless of gender, race, nationality, ethnicity, religion or philosophy of life, disability, age, sexual orientation or identity. Recognising and promoting this diverse potential creates economic advantages for our company.

We actively work to create a climate of acceptance and mutual trust. This has positive consequences for the recognition we receive from our partners and customers, both here in Germany and in other countries throughout the world.

Measurement of Outcomes:

Hettl Consult is a strong believer in participatory governance and participation. On a regular and structured basis, Hettl Consult employees are asked to give feedback, raise complaints and issues and share ideas for improvement. We actively support a culture of trust and organizational learning.

Based on the objective to eliminate discrimination and promoting organisational learning and feedback, Hettl Consult is constantly reviewing its project feedback schemes and other feedback mechanisms within its network-based structure.

Every year, each employee engages in structured interviews and discussion with their direct reports to set and discuss professional goals and assess personal strengths and opportunities for improvement.

Those discussions are guided by the Hettl Consult “LEAD-Navigator”, a tool to assess talents and personal performance.

Furthermore, on the project level, a project feedback scheme consists of an institutionalised exchange between project management.

Hettl Consult has received no grievances or complaints from employees, the external advisory board or others in relation to (potential) labor rights violations, nor was the organization involved in any labor rights incidences before or during the reporting period.

Part III: ENVIRONMENT

Principle 7: Business should support a precautionary approach to environmental challenges;
Principle 8: Undertake initiatives to promote greater environmental responsibility, and;
Principle 9: Encourage the development and diffusion of environmentally friendly technologies.

Assessment, Policies and Goals:

Our contribution to environmental solutions is guided by strict internal policies and continued innovation and improvement. We adhere to national and international standards to advance responsibility in environmental matters. We also promote energy efficiency, waste avoidance and recycling as core elements of our daily business practice among our employees.

In 2013, an internal working group was launched to develop a coherent and ambitious Hettl Consult Sustainability Strategy and initiate projects and activities to make Hettl Consult a leading innovator and implementer of sustainability solutions.

Implementation:

Activities to reduce greenhouse gas emissions and waste

With carbon dioxide being the primary cause of human-induced global warming, we work to analyze, identify and substitute our impact as much as possible.

We have a travel and car policy that aims to reducing greenhouse gas emissions. Our employees are required to use the most environment-friendly means of transportation whenever possible - primarily public transport.

Hettl Consult supports the use of public transport by financing reduced pricing schemes (BahnCard 50) to replace or reduce airtravel. Since those schemes are available to employees also for private use, thus making public transport attractive for Hettl Consult demands that all company cars be used in accordance with the European Union standards for fuel consumption and CO2 emissions.

Some company cars are pooled and available on a per needs basis. Company cars are leased, and we make sure that they are equipped with the best available filter technology to reduce emissions of fine particulate dust.

Hettl Consult continued to improve a system for telephone and video conferences for internal meeting across offices as well as with clients. This initiative has allowed us to reduce the number of travelled kilometres, including domestic and transatlantic flights and thus has contributed significantly to the reduction of Hettl Consult’s greenhouse gas emissions.

The office heating system is centrally steered and adapts, together with the lighting and blinds system, to the outside conditions, helping reduce energy consumption.

We use as our major primary energy a pellet heating system. This allows us to reduce carbon dioxide and to fight against the greenhouse effect.

At Hettl consult we build awareness to reduce our waste creation and printing activities by promoting double-sided printing. From 2013, we only procure high-efficiency and sustainable printers to further reduce our environmental footprint. For office printing, we exclusively use 100% degradable and recyclable paper that is certified totally chlorine free, licensed by the Nordic Swan and certified by ISO 9001 and 14001as well as licensed by FSC.

In 2013, Hettl Consult started to increase our sustainable procurement measures by switching to certified supply providers. Office materials are chosen according to environmentally friendly purchasing guidelines.

In 2013, Hettl Consult changed for its office in Germany our energy supply to a 100% renewable energy provider.

In 2013, Hettl Consult started to switch all its mail correspondence to a green-mailing provider that compensates CO2 emissions by investments in climate-friendly projects.

As mentioned above Hettl Consult lays great importance on the use of organic wholefoods and regional products in our offices. We believe in the merit of unprocessed and local foods and offer these, especially as fruit that is offered to customers and employees free of charge in our offices. All of our fruit is from organic sources (coffee, milk, fruits). In addition we also purchase regional organic products, such as fruit and drinks, via local suppliers.

Actions to disseminate greater environmental responsibility

For the planning and facilitation of our events and those of our clients and partners, we adhere to guidelines for environmentally-friendly events“ by the German Ministry of the Environment „Leitfaden für die umweltgerechte Organisation von Veranstaltungen“.

We work to reduce the use of resources, prioritize regional and seasonal products for catering, and prioritize venues that are easily accessible by public transport.

Furthermore, we consult our clients on how to compensate for CO2-emissions caused by events.

Activities to encourage the development and diffusion of environmentally friendly technologies.

For several years, Hettl Consult is encouraging and sensitise his customers to use more renewable energy technologies .

On a voluntary basis, Hettl Consult serves as an expert on participatory processes to promote synergies between science, government, business and civil society in the context of environmentally friendly and sustainable technologies.

For example, in 2013 Hettl Consult increased it's engagement in the Committee on Technology and Society of the Association of German Engineers (Verein Deutscher Ingenieure, VDI) as a member and advisor on participatory processes.

Measurement of Outcomes:

Via our intranet we are able to facilitate an exchange between employees amongst each other on suggestions and best-practises within the office surroundings. Our continuous improvement system is also a well received and actively used forum for improvements and innovative suggestions to help reduce our environmental impact and to reward environmental responsibility.

The results are communicated and when necessary awareness programs for employees initiated.

Fuel consumption and CO2 emissions form company cars are recorded.

Hettl Consult constantly continues to investigate trends and develop strategies to engage in cooperative processes to further public and private sector efforts towards sustainable development

Part IV: ANTI-CORRUPTION

Principle 10: Businesses should work against corruption in all its forms, including extortion and bribery

Assessment, Policies and Goals:

Hettl Consult and our employees do not accept any form of corruption and work against bribery and extortion. Therefore, neither employees nor members of the management team accept remuneration outside of the agreed quotation unless it is formally agreed upon.

For 2014, Hettl Consult has set the goal to perform an internal review of potential field of actions and develop advanced measures of implementation as seen appropriate.

Implementation:

Hettl Consult only accepts assignments which are within the range of our expertise and experience and are compatible with our vision and mission. Should an assignment move in a direction that is inconsistent with our vision and mission, we discuss this with the client and, if necessary, withdraw from the assignment.

Hettl Consult strongly supports transparency in all its engagements with all clients and enforces open communication to prevent conflicts of interests. In cases where conflicts of interest might occur, Hettl Consult will if necessary, withdraw from the assignment.

Measurement of Outcomes:

There have been no cases of corruption in the line of our work over the course of the organization's existence. If such a situation might arise, Hettl Consult will take appropriate action and ultimately withdraw from the assignment. The situation will be evaluated afterwards.