

Faculty Senate Meeting  
Thursday, October 28, 2010, 2:30 p.m.  
Savery Hall, Room 260

1. Call to Order and Approval of Agenda.

The meeting was called to order in song by Faculty Senate Chair JW Harrington at 2:34. The agenda was approved as distributed.

2. Faculty Senate Orientation – Professor Marcia Killien, Secretary of the Faculty. **[Exhibit A]**

Secretary of the Faculty Marcia Killien welcomed Senators and presented a brief orientation on the operation of the Faculty Senate using a PowerPoint presentation. This began with an overview of the *Faculty Code*. Its organization and call to governance is dated 1956. The contents of these sections, however, are very pertinent to the challenges this University is currently facing. The *Code* also includes an authorization for the faculty to share in the formulation of rules for the University. In summary, the *Code* outlines faculty rights and responsibilities, describes how shared governance works with the administration and faculty organization, including the Faculty Senate.

Killien's presentation also outlined shared governance and how it relates to university faculty leadership and faculty committees and councils. This led to an explanation of the legislative authority of the faculty, which operates primarily through the Faculty Senate. The *Code* states that "The primary concern of the Senate is the general welfare of the University." The Senate is charged to consider the views of all concerned about an issue and to be responsible to the University, the faculty and the group or campus they represent. Classes of legislation were outlined and explained, with more detail given to Class A legislation, which amends the *Faculty Code*.

Turning to logistics, Killien described the changes in Senate structure based on the Class A legislation passed last academic year. She emphasized the importance of attendance and explained the quorum calculation defined in Senate by-laws. If a senator is unable to attend a Senate meeting, he or she may ask a colleague to attend the meeting to participate and take notes, but these colleagues cannot be considered "proxies" and are not allowed to vote.

Changes in the format for Senate meetings this year include providing written reports attached to the meeting agenda as well as items for a Consent Agenda. At the Senate meeting, Senators will have the opportunity to ask questions about the reports and to either approve the Consent Agenda as presented or move that items receive full discussion by the Senate. Killien encouraged senators to actively prepare for Senate meetings by reviewing written reports and actions for the new Consent Agenda; paper copies will not be available at the meeting. She also encouraged Senators to review orientation materials emailed to Senators (and also posted to the Senate website). This information includes a roster of the Senate Executive Committee (SEC), the 2010-11 schedule of Senate and SEC meetings, general information about the Senate, an explanation of Class A, B and C legislation, a glossary of terms and acronyms, a list of Faculty Councils, and some basics of Parliamentary Procedure.

3. Report of the Chair – Professor James "JW" Harrington. **[Exhibit B]**

Chair Harrington reviewed meeting logistics. These included reminders to wait to speak until recognized by the Chair, then give name and college, campus, or school represented, and also to return the voting placard when leaving the meeting. He also asked Senators to plan on staying at Senate meetings until 5:00 p.m., although meetings typically end by 4:30 p.m.; if the quorum is lost, a special meeting may be needed to complete business in a timely manner.

Chair Harrington welcomed participants to the newly restructured Senate, new space, a new University President and new student leaders, but the same weather and same threat of budget cuts. He then introduced the staff of the Office of the Faculty Senate and Faculty Governance. He shared that he has been meeting with selected State Legislators, Regents, and the Interim President, and Interim Provost. He is serving as a member of the Presidential search committee and reported that he is pleased with the progress to date. He described his perception of the current context for the University and the Senate, including the economy still being stagnant, and rampant anti-intellectualism that he sees taking place in this year's elections.

Harrington thanked Senators for their service and explained that service includes communicating with colleagues; he suggested tools to aid communication such as the mailman lists and the Senate blog, [www.senateblog.washington.edu](http://www.senateblog.washington.edu).

Harrington noted the invited guest to the current meeting, Law Dean Kellye Testy, chair of the Presidential Search Committee, and went on to list possible future guest speakers such as Margaret Shepherd, Scott Woodward, the Chancellors of Bothell and Tacoma, and Chair of Regents, Herb Simon.

Harrington noted the recent controversy about the possible academic impact of the November 18 late afternoon football game ; he explained that the Advisory Committee on Intercollegiate Athletics and the Faculty Council on University Facilities and Services will be reviewing this and future Thursday night football game scheduling and evaluate what could have been done differently to lessen negative impacts on academic business of the University Recommendations may come to Faculty Senate for action.

Harrington concluded by reminding Senators of the President's reception at University of Washington Club, immediately after today's Senate meeting.

Harrington then introduced President Wise and commented that he is the first African American Faculty Senate Chair, and that she is the first Asian-American UW President, and the first woman President since 1874.

#### 4. Report of the President – Interim President Phyllis Wise.

Wise indicated that her appointment as interim president was made at the July Regents meeting and that she was honored to be a part of the Senate in this capacity. She reported that she has had an exciting three months (as designate) and has been on a listening tour meeting with Governor Gregoire, State Senate Majority leader Lisa Brown, Speaker Frank Chopp, each Regent individually, business leaders, community leaders, all five of the four year university presidents, and community college presidents.

One of her major goals this year is the continuation of 2y2d, which she then went on to explain the background and status. She was glad that she was able to name Mary Lidstrom, Vice Provost for Research, as interim Provost and Executive Vice President. During Lidstrom's tenure as vice provost there has been growth in interdisciplinary research. Lidstrom has a joint appointment in engineering and medicine and is very familiar with the university. She has also embraced 2y2d and been involved with the process from the beginning.

Wise then went on to discuss the university's planned approach with Olympia. In July the new fiscal year began; a month later a 6.3% budget cut was announced by the Governor resulting in a \$17 million cut to the university. The administration is currently working strategically in planning where the university will make these cuts. She went on to say that budget cuts can't be made without affecting students. A focus on large core pre-requisite classes will be made to make sure they do not become bottlenecks. The Office of Financial Management has asked the University to project scenarios for another 10 % – 20% budget cut for the upcoming biennium to be presented to the Higher Education Coordinating Board. Wise continues to meet with legislators and the Governor. Governor Gregoire

has created a task force to help her make decisions on cuts. Gregoire has made it clear that she wants all six four-year university's to speak with one voice, or at least a harmonious voice.

Wise explained that the administration hopes to have a more complete discussion with the legislature and one item that is a part of the big picture are efficiencies that UW could do to save money if it were exempt from some state processes. She also hopes to have a discussion on the role of tuition and said that the administration would not be going forward on pursuing local control of tuition setting authority. Wise cited former Student Regent Ben Golden's survey of students which indicated that students care about excellence first. She also emphasized that access is important and is working on providing access and excellence. She plans on bringing faculty and deans with her to Olympia to advocate for the University of Washington.

Another goal for Wise is what she is calling the pre-pre-launch of the next capital campaign. Much has already been done to put things in place, but she understands that universities do not start campaigns with an Interim President, but will lay the ground work for the new university president. Wise welcomed three new Deans that have been recently hired: Lisa Graumlich, College of the Environment, Tom Stritikus, of Education, Howard Frumkin, School of Public Health.

Wise then took questions from Senators. The discussion centered around the University's efforts and messages in Olympia. Wise said that her message is to protect higher education in general and to specifically attend to the needs of the UW. She reported that the current approach includes starting to meet sooner, before the legislative session begins, with key individuals in the legislature. She reported hearing from legislators that when they are campaigning, they rarely hear students, faculty, parents, and other citizens mention the need for support of the UW. She also reminded Senators of the restriction on use of University resources when engaging in political activity. When asked about a focus on undergraduate vs. graduate education, Wise suggested that individuals are most effective when they speak with legislators from their own personal experiences and passions; she also urged that faculty not only talk with legislators but also listen to legislators' perspectives and concerns. In response to a question about the impact of several years of state budget cuts to the University, Wise indicated that data were being collected and would be presented in the future to SCPB.

At the request of Senate Chair Harrington, the Senate approved his motion to next hear the report on the status of the Presidential Search (Item 6 on the agenda).

5. Opportunities for Questions and Requests for Information.
  - Summary of Executive Committee Actions and Upcoming Issues of October 11, 2010. **[Exhibit C]**
  - Report of the Faculty Legislative Representative. **[Exhibit D]**
  - Report of the Secretary of the Faculty. **[Exhibit E]**
  - Report of the Chair of the Senate on Planning and Budgeting. **[Exhibit F]**Chair Balick took questions regarding the budget and the committee's involvement in 2y2d.

6. Invited Guests.

Presidential Search Update – Dean Kellye Y. Testy, School of Law and Chair of the Advisory Search Committee on the Presidency of the University of Washington.

Harrington introduced Dean of Law, Kellye Testy. She thanked Harrington and explained that she was present to update the Senate on the Presidential search process. The Committee was formed last May by the Board of Regents; there are 17 members representing many parts of the University and external community, including Senate Chair Harrington. The Committee is working effectively together. The Committee recommended and the Regents hired search consultant Bill Funk. Mr. Funk assisted with the previous search when President Emmert was hired. Testy then outlined the search process.

The Committee is currently in the outreach stage, having held or planning to hold forums to gather input in Spokane, Tacoma, Bothell, Seattle, and Everett. The Outreach stage also assists in providing

information to the community about the university which has been helpful for committee's education and building support for university. The Committee is currently developing a pool of candidates, and the Committee is on track for the Regents to receive recommendations in early 2011.

Testy explained that the committee will at a future time enter the "going dark period" in which they will need to keep confidential the identities of potential candidates. Testy believes that the only way to get the very best person is to ensure confidentiality during this phase of the search process.

Testy said the Committee hopes to find a President that will preserve all things that are great at the University and build on that foundation. She reported that a tremendous number of people care about this university and have very different ideas of what should be done to advance the University; therefore, it is important that the President is somebody who is "comfortable in their own skin."

Testy then responded to questions. She was asked if candidates would be educated about Presidential responsibilities outlined in the Faculty Code and tradition of shared governance at the University. Testy shared that she thought such context is vital. There was also discussion as to how outreach has also helped educate people about the University, but has also assisted the Committee understand the University better. To take advantage of some of the items learned at forums, there will likely be a summary produced. Concerns about "going dark" were also shared with Testy and she reiterated the Committee's rationale for their approach.

Testy concluded by promising the Senate that the Committee will do its very best to fulfill its charge. Harrington thanked Testy and reiterated that at 4:00 p.m. on Monday, November 8, there would be a forum for faculty to speak with the Search Committee at William H. Gates Hall.

7. Consent Agenda.

- Approve Nominees for Faculty Councils and Committees. **[Exhibit G]**
- Nominate Mícheál Vaughan for Faculty-at-Large SEC member; successor to Bill Reinhardt, at-large representative from Arts and Sciences.

The Consent Agenda was approved.

8. Memorial Resolution.

Vice Chair Susan Astley presented the memorial resolution.

**BE IT RESOLVED** that the minutes of this meeting record the sorrow of the entire faculty upon its loss by death of these friends and colleagues:

Professor Robert L. Bree of Radiology who died on September 1, 2010, after having served the University since 2004.

Lecturer Allen Lane Carr of Business, Government and Society, who died on June 2, 2010, after having served the University since 1959.

Professor James R. Clark of Forest Resources who died on August 4, 2010, after having served the University since 1981.

Professor Emeritus Charles W. Dohner of Medical Education who died on June 1, 2010, after having served the University since 1967.

Professor Emeritus George Meade Emory of Law who died on October 8, 2010, after having served the University since 1995.

Professor Emeritus Clement A Finch of Medicine who died on June 28, 2010, after having served the University since 1949.

Professor Emeritus Robert E. Guild of Endodontics who died on July 20, 2010, after having served the University since 1951.

Professor Emeritus Nathan A. Hall of Pharmacy who died on June 2, 2010, after having served the University since 1948.

Clinical Instructor Mary Hampton of Biobehavioral Nursing who died on May 17, 2010, after having served the University since 1995.

Dean and Professor Emeritus Kermit O. Hanson of Business Administration who died on October 7, 2010, after having served the University since 1948.

Lecturer Randolph Y. (Ran) Hennes of History who died on September 13, 2010, after having served the University since 1972.

Lecturer Rosalie Maurine Rosso King of Nutritional Sciences and Textiles who died on August 29, 2010, after having served the University since 1965.

Professor Robert H. Knopp of Obstetrics and Gynecology who died on May 30, 2010, after having served the University since 1974.

Professor Paul Miller of Law who died on October 19, 2010, after having served the University since 2004.

Associate Professor Emeritus Mark A. Nameroff of Biological Structure who died on August 3, 2010, after having served the University since 1970.

Professor Emeritus Folke Nyberg of Architecture who passed away on August 15, 2010, after having served the University since 1969.

Professor Emeritus Otis A. Pease of History who died on September 6, 2010, after having served the University since 1966.

Professor Emeritus August T. Rossano of Civil & Environmental Engineering who died on August 9, 2010, after having served the University since 1963.

Professor Emeritus Stuart Scheingold of Political Science who died on June 24, 2010, after having served the University since 1969.

Professor Emeritus Spencer Shaw of the Information School who died on June 16, 2010, after having served the University since 1961.

Professor Emeritus Leon Richard Spadoni of Obstetrics and Gynecology who died on September 10, 2010, after having served the University since 1960.

Research Professor Emeritus Judy Su of Anesthesiology who died on February 4, 2010, after having served the University since 1973.

Professor Emeritus Osgood Whittemore of Material Science & Engineering who died on July 20, 2010 after having served the University since 1964.

Chair JW Harrington invited the Senate to approve the resolution by a standing vote. The resolution was approved.

9. Announcements.

Harrington announced that the vice chair selection committee has been formed. Senators should send nominations, including self-nominations, to Nancy Bradshaw.

10. Unfinished Business.

There was no unfinished business.

11. New Business.

There was no new business.

12. Good of the Order.

Harrington began by explaining the new agenda item, "Good of the Order," that it was a way to give Senators the opportunity to discuss matters freely without the strict requirements of having a main motion before it.

The first order was Harrington's who discussed actions he has taken in response to a question from a faculty member about adjudications. These steps include:

1. Asking the President to report within 30 days:
  - a. The basis for President Emmert's declaration that the hearing panel decision in a recent adjudication case was "arbitrary and capricious"; and
  - b. The number of decanal findings of scientific or scholarly misconduct in the past 10 years, by academic unit, and by nature of the outcome.
2. Asking the Secretary of the Faculty to report within 30 days all hearing panel decisions by total number and academic year, and Presidential responses, since the last such compilation.
3. Planning to ask the SEC to consider if the Handbook procedures on allegations of scientific misconduct and the Faculty Code adjudication procedures are in conflict, and if so, whether the matter should be referred to any Faculty Councils for action.

Jim Gregory wondered whether or not faculty have a good understanding or the context of adjudications, and Secretary of the Faculty Marcia Killien offered to create a short summary of the process on the Senate blog.

Gordon Watts spoke about Executive Order 64 and inquired whether or not examination of the Executive Order or faculty salary policy was continuing. Both Chair Harrington and President Wise indicated that do they not have plans to reopen the issue.

13. Adjournment.

The meeting was adjourned at 4:47 PM.

Prepared by: Marcia Killen, Secretary of the Faculty

Approved by: JW Harrington, Chair of the Faculty Senate



**Faculty Senate Orientation  
2010-11**

Marcia Killien  
Secretary of the Faculty

**UW Faculty Code  
Organization & Governance**

A university is a community of scholars contributing, each according to his own talents and interests, to the transmission and advancement of knowledge. Because of its diversity of interests a university is a complex organization, not quite like any other in its management, which requires the understanding and good faith of people dedicated to a common purpose.

A university administration must seek wisely and diligently to advance the common effort, and the strength of a university is greatest when its faculty and administration join for the advancement of common objectives. Much of the faculty-administration relationship has been established through long experience, and has the weight and good sense of academic form and tradition. But the terms of this relationship are essentially those of spirit, mutual respect, and good faith, and thus must be flexible to meet changing needs.

*[Undated: About April 16, 1956]  
Faculty Code, Section 13-20*

**Authorization for the Faculty to Share in the Formulation of Rules**

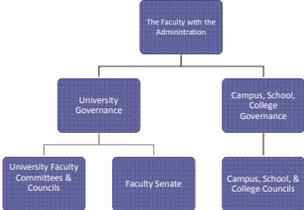
...the President authorizes the faculty to share with him and the academic deans responsibility for the formulation of rules for the immediate government of the University under such resolutions and executive orders as the Board of Regents and the President from time to time may adopt or issue.

*Executive Order (unnumbered) of the President, May 31, 1956  
Faculty Code, Section 13-21*

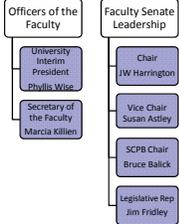
**The Faculty Code**

- Adopted 1956
- Outlines faculty rights & responsibilities
- Describes shared governance with administration
- Describes faculty organization, including Senate
- Online at  
<http://www.washington.edu/faculty/facsenate/handbook/Volume2.html>

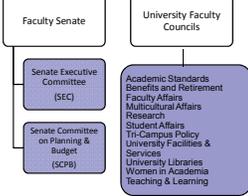
**Shared Governance**



**University Faculty Leadership**



**Faculty Committees and Councils**



**Faculty Senate: New Structure**

- Changed ratio of representation from 1:15 faculty to 1:40 faculty
- Senators elected by & represent their academic unit (School, College, or Campus) through process determined by the Elected Faculty Council
- Senators elected for 2 year term, with half of Schools/Colleges/Campuses conducting elections each year

**Composition of the Senate: With Vote  
n=129**

- University President
- Elected Senators: n=113
- Chairs of University Faculty Councils (n=11)
- Chairs of UWB & UWT faculty organizations (n=2)
- Chair of Senate, Vice-Chair of Senate

**Composition of the Senate: Without Vote**

- Chairs of Elected College Councils
- Deans of Schools & Colleges, Dean of University Libraries
- Presidents of ASUW & GPSS
- Secretary of the Faculty

**Legislative Authority of the Faculty**

... the President authorizes the University faculty to formulate regulations for the immediate government of the University and to share responsibility with him or her, the Chancellors at the Bothell and Tacoma campuses, and the academic deans in such matters as:

- educational policy and general welfare;
- policy for the regulation of student conduct and activities;
- scholastic policy, including requirements for admission, graduation, and honors;
- approval of candidates for degrees;
- criteria for faculty tenure, appointment, and promotion;
- recommendations concerning campus and University budgets;
- formulation of procedures to carry out the policies and regulation thus established.

Faculty Code, Section 13-23

**Faculty Senate: Legislative Arm**

- "The primary concern of the Senate is the general welfare of the University."
- The Senate shall consider the views of all.
- Senators are responsible to the University, the Faculty, and the Academic Unit they represent.

□ Sec. 22-37

**Classes of Legislation**

- Class A: Amends Faculty Code
- Class B: Amends non-Faculty Code policy in University Handbook
- Class C: Non-legislative actions such as Resolutions; Approval of Committee Reports

Most formality required for Class A Legislation.

**Class A Process - Simplified**

- Identification of Problem
- Assignment to Council – Draft Legislation
- Presentation to Senate Executive Committee
- Presentation to Senate
- Review by President and "Code Cops"
- Second Review by SEC
- Second Consideration by Senate
- Faculty Vote
- Approval of President

**Recent Examples of Class A Legislation**

- #119 RCEP changes (2009)
- #122 Senate restructure (2010)
- #123 Creation of Faculty Council on Teaching & Learning (2010)

**Effective Senate Meetings**

Attendance!



**Logistics #1**

*Rule 5 from Faculty Senate By-Laws*

- A quorum for each Senate meeting consists of a majority of the voting members, except that voting members who have notified the Secretary of the Faculty prior to a Senate meeting of their inability to attend shall not be included in the determination of a quorum for that meeting.

**Logistics #2**

- If a Senator is unable to attend a Senate meeting, he or she may ask a colleague to attend the meeting to take notes, but these colleagues cannot be considered "proxies" and will not be allowed to vote.

**Logistics #3 Changes to Meeting Format**

- **The consent agenda:** noncontroversial matters that can be adopted by unanimous consent; these are proposed as a group, and will be approved unless any member objects. Any objection removes an item from the consent agenda and places it first under New Business.
- **The report format:** most regular reports will no longer be given orally, but will be collected in written form, attached to the SEC agenda, and distributed prior to each meeting; though, authors of reports will be available at the meeting to answer questions.

**ALERT!! Materials Provided Electronically**

- The Senate will no longer be providing printed agendas or reports
- Please print out materials or bring laptop

 Changes to Meeting Format, continued

- **The Good of the Order:** an opportunity for the Senate to discuss matters freely, without the strict requirements of having a main motion before it.
- **The committee of the whole,** which allows the Senate to consider a complicated or controversial motion in a somewhat more informal way, much as a committee might.

 Effective Senate Meetings

Preparation



 Effective Senate Meetings

Productive discussions  
Adhere to parliamentary procedures ("Roberts Rules")



 Orientation Packet

Packet mailed via e-mail that includes:

- Roster of the Senate Executive Committee (SEC)
- 2010-11 Schedule of Senate and SEC Meetings
- General Information about the Senate
- Explanation of Class A, B, and C legislation
- Glossary
- List of Faculty Councils
- Some basics of Parliamentary Procedure

 Comments & Questions



Report of the Faculty Senate Chair  
JW Harrington, Professor, Geography

For an overview of the Senate's activity last year and some additional reporting on the current year, please see the Chair's remarks in the Class C bulletin sent to all faculty on Tuesday 12 October, under the subject line "Faculty Senate and Council News." This appears online at <https://depts.washington.edu/senatblg/archives/234>.

For an informal summary of the 11 October SEC meeting, see <https://depts.washington.edu/senatblg/archives/230>.

### **General issues for 2010-11**

We should (I won't use the rhetorical "we must," because there are other options) make the adjustments we need to make with a great deal of attention to the future – more attention to the future than to the past or the status quo. These adjustments include budget allocations, configurations of programs, and even the selection of a new President. Can we focus our attention on the future needs of our students and disciplines? Can we hold out enough of a future sense to get us through the present?

### **Specific issues for 2010-11**

- The President and Provost are determined to put enough focus on the "Two Years to Two Decades" process to allow decisions about future needs (two decades) to drive the development of financial plans to support the key needs that are identified. This is a tall order. The Provost has committed to further engagement of the Senate Committee on Planning and Budgeting (SCPB) in this process. The progress of the effort is something about which Senators should ask the President, Provost, and SCPB Chair, at every opportunity.
- Deans and chancellors will be asked to identify whether any program realignments are needed to manage the projected budget cuts, after two years of semi-annual cuts. The SCPB, Secretary of the Faculty, and Faculty Senate Chair play roles in any such processes, though the bulk of the work would be done by committees charged to investigate each proposal. Senators might review Section 26-41 of the Code.
- The heads of each support activity (at university and unit levels) will be asked to assess what they do and how they do it.
- The Provost, SCPB, Faculty, and Regents will have to discuss the values and tradeoffs involved in faculty recruitment, retention, review, and salary, in the context of whatever the 2011 Legislature decides regarding state support, tuition, salaries, and state-provided financial aid.
- The University will ask academic units to review their policies and practices that encourage diversity of student, faculty, and staff backgrounds.
- Most of the adjustment and change will occur within academic (and administrative) units. We are relying on the Elected Faculty Councils of each unit to be well-poised to provide useful advice to deans and chancellors.

### **Communication**

Communication is key to effective consultation, but at least two difficulties arise. First, it's hard to figure out what to communicate when the issues under consideration are always changing. Second, it's difficult to engage in meaningful two-way communication – each party has to suspend its focus on its own rhetoric and goals long enough to hear what the other party is saying, and to ask why they're saying it.

- The Senate, Senate Executive Committee, Senate Committee on Planning and Budgeting, and the Faculty Councils are venues for face-to-face communication about University issues and decisions. This year the Senate is smaller, and meeting agendas will be organized to provide more time for questions and responses driven by Senators' interests. SCPB chair Bruce Balick has had innumerable meetings with the Provost, Executive Vice Provost, and Senate Leadership to design an agenda and process for the SCPB to improve its effectiveness.
- We've established a Senate blog (<http://senateblog.washington.edu>) to deal with both the difficulties I've noted: blog posts and comments are clearly in-the-moment statements, rather than carefully worded summaries of an entire issue; and this mode allows comments and comments on comments, for communication in multiple directions. Each Senator and Faculty Council chair can

post – your UWNetID has already been entered into the system. Anyone at UW can comment on posts or to comments.

**Involvement**

UW's participants, including faculty, need to become more actively involved in the external decisions that affect the University. Neither the Federal nor the State government, nor citizens' initiatives, will just "let us be." Policy and budget decisions about financial aid, research, health care, and even curriculum and pedagogy, are coming at us. Each of us is a citizen or resident, and we could be very effective citizens, if we make the effort.

Summary of Executive Committee Actions and Upcoming Issues of October 11, 2010.

1. The Senate Executive Committee discussed voting privileges for *ex-officio* members of the Faculty Councils.
2. The Senate Executive Committee endorsed the proposed revisions to the UW Retirement Plan / Voluntary Investment Program Fund Review Committee. This motion came from the Faculty Council on Benefits and Retirement; See proposed changes below.

#### **UWRP/VIP Fund Review Committee**

**Draft Plan Document Language May 25-27 2010**

**As Approved by the Faculty Council on Benefits & Retirement**

#### **5.2. Fund Review Committee.**

(a) **Responsibility.** The Fund Review Committee is a standing body responsible for reviewing the performance of Fund Sponsors and ~~Funding Vehicles~~ Investment Options available through the University of Washington Retirement Plan (UWRP) and Voluntary Investment Program (VIP). The Committee will also evaluate and recommend whether new Fund Sponsors or ~~Funding Vehicles~~ Investment Options should be added and whether existing Fund Sponsors or ~~Funding Vehicles~~ Investment Options should be removed.

If an ~~an Funding Vehicle~~ Investment Option or Fund Sponsor is removed, it shall not be available for subsequent contributions except as otherwise prescribed by the Committee. In addition, the Committee may determine that existing accumulations shall be transferred to one or more other ~~Funding Vehicles~~ Investment Options specified by the Committee.

~~The Committee, in coordination with the UW Benefits and WorkLife Office, is to determine the frequency of the performance reviews. The Committee shall meet as often as it deems appropriate, but not less than every six months.~~ In evaluating existing Fund Sponsors and ~~Fund Vehicles~~ Investment Options, the Committee will monitor and review performance data, ~~analyze-consider~~ participants' comments, requests and concerns, ~~and conduct public meetings on proposed changes.~~ Draft recommendations of the Committee will be presented to and discussed with the Faculty Council on ~~Retirement, Insurance and Benefits~~ and Retirement; the Professional Staff Organization and the Association of Librarians of the University of Washington. Final recommendations for changes ~~are to will~~ be submitted to the Provost and Executive Vice President.

The UW Benefits and WorkLife Office shall provide support for the Committee's activities. The Committee ~~may also will~~ assist in the selection of an Investment Consultant ~~appoint such advisors and and adopt such guidelines, an Investment pPolicies Statement or procedures as it deems appropriate to guide their recommendations.~~

(b) **Membership.** The Committee shall be appointed by, and serve at the pleasure of, the Provost and Executive Vice President of UW, who will consult with the Faculty Council on ~~Retirement, Insurance and Benefits~~ and Retirement, the Professional Staff Organization and the Association of Librarians of the University of Washington in selecting ~~the committee~~ members to represent their constituents. The membership shall include the following voting representatives and officials:

- One representative of the Faculty Council on ~~Retirement, Insurance and Benefits~~ and Retirement;
- ~~One Two~~ or more representatives of the Faculty, as recommended by the Faculty Senate Executive Committee;

- One representative of the Librarians, as recommended by the Association of Librarians of the University of Washington;

- One representative of the Professional Staff, as recommended by the Professional Staff Organization;

- One representative of the UW Treasury Office as recommended by the UW Treasurer;

-One representative of the Office of Planning and Budgeting as recommended by the Vice Provost for Planning and Budgeting.:

· One UWRP Voluntary Investment Program (VIP) participant representing the Classified or Contract Classified Staff, as recommended by the Vice President, Human Resources

· One retired UWRP representative, as recommended by the UW Retirement Association;

· Ex officio, voting members:

° UW Human Resources - Executive Director of Benefits & WorkLife

° UW Treasurer, Board of Regents

· Nonvoting members:

° One rRepresentative each from a four year institution of higher education in the State of Washington other than the University of WashingtonHuman Resources at Central Washington University and Western Washington University.

In appointing members, those groups recommending members and the Executive Vice President shall seek to identify where possible, individuals who have expertise in the areas of tax and pension law for 403(b) or similar retirement plans, or of finance, investment, or economics.

The Provost and Executive Vice President shall designate the Chair of the Committee.

Term of office for members Members shall be serve staggered, three year terms. ~~The Committee shall meet as often as it deems appropriate, but not less than every six months.~~ The Chair of the Committee may appoint such committee officers, advisors and subcommittees as needed.

Report of the Faculty Legislative Representative  
Jim Fridley, Professor, Forest Resources and Mechanical Engineering

The Washington State Legislature will convene for 105 days beginning on January 10<sup>th</sup> and their (most important?) task will be to determine a budget for the biennium that begins July 1. With the very large anticipated budget shortfall for the 2011-2013 biennium, substantial changes in leadership in our state's higher education world, and with all seats in the State House of Representatives plus half of those in the State Senate to be determined in the coming election, there is considerable uncertainty about priorities and funding levels for extremely important but arguably less urgently needed state services, like public higher education. As a result there will likely be an "everything has to be on the table" mindset during not only budget but also policy conversations that potentially affect the University of Washington.

The Faculty Senate or various Faculty Councils might have interest in helping to make sure that the University of Washington's effort to help our elected officials fully understand the implications of financial stress due to an inadequate state appropriation is as clear and complete as possible. Similarly the Faculty Senate and Councils might be interested in becoming proactive around some of the potential upcoming policy issues that are listed below. Most or all of the listed items are considered by many members of faculty to fall within the scope of a university faculty's appropriately delegated roles and responsibilities. The legislature has shown a tendency to in recent years to connect funding for education (increases or offsets to reductions) with efforts to improve in areas that can be loosely described as productivity, efficiency, quality and accountability. It is reasonable to expect that legislative proposals will arise that are aimed at improvement in those areas, possibly directly connected to the state appropriation, through changes in the status quo as

**Some policy issues that could emerge/reemerge** (these are ones that faculty could find unsettling):

- Open Source (especially courseware but also perhaps book and article publishing)
- Course transferability (e.g., common numbering)
- Declaring (intended) outcomes for educational programs, measuring success
- Credit for prior work (classes, other activity)
- Faster pathways to degrees (e.g., completing in 3 instead of four or more years)
- Making transfer between institutions (including to UW) easier
- Providing more degrees in specific areas (e.g., STEM)
- Tenure and its limitations and extents
- Remote and/or asynchronous course delivery (e.g., web based)

**Some known higher education related personnel changes that will be apparent in and around the halls of the legislature:**

Legislative Leadership (will be determined after November general election):

- Chair of the House Higher Education Committee
- Membership in the House Higher Education Committee
- Membership in the House Education Appropriations Committee
- Membership in the Senate Higher Education and Workforce Development Committee
- Agency Leadership
- Executive Director of the Higher Education Coordinating Board (Don Bennett)
- Executive Director of the Council of Presidents (Mike Reilly)  
Among the four-year college and university presidents
- UW  
Among the four-year provosts
- EWU (Rex Fuller)
- UW

Among the Institutional Liaisons

- WSU (Colleen Kerr, Shawn Hoey)

Faculty Legislative Representatives:

- CWU (Mathew Manweller, Professor)
- EWU (Tony Flinn, Professor)
- WSU (Cathy Claussen, Professor, Chair-Elect of the Faculty Senate)

Among the Student Lobbyists – virtually 100% turnover every year.

Report of the Secretary of the Faculty  
Marcia Killien, Professor, Family and Child Nursing

1. Staff changes in the office:
  - a. Susan Folk retired this summer; Alex Bolton has replaced her as Assistant to the Secretary of the Faculty. Previously, Alex was the Council Support Analyst in the Office of University Committee. Prior to holding the analyst position, Alex worked for Former Governor Dan Evans and assisted him with his autobiography. He attended the University as an undergraduate earning a Bachelor of Arts in Economics and Political Science and later earned a Master of Public Administration at the Evans School. He was very active as a student and involved in ASUW and GPSS. In his second year at the Evans School, he held the position of Student Regent on the UW Board of Regents.
  - b. Craig Bosman has replaced Alex Bolton as Council Support Analyst. Craig joined the Office of University Committees this summer after completing Peace Corps service as an environmental volunteer in the Philippines. Prior to that, he worked as a civil engineer. He graduated from University of Washington with a Bachelor of Science in Civil Engineering. As a student, he was heavily involved in ASUW, his department, and the Dawg Pack.
2. All Faculty Councils have full membership and chairs appointed. Councils will be meeting on fixed schedules for the year; we appreciate everyone's cooperation in implementing this new system, and welcome all returning and new members. The list of members, along with meeting minutes and schedules can be found on our website at <http://www.washington.edu/faculty/committees/councils.html>.
3. The Senate Leadership will be meeting regularly with Faculty Council Chairs and Chairs of the Elected Faculty Councils of Schools, Colleges, and Campuses for coordination and information sharing.

Report of the Chair of the Senate Committee on Planning and Budgeting  
Bruce Balick, Professor, Astronomy

### What is the role of the Senate Committee on Planning and Budgeting

SCPB is one of three university standing advisory committees<sup>1</sup> that advise the Provost on matters of budget and long-range planning. Its regular meetings are how and when the Faculty Senate and student governance groups engage in thoughtful and ongoing dialogue with the Administration on complex matters. SCPB's voting members include six appointed at-large faculty, the elected leadership of the Faculty Senate, a student representative, the Provost, and the Vice Provost for Planning and Budgeting.<sup>2</sup> Given the limited time that we have for our meetings, we focus on budget and policy matters of direct academic impact. We have numerous lesser statutory responsibilities as well.

### SCPB Activities Planned for Fall

- Budget, Budget, Budget  
Academic uses and priorities of the core education budget (see addendum below)  
Guiding principles for fashioning budgets (2Y2D, developing and applying metrics, etc.)
- UW's Legislative Message
- SCPB—Board of Deans & Chancellors: coordination of work
- Ongoing Issues  
Quarterly costs of faculty hiring & retention  
State support levels and their potential academic implications  
Items of interest to ASUW and GPSS

We will be diligent about posting our agendas on the Faculty Senate website, <http://www.washington.edu/faculty/facsen/issues.html>. This allows you to follow our activities and to send me timely concerns and suggestions (balick@uw.edu).

### SCPB and the 2011-13 Core Education Budget

SCPB normally considers only the Core Education **budget** (aka Academic; includes salaries of state employees, libraries TAs, and IT ("productivity infrastructure"), and **the** student support **budget** (need grants, counseling, registration admission, etc.) UW has two other and even larger operations budgets: Restricted (funds that are allocated for specific purposes), and Support (revenues from earmarked fees).

The Core Education Budget is funded overwhelmingly by tuition and state appropriations, each of which

<sup>1</sup> The others are the Board of Deans and Chancellors and the assembled Vice President and Vice Provosts.

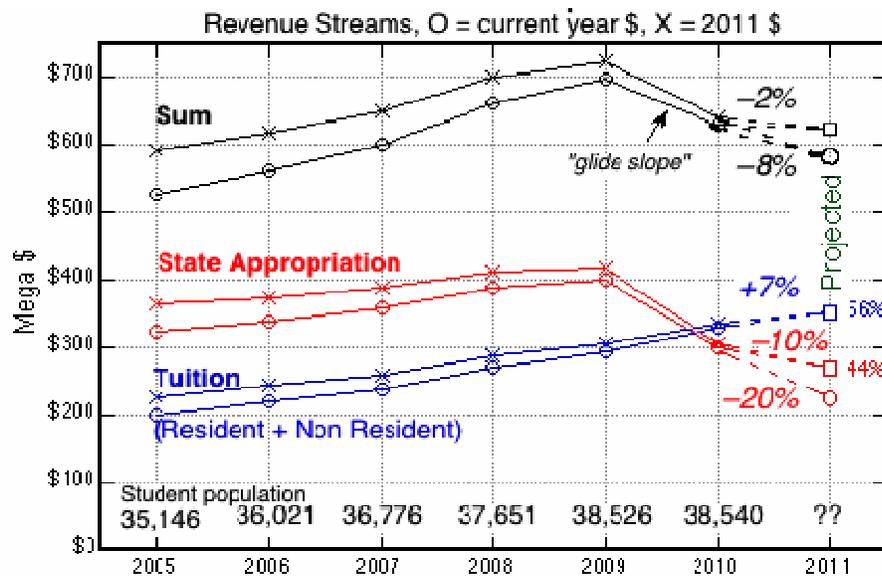
<sup>2</sup> Voting SCPB members:

Faculty	Susan Atkins, Epidemiology (Senate Vice Chair)
Members	Bruce Balick, Astronomy (SCPB Chair, 2011-12)
	Christine Di Stefano, Political Sciences
	Jim Fridley, Forest Resources and Mechanical Engineering (Faculty Legislative Rep)
	JW Harrington, Geography (Senate Chair)
	Mark Hasslekorn, Human Centered Design & Engineering
	Bradley Holt, Chemical Engineering
	Paul Hopkins, Chemistry
	Dan Jaffe, Interdisciplinary Arts & Sciences, Bothell
	Marica Killien, Nursing (Secretary of the Faculty)
	Gail Stygall, English

Student Rep (TBA)

Provost's	Mary Lidstrom, Interim Provost and BioEngineering
Office	Paul Jenny, Vice Provost for Planning & Budgeting

varies with enrollment and political vicissitudes. In 2010 the Core Education Budget was \$713M, or 23% of the University's total expenditures (\$3.1B).<sup>3</sup> In the past 10(20) years the inflation-adjusted state support per student at UW decreased from \$11K(\$12K) to \$8K per year while tuition has risen from \$5K(\$3K) to \$9K. Trends from the largest revenue sources are shown in the graph below.



Net budget cuts – perhaps substantive ones – are expected once again in the next biennium as the state withdraws additional support.<sup>4</sup> The cuts won't be fully known in detail before late March after a new legislature has passed a budget. Some cuts are all but certain; however, their degree (and SCPB's advice to the Provost) will depend on the complexion of the new legislature, possible initiative-based approvals or repeals of various state taxes, tuition authority that we may be delegated, and the general state of the economy.

Our most vital immediate task this fall will be to approve and submit a prioritized set of principles that the Provost will consider when reviewing needs, the past performance, and the aspirations of each of the deans' and chancellors' units during the winter quarter. We will build on the work of SCPB in 2009 ([www.washington.edu/faculty/facsen/issues/scpb\\_priorities.pdf](http://www.washington.edu/faculty/facsen/issues/scpb_priorities.pdf)). As the Chair of SCPB, I invite input from you. To be fully considered advice must reach us by November.

In any event, the fashioning of a core educational budget requires constant rebalancing the funds allocated to broad categories of our core educational budget even in the best of years: The major categories of expenses that directly support our teaching and scholarship are balanced – mostly within colleges by deans after discussions with their Elected Faculty College Councils:

- instructional salaries (i.e., faculty & lecturer). Faculty RIFs (Reductions In Force) may deeply impact teaching loads & quality, generally 9 months per year. As a rough guess, faculty salaries are about 50% of our core budget.
- scholarship support infrastructure (libraries, IT, whatever enables scholarship and attracts and retains top talent). Note that a large part of this is paid from research grants, but such grants are very rare in some community segments, e.g., humanities and social sciences!
- instructional support (TAs, classroom technology, teaching support, assessment, Catalyst),
- student support & services (counseling, admissions, "diversity" costs, financial aid, etc)

<sup>3</sup> Revenue and expenditure trends are found in <http://www.washington.edu/admin/pb/home/pdf/slides/UWFFundingTrends.pdf> and ...WASate.pdf.

<sup>4</sup> As you know, Governor Gregoire ordered an immediate 6.3% cutback in 2009-11 state support. This must be implemented so quickly that all major units are being cut equally by 2.4% (they may or may not distribute these cuts equally to all subunits). Thus SCPB is unable to consider or advise on this immediate cut.

- faculty productivity support such as college/department/OSR administrative staff services, Human Subjects, grant accounting, and a host of other behind-the-scenes functions.
- faculty retention; retirement incentives; recruitment (incl. startup offers)

SCPB is starting to consider the priorities of the items as part of its assessment of key budget principles. (Of course, any rebalancing is ultimately the responsibility of the Provost.) Decisions are reached after extensive discussions with SCPB, the Board of Deans & Chancellors, and the VPs. We will be looking into the impacts various tradeoffs for 2011-12. For example, if faculty salaries – a rapidly growing share of the core education budget – were to be increased by 2% (~\$6M), then how would this impact the funding of teaching, learning, and scholarship?

### **Other issues**

Unit Assessment and Metrics. Another issue to be considered within SCPB very actively is the assessment of our diverse programs. Which represent key strategic investments? Which are already strong, which are within reach of greatness, which are failing or flailing, and which lie in between? How can we tell? The Provost and the Board of Deans and Chancellors have strongly endorsed the partial use of standard metrics for which data are already available. But this is just one arrow in the quiver. Others will include various narratives such as strategic plans and progress, exciting new growth opportunities, and the degree to which programs align with our larger strategic objectives.

U.W.'s Strategic Objectives: What, exactly, are U.W.'s strategic objectives? The answer is presently ambiguous. However, the "2Y2D" ("Two Years to Two Decades") initiative launched a year ago is now in high gear towards defining our strategic objectives and how we can enhance and sustain them. When complete, 2Y2D will provide a structure for strategic planning at College and departmental levels. In the short term 2Y2D may well produce draft documents that can be used to assess proposed budgets to be submitted by deans this winter. In any event, whatever its form at any given time, SCPB will be looking critically at the outcomes of 2Y2D and their potential consequences on our overall academic program.

Activity-Based Budgeting: Finally, as you know, the implementation of ABB has been delayed a year. While the broad outlines of ABB have been agreed, the details may well be infested with devils. Thus aspects of ABB will remain in SCPB's agenda throughout the year. For example, we will review information about rough spots in the road to full implementation. We also plan to engage in some remaining ABB design issues such as differential tuition in undergraduate majors to reflect the reality that most intensive lab or studio courses tend to be more costly than others. However, whether tuition will (or should) influence and undergraduate's choice of majors is a nettlesome philosophical question.

**2010-2013 Faculty Member Appointments to University and Senate Committees****Faculty Council on Benefits and Retirement**

- Nancy Nihan, Civil & Environmental Engineering, Engineering, as a member for a term beginning September 16, 2010, and ending September 15, 2013.
- Robert Breidenthal, Aeronautics & Astronautics, Engineering, as a renewing member for a term beginning September 16, 2010, and ending September 15, 2013.

**Faculty Council on Multicultural Affairs**

- Angela Ginorio, Women Studies, Arts & Sciences, as a member for a term beginning September 16, 2010, and ending September 15, 2013
- Morayma Reyes, Pathology, Medicine, as a member for a term beginning September 16, 2010, and ending September 15, 2013.

**Faculty Council on Research**

- Daniel Vogt, Forest Resources, College of the Environment, as a renewing member for a term beginning September 16, 2010, and ending September 15, 2013.

**Faculty Council on Student Affairs**

- Virginia Berninger, Education, as a renewing member for a term beginning September 16, 2010, and ending September 15, 2013.
- Jeffrey Schwartz, Radiation Oncology, Medicine, as a renewing member for a term beginning September 16, 2010, and ending September 15, 2013.

**Faculty Council on Teaching and Learning**

- Robert Harrison, Forest Resources, College of the Environment, as a member for a term beginning September 16, 2010, and ending September 15, 2012.
- Albert Merati, Otolaryngology- Head and Neck Surgery, Medicine, as a member for a term beginning September 16, 2010, and ending September 15, 2012.
- Bruce Nelson, Earth & Space Sciences, College of the Environment, as a member for a term beginning September 16, 2010, and ending September 15, 2012.
- Jaime Olavarria, Psychology, Arts & Sciences, as a member for a term beginning September 16, 2010, and ending September 15, 2011.
- Richard Wilkes, Physics, Arts & Sciences, as a member for a term beginning September 16, 2010, and ending September 15, 2011.
- Meng-Che Yeh, Pathology, Medicine, as a member for a term beginning September 16, 2010, and ending September 15, 2011.
- Brenda Zierler, Biobehavioral Nursing and Health Systems, Nursing, as a member for a term beginning September 16, 2010, and ending September 15, 2012.

**Faculty Council on Tri-Campus Policy**

- Michael Forman, Interdisciplinary Arts & Sciences, UW Tacoma, as a member for a term beginning September 16, 2010, and ending September 15, 2013.
- Janet Primomo, Nursing, UW Tacoma, as a renewing member for a term beginning September 16, 2010, and ending March 15, 2011.
- Johann Reusch, Interdisciplinary Arts & Sciences, UW Tacoma, as a member for a term beginning March 16, 2011, and ending September 15, 2013.
- Susan Woods, Biobehavioral Nursing and Health Systems, Nursing, as a member for a term beginning September 16, 2010, and ending September 15, 2013.

**Adjudication Panel**

- Ross Heath, Oceanography, College of the Environment, as a renewing member for a term beginning September 16, 2010, and ending September 15, 2013.
- Kristiina Vogt, Forest Resources, College of the Environment, as a renewing member for a term beginning September 16, 2010, and ending September 15, 2013.