

## **General Legislative Action**

At its meeting on May 17, 2018, the Faculty Senate approved Class B legislation to create Chapter 54 in *Faculty Code and Governance*. President Ana Mari Cauce has also approved the proposed legislation, subject to identification of appropriate funding. The faculty is now being given the opportunity to submit written objections to its substantive nature, under the *Faculty Code*, Chapter 22, [Section 22-74](#) Senate Procedure: Class B Actions. A copy of the proposed changes are included with this notice.

### **Class B Legislation Faculty Code and Governance Chapter 54 Open Access Policy**

#### **Section 54-31 Purpose**

As a public university, the University of Washington is dedicated to making its research and scholarship freely and widely available to the people of Washington and the broader research community. In addition to the public benefit, the following policy is intended to serve faculty interests by: promoting the visibility and accessibility of their work, resulting in greater impact and recognition; helping them retain distribution rights; and aiding preservation of the scholarly record.

#### **Section 54-41 Policy and Grant of Rights**

Faculty grant to the University a non-exclusive, irrevocable, worldwide license to exercise, and to allow others to exercise, any and all rights under copyright relating to his or her scholarly articles, in any medium, for the purpose of making their articles freely and widely available in an open access repository. This policy does not transfer copyright ownership to the University.

#### **Section 54-51 Scope and Waiver**

This policy applies to all scholarly articles authored or co-authored while a person is a member of the Faculty except for articles completed before the adoption of this policy. The Provost or Provost's designate will waive this requirement or delay access for a specified period of time for a particular article upon express direction by the Faculty member. Grant of such a waiver or delay is mandatory, not at the discretion of any person or group.

#### **Section 54-61 Deposit of Articles**

To assist the University in archiving and disseminating scholarly articles, the Faculty commit to helping the University obtain copies of their articles. Specifically, each Faculty member who does not obtain a waiver to deposit in the Institutional repository will endeavor to provide an electronic copy of the final accepted (post-peer review) manuscripts of his or her articles to the University for inclusion in the institutional repository or notify the University that the article will be available elsewhere on an open access basis.

#### **Section 54-71 Implementation and Oversight of Policy**

The Provost or Provost's designate will be responsible for implementing and interpreting this policy and recommending changes to the Faculty from time to time. In implementing this policy the Provost or Provost's designate will strive to maximize Faculty participation by providing appropriate technology and other support to facilitate article deposit.

*Approved by:*  
Senate Executive Committee  
May 7, 2018

Class B 188

*Approved by:*  
Faculty Senate  
May 17, 2018



## UNIVERSITY of WASHINGTON

*Ana Mari Cauce*  
*Professor of Psychology*  
PRESIDENT

May 18, 2018

**Mike Townsend**

Secretary of the Faculty  
Associate Professor, School of Law  
Faculty Director, Ph.D. in Law Program

Dear Professor Townsend;

I have reviewed Faculty Senate Class B Legislation #188, *Open Access Policy*, approved by the Faculty Senate at the May 17, 2018 meeting. I approve the proposed legislation for consideration by the faculty, with the understanding that implementation is subject to identification of appropriate funding. Should this legislation be approved by vote of the faculty, we will work toward a reasonable effective date and implementation plan.

Sincerely,

A handwritten signature in black ink that reads "Ana Mari Cauce".

cc Thaisa Way, Chair, Faculty Senate  
Gerald J. Baldasty, Provost and Executive Vice President  
Barbara Lechtanski, Director of Rules Coordination  
Margaret Shepherd, Chief Strategy Officer



June 5, 2018

Ana Mari Cauce, President  
Office of the President

SUBJECT: Class B Legislation 188: Creating Chapter 54 in *Faculty Code and Governance*: Open Access Policy

Dear President Cauce:

This is to inform you that the attached legislation to create Chapter 54 in *Faculty Code and Governance*: Open Access Policy went into effect on June 1, 2018. There were 48 objections to this legislation out of 4,833 eligible voters. Objections from five percent of the voting faculty would have sent this legislation back to the Senate for further consideration.

Sincerely,

A handwritten signature in black ink that reads "Mike Townsend".

Mike Townsend  
Secretary of the Faculty

/jjs

cc: Thaïsa Way, Chair, Faculty Senate  
Gordon Aamot, Director of Scholarly Communication and Publishing  
Barbara Lechtanski, Rules Coordination  
Margaret Shepherd, Chief Strategy Officer  
Lenina Arenas-Fuentes, Executive Assistant to the President