

Minutes
Faculty Senate Meeting
Thursday, December 2, 2010, 2:30 p.m.
Savery Hall, Room 260

1. Call to Order and Approval of Agenda.
2. Report of the Chair – Professor James “JW” Harrington. [Exhibit A]

Senate Chair JW Harrington presented the packet that had been distributed in response to questions that he had received regarding adjudication process.

Janelle Taylor asked about President Emmert’s response that stated that findings of a recent adjudication panel was arbitrary and capricious.

Interim President Phyllis Wise explained that she cannot respond because at the time of the case, she was a party and was provost at time and the case is currently a pending legal matter.

Harrington shared that Code related issues have been referred to the Advisory Committee on Faculty Code and Regulation. He then shared four refined questions that were created that have been posed to the Advisory Committee on Faculty Code and Regulation.

Jim Gregory commented if the last decision means decisions are not reviewable by adjudication panels that would change shared governance. He also said that not many faculty know their rights.

Harrington shared that Secretary of the Faculty Marcia Killien has convened a work group. This group will report to the Faculty Council on Faculty Affairs to look at issues of Chapters 27 and 28 of the Faculty Code in winter quarter.

3. Report of the President – Interim President Phyllis Wise.

President Wise brought up three main topics: the budget, the legislative agenda, and the joint Regent meeting with WSU on Saturday. President Wise said that the budget news is not good and explained that there is a projected state budget shortfall for the current biennium, which could lead to a possible special session of the legislature. She said that the State Need Grants are vulnerable this year as well as for the next biennial budget.

As for this year’s legislative agenda, President Wise hopes to be a part of a broad coalition for higher education. She said that she is currently working with the five other presidents of the other four year institutions. The Governor has asked all six to come together to discuss how the state can help all six.

President Wise shared that she has talked with the Editorial Boards of the Everett Herald, Tacoma News Tribune, and will speak with the Spokesman-Review and the Seattle Times on the importance of higher education.

President Wise said that the WSU and UW Regents will be having a joint Board meeting in Pullman that the Governor will attend.

A Senator asked President Wise how the Husky Stadium Renovation Project could affect the state budget for higher education.

President Wise explained that before the Regents meeting, she met with legislators to discuss the issue. The legislators told her that it hasn’t been on their radar. She went on to say that some people want to stop the renovation no matter what.

4. Opportunities for Questions
 - a. Summary of Executive Committee Actions and Upcoming Issues of November 15, 2010; Requests for Information [Exhibit B]
 - b. Report of the Secretary of the Faculty. [Exhibit C]
 - c. Report of the Chair of the Senate Committee on Planning and Budgeting. [Exhibit D] 2012 Provost Budget Letter and attachments [Exhibit E]
 - d. Report of the Faculty Legislative Representative. [Exhibit F]

Faculty Legislative Representative

In reference to Fridley's written report, Míceál Vaughan asked Faculty Legislative Representative Jim Fridley about the transfer of credit issues mentioned in his report.

Fridley suggested that there was a problem with students transferring smoothly. This issue came from a meeting with the Governor, and has to deal mainly with transferring credits from a community college to a university. Fridley went on to say that if the Governor thinks there is a problem, it would be good for faculty to look at it.

The Faculty Council on Academic Standards Chair John Schaufelberger explained that he would be meeting with the registrar to discuss the matter. He said that admissions have been the main issue, especially since it is easier to be admitted to the University from a community college than from high school. If the transfer credits are below 100-course level, the credits are simply not going to count towards a degree. He explained that he is not aware if that is an issue with the community colleges. It is more of an issue for technical colleges, because they have apprentice degrees.

Harrington reiterated that the issue came up frequently while he was the Faculty Legislative Representative.

A Senator mentioned that, as a parent, he believes there seems to be a problem with community college counselors knowing the actual transfer policies.

Harrington said that it would be great if UW took the lead on the issue.

Sandra Silberstein acknowledged the hard work that the UW advisors have put in to the advising of transfer students.

Senate Committee on Planning and Budgeting

Interim Provost Mary Lidstrom spoke to the Senate to update the Senators on the budget process. Provost Lidstrom explained that the State budget situation is grim; the University is facing budget cuts. If there is an additional cut this fiscal year, the University will have to do across the board cuts because there won't be enough time to make strategic cuts. For the next biennial budget, the University will be strategic in its cuts.

Lidstrom pointed out that she has been working with SCPB and the Board of Deans on budget principles. Budget discussions with Deans will take place in February and March, before the University knows the 2011-13 budget. She has asked units to model 5% and 10% cuts. Tuition increases would be allocated according to the Activity Based Budgeting (ABB) model, which is 70% to units and 30% withheld by the Provost for strategic investment. Final decisions will be hers, but not before consultation with others on campus including SCPB.

SCPB Chair Bruce Balick shared that SCPB has spent a number of meetings discussing budget principles. Lidstrom added that the manner in which SCPB is wrestling with the issues has been an outstanding process.

In reference to a letter to the campus from Provost Lidstrom, Janelle Taylor shared that she was not happy about the statement that the University would come out of this situation better. She said that publicly the University should explain that the University is going to be hurt.

Vice Provost for Planning and Budgeting Paul Jenny agreed with Taylor's sentiments, but explained that there are many audiences to consider. He expressed worry that potential graduate students would hear about the state of the budget cuts, and end up not attending the UW.

Provost Lidstrom said that she believes that, though painful, the University can come out better. That doesn't mean negative impacts will be avoided, but the University will try to minimize such negative impacts. She feels that the University has a great faculty and that some are coming up with innovative ways to teach with fewer resources.

President Wise added that the 2 years of 2y2d, the sustainable academic business plan, will be painful. She hopes that 2y2d will help the University make differential investments now that will pay off in 20 years. She said that not every university has the benefit of having a great faculty engaged on this matter.

Jenny was asked how a cut in state support would affect the UW budget when tuition is considered. Jenny explained that if the state cut its support by 24%, the net cut for the core education budget would be roughly 10%.

Gordon Watts said that the message of getting better during these tough times can get annoying. He also urged that the budget principles that SCPB creates be made public. He asked Balick about the scope of discussions at SCPB and wanted to know at what level of detail is the purview of SCPB. Balick explained that SCPB is to advise the Provost on decisions that she will make, and the finer detail is done at the college level as opposed to the Provost level.

Jenny was asked about possible furloughs. He said that solving a permanent problem with a temporary solution can lead to long term problems. He said that delaying the inevitable can create a worse situation.

When asked about how decisions would be made when state funding comes back, Provost Lindstrom said that she is not making the assumption that state funding will come back. Lidstrom was also asked about the 1-year postponement of ABB, and she explained that the full implementation has been delayed a year to give people time to cope and be thoughtful in the implementation.

In reference to the Program Evaluation Initiative, Provost Lidstrom said that she will read all program evaluation reports. If the deans come to her with a plan for budget cuts that do not address issues with a program that receives a poor review, they will need to explain. While the deans make the recommendations, the provost is accountable. Lidstrom went on to explain that the measures of quality will be different across academic units, and that there won't be a formula. She will be looking for a balance between quantitative and qualitative measures.

5. Invited Guests.

Legislative Update: Margaret Shepherd, Director of State Relations.

Director of State Relations Margaret Shepherd discussed the upcoming 2011 legislative session. Shepherd began by saying that in the year that she has been at the UW, she has been learning about a remarkable place and feels very privileged to have her position. She explained that the state has a shortfall for the current fiscal year, so a special session is possible to address at least some of the shortfall.

As for the 2011 session, External Affairs is working on a broader message and a strong coalition. They will be leading with the value of public investment in a public institution. They will also focus on the benefits provided by the University to the state's youth and the state's economy. The University will also be asking for maximum flexibility to manage its way through the next two years. The University is hoping for flexibility on items such as tuition. She then urged Senators to read Jim Fridley's report, because the questions included in the report come up frequently in Olympia. If the University and faculty can take a leadership role and help define the issue, the University can be more successful. The expected topics that the University will deal with this session include salaries, proportion of health care paid by the state, and higher education technologies.

Shepherd was then asked if it is important to the legislature that the UW is seen as an economic engine. She explained that it is very important and hopes that everybody has seen the economic impact report. Shepherd also brought up the new website about to launch that shows what UW does in each legislative district. The Web address is <http://uw.edu/staterelations>.

Shepherd was asked why there was a change in strategy from requesting tuition authority to tuition flexibility. She answered by stating she does not see the state getting out of the business of setting tuition.

Another question asked of Shepherd was how to communicate the impact of the cuts UW has already faced. Shepherd referred the Faculty Senate to the External Affairs and Planning and Budgeting websites. <http://www.washington.edu/externalaffairs/> and <http://www.washington.edu/admin/pb/home/>

6. Consent Agenda

Approve Nominees for Faculty Councils and Committees. [Exhibit G]

Vice Chair Susan Astley requested that the nominations to Faculty Councils be removed from the consent agenda so that additional nominees can be considered. There were no other items on the consent agenda.

7. Memorial Resolution.

Vice Chair Susan Astley presented the memorial resolution.

BE IT RESOLVED that the minutes of this meeting record the sorrow of the entire faculty upon its loss by death of these friends and colleagues:

Associate Professor Emeritus James Rufus Anderson, Jr. of Social Work who died on November 14, 2010, after having served the University since 1968.

Professor Emeritus Alexander Fefer of Medicine who died on October 3, 2010, after having served the University since 1968.

Associate Professor Philip Heldrich of the University of Washington – Tacoma's Interdisciplinary Arts and Sciences Department, who died on November 11, 2010, after having served the University since 2004.

Professor Emeritus Lawrence (Larry) Wilets of Physics who died November of 2010, after having served the University since 1958.

Chair JW Harrington invited the Senate to approve the resolution by a standing vote. The resolution was approved.

8. Announcements.

There were no announcements.

9. Unfinished Business.

Nominations to Faculty Councils

Astley nominated Brad Holt and LeAnne Jones Wilkes to be faculty members on the Faculty Council on Benefits and Retirement.

The appointments were approved as shown in Exhibit G..

10. New Business.

There was no new business.

11. Good of the Order.

Fridley began a conversation about the state budget situation. The items that he feels the Senate needs to know include if the state's leadership want to know what is good for higher education, they look to the UW. Because of this, he feels that President Wise and Margaret Shepherd have double duty representing both the UW and all higher education in the State.

Fridley would like faculty to think about balancing their individual views of what would be best for the University as compared to the University leadership's strategies. He said that when members of the University community publicly disagree, it gives the legislature in Olympia license to make decisions on their own. However, he believes faculty voices are needed in Olympia and stressed the importance of continuing an internal conversation on campus.

The Faculty Senate also discussed ways in which the University's expertise could help the state legislature in its decision making process. Fridley reminded Senators to keep in mind that Olympia moves much faster than the UW, particularly during the legislative session.

On another topic, Harrington asked Senators to consider a question that they could respond to later. That is, what issues and presenters would you like to hear from at a future meeting? He also brought up the possibility of adding times to the Faculty Senate agenda.

Ron Moore, Chair of the Arts & Sciences Elected Faculty Council (EFC) drew the Faculty Senate's attention to the spring Faculty Senate elections by mentioning that the college councils are responsible for running their elections. He asked other EFC Chair's who might have ideas on possible improvements as to how the faculty councils run elections, to share them with him.

Some Senators expressed concerns about how the terms in the new structure were staggered so that all Senators from the same school, college, campus, are on the same cycle. This leads to worries about institutional memory. It was explained that it is easier for the college councils not to stagger terms within their units because an election would need to be conducted every year instead of every other year. Harrington said he would discuss the matter with the Faculty Council on Faculty Affairs' Chair Rich Christie.

12. Adjournment.

The meeting was adjourned at 4:31 PM.

Prepared by: Marcia Killien, Secretary of the Faculty

Approved by: James "JW" Harrington, Chair of the Faculty Senate

Report of the Faculty Senate Chair
James "JW" Harrington, Professor, Geography

Adjudications and Academic Misconduct

At the 28 October Senate meeting I responded to the request about actions relevant to adjudications and outcomes of charges of academic misconduct.

On the 27th, I asked the President's office to compile:

- The basis for President Emmert's declaration that the panel decision regarding the Aprikyan case was arbitrary and capricious; and
- The number of decanal findings of scientific or scholarly misconduct in the past 10 years, by academic unit, and by nature of the outcome.

On the 27th, I asked the Secretary of the Faculty to compile:

- Adjudication decisions by total number and academic year, and Presidential responses, since the last such compilation, which I understand was undertaken during the McCormick presidency.

I expect to disseminate these reports at the 2 December Senate meeting.

At the November SEC meeting, we agreed to interpret the meaning of the mutual references in the Handbook [Vol.4, Part 9, Ch.1, Sec.5.B.1] and the Code [Ch. 28-32 & 28-35] regarding decanal findings of academic misconduct on the one hand and formal adjudication on the other. The SEC formally asked the Advisory Committee on Faculty Code and Regulations for advice on the matter, which we hope to have in hand for the 10 January SEC meeting. Once the SEC figures out an interpretation of the current rules, it will discuss whether the FCFA or the FCR need to look at the possibility of proposing legislation that would clarify or modify the nature or the relationship of these processes.

Faculty Councils

At the end of October, the Senate leadership met with the chairs of our Faculty Councils, so that everyone could share what they're working on, to encourage them to post information about their Councils' activities in *University Week* and on the Senate blog, and to reiterate that they're welcome to attend SEC meetings. Based on that meeting, I don't foresee this year being as legislation-heavy as last year, but we shall see. Winter Quarter may bring us proposed legislation to clarify the definitions and reference to Lecturer ranks in the Code. I'll have to refer specific questions to Rich Christie, chair of the FCFA.

Elected Faculty Councils

In early November the Senate leadership had lunch with the chairs or representatives of 14 of our Elected Faculty Councils, to share information back and forth about this year's activity of the Faculty Senate, SCPB, and the faculty councils in schools, colleges, and campuses. Of the 14, several noted that they were heavily involved in their deans' or chancellors' scenario building for budget cuts; two noted that they were not involved in such information sharing or discussions.

Budget

Since that meeting with the EFC chairs, the state has issued new revenue projections, showing yet further shortfalls in the current fiscal year, and thus a worsening forecast for the 2011-13 biennium. The Governor will shortly decide whether to call a special session of the Legislature to propose further cuts in the current state budget, or to mandate across-the-board cuts in those activities that aren't protected by entitlement or external commitment (such as debt service). In mid-December, the Governor must propose a biennial budget that balances the reduced revenues as forecast. This is not good. I presented some of the challenges in my November comments to the Regents: see http://www.washington.edu/facsen/remarks/regents_111810.pdf

Husky Stadium

During a joint session of the Regents' Academic & Student Affairs Committee and Finance, Audit, and Finance Committee at the November meeting of the Regents, the Athletic Director, director of development for Intercollegiate Athletics (ICA), and key people from the Capital Projects office and the

Treasury Office were questioned about the proposal for reconstruction of Husky Stadium. The detail presented to the Regents is available at <http://www.washington.edu/regents/meetings/2010/november/items/fin/f-12.pdf>. Among the questions asked:

- How sure are we that the proposed use of the University's Internal Lending Program (ILP) can be paid back?
- What are the plans for fund raising, ticket prices, box rentals, and naming of facilities?
- If the results of the financing plan are as successful as forecast, will ICA pay the University back early? Will the ICA be able to return funds to support the rest of the University?
- What do the students and the Faculty Senate think of the proposal?

In response to the last question, I asked to come to the table. (The ex officio members of the Regents – the Faculty Senate chair, ASUW president, GPSS president, and Alumni Association president – generally sit at the table and offer comments only at the formal Regents meeting.) I reported that Scott Woodward had presented plans and answered a series of questions at the November meeting of the Senate Executive Committee. I noted that the Senate Committee on Planning and Budgeting focuses on the academic side of the University, and has not formally taken up the Stadium proposal. I suggested that the major concern of the faculty is the opportunity cost of using up to \$250M from the ILP. Are there other construction projects waiting for internal financing?

I didn't receive a direct answer, but detail about the current uses and plans for the ILP had been presented earlier in the day – see <http://www.washington.edu/regents/meetings/2010/november/items/fin/f-5.pdf>.

With a reminder that the Regents must approve the annual budget for ICA and that they could insist on payback to the University, the Regents approved the proposal as outlined in <http://www.washington.edu/regents/meetings/2010/november/items/fin/f-12.pdf>.

Weekday Football

I'm eager to learn the results of the 18 November football game on the operation of our classes, labs, clinics, and other operations. Send me a message at jwh@uw.edu, or post a comment at <http://senateblog.washington.edu>.

When Scott Woodward came to the November Senate Executive Committee meeting, primarily to present the plans for Husky Stadium, I asked him about future weekday football games. He said, very plainly, that he didn't foresee any more during an academic year. Maybe while the team plays at Qwest Field, and maybe in September after that. Since he said it so plainly, I wanted to repeat that here.

Communication

I will continue to post informal summaries of SEC and Senate meetings on the Senate blog, to communicate about our activities. Feel free to comment on those summaries, and to use them as for your own communication with the Senators and colleagues you represent.

Summary of Executive Committee Actions and Upcoming Issues of November 15, 2010

1. Approval of October 11, 2010 Senate Executive Committee Minutes.
http://www.washington.edu/faculty/facsen/sec_minutes/10-11/sec_101110.pdf
2. Approval of October 28, 2010 Faculty Senate Minutes.
http://www.washington.edu/faculty/facsen/senate_minutes/10-11/senate_102810.pdf
3. Faculty Council Issues:
 1. Academic Standards
In addition to our normal business reviewing curriculum changes, major topics that FCAS may undertake this year are:
 - a. Impact of Activity Based Budgeting on Academic Programs.
 - b. Online Baccalaureate Programs.
 - c. Higher Education Coordinating Board Modifications to Minimum Admission Standards.
 2. Benefits and Retirement
 - a. Fund Review Committee
 - b. Voluntary Retirement Incentive option
 - c. Further issues TBD
 3. Faculty Affairs
 - a. Revise RCEP to clarify process and address some holes related to college vs. program process and voting to appeal for a full process.
 - b. Diversity – Consider adding a diversity statement to the Faculty Code.
 - c. Promotion and Tenure Issues – Openness and consideration of collegiality in the Promotion and Tenure process.
 - d. Senate Restructuring Cleanup – Alternate delegates for Senators, SEC nomination process, SEC Faculty Council Chair elections, double Senators (elected and ex officio).
 - e. Lecturer/Instructor Confusion – Lecturers and instructor have different collegial teaching evaluations and chair meeting requirements in the code. Senior Lecturers and Principal Instructors are evaluated yearly while senior tenure track faculty are evaluated every three years.
 - f. Freedom of speech on campus (not yet started).
 - g. Definition of voting faculty (not yet started).
 - h. Pending issues: conciliation process privacy issues; librarian suffrage/faculty status; textbook royalties.
 4. Multicultural Affairs
 - a. University Diversity plan
 - b. Further issues TBD
 5. Research
 - a. Reviewing classified, proprietary and restricted research. (New laws involving import/export and homeland security issues; assessment of financial and physical risk associated with specific research projects becoming concerns.)
 - b. Generate a comprehensive approach to restricted research consistent with our understanding of academic freedom. (New rules have been handled largely on a case-by-case basis.)
 - c. Indirect Cost Recovery negotiations.
 - d. Compliance; human subjects and federal “earmarks.”
 - e. Enhance public access to publications.
 - f. Interdisciplinary research.
 6. Student Affairs
 - a. Housing and Food Services update (10/5)
 - b. Admissions update (11/2)
 - c. Role of athletics on campus
 - d. Further issues TBD
 7. Faculty Council on Teaching and Learning
 - a. The issues will center on how quality of teaching and learning can be maintained in the changing environment. This includes:
 - i. Impact of staffing changes on faculty time for preparation and teaching

- ii. Impact of distance learning, and use of technology on quality
 - iii. Effect of Activity Based Budgeting on teaching and learning activities
8. Tri-Campus Policy
 - a. Review of FCTCP Work Group on Tri-Campus Relations final report
 - b. Role of President's Designees on council
 - c. Tri-campus perspectives on presidential search
 - d. Further issues TBD
 9. University Facilities and Services
 - a. Molecular Engineering Building Phase 2/Grant Circle project (10/21)
 - b. West Campus Residential Developments (10/21)
 - c. Wallingford Solar Initiative
 - d. Impacts of Nov. 18 football game
 - e. Further issues TBD
 10. University Libraries
 - a. Execution of the new FCUL Membership Recommendation Guidelines.
 - b. Facilitation of *Open Access* of scholarly publications.
 - c. Inclusion of Librarians on the Faculty Senate.
 - d. The Research Commons opening and operation.
 - e. The provision of HUB services located in the libraries.
 - f. Planning for collections, services, and staff in support of the libraries strategic plan and necessitated by the continuing budget constraints.
 11. Women in Academia
 - a. Continue follow-up work of survey on non-ladder faculty
 - b. Investigate and further develop ideas for mentoring programs
 - c. Further issues TBD
4. Request for Code Interpretation: Interpretation of the mutually referential language in the Handbook Vol. 4, Part 9, Ch. 1, Sec. 5.B.1. and the Faculty Code Ch. 28-32 and 28-35. Approved and referred to the Advisory Committee on Faculty Code and Regulations.

As chair of the Faculty Senate and in response to a request for information, I'm requesting an official *Code* interpretation of the mutually referential language in, is there a conflict between these two sections of the handbook:

1. The University Handbook Volume 4, Part 9, Chapter 1, Policy for Addressing Allegations of Scientific and Scholarly Misconduct, Section 5.B.1, Investigation; and
2. The Faculty Code Chapter 28, Adjudicative Proceedings for the Resolution of Differences, Sections 28-32, Cases Subject To Adjudications and 28-35, Time Limitations on Initiating Adjudications.

JW Harrington, Chair
Faculty Senate

Report of the Secretary of the Faculty
 Marcia Killien, Professor, Family and Child Nursing

1. Senator Míceál Vaughn has been elected to the Senate Executive Committee, to complete the unexpired term of Bill Reinhardt, who resigned.
2. The Faculty Council Chairs participated in a survey regarding ex-officio member voting rights. Their recommendations regarding ex-officio members that are nominated and confirmed by the SEC and Senate were adopted by SEC at the November 15 meeting and are reflected in the Nominations for Faculty Councils and Committees in the Senate's December 2 Consent Agenda. The SEC also acted on a report from the Advisory Committee on Faculty Code and Regulations to recognize Presidential Designees as ex officio members of Councils without vote.

**EX OFFICIO VOTING ON FACULTY COUNCILS
 SURVEY RESULTS**

Council	ASUW	GPSS	PSO	UWRA	ALUW	OTHER*
FCTL	X	X			X	
FCFA						
FCUL	X	X	X	X	X	
FCSA	X	X	X	X	X	
FCUFS	X	X	X	X	X	X (PD)
FCAS	X	X	X	X	X	X (PD, UAC)
FCR						X (faculty ex officio only)
FCMA						
FCWA	X	X	X	X	X	
FCBR	X	X	X	X	X	
FCTCP						X See Code re: FCTCP

*Nonacademic officer/ex officio

3. The Senate Leadership met on October 29, 2010 with the Faculty Council Chairs and on November 8, 2010 with the Chairs of the Elected Faculty Councils of Schools, Colleges, and Campuses for coordination and information sharing. Chairs were encouraged to take an active role in advising their respective Deans and Chancellors regarding budgetary decisions.
4. Nominations are open for the position of Vice-Chair of the Faculty Senate for 2011-12.

Members of the Nominating Committee are:

Marcia Killien, Committee Chair and Secretary of the Faculty
 Susan Astley, Epidemiology, Faculty Senate Vice Chair
 Bill Erdly, UW Bothell, Chair, Faculty Council on Tri Campus Policy
 Gerry Philipsen, Communication, Past Faculty Senate Chair
 John Schaufelberger, Construction Management, Chair, Faculty Council on Academic Standards

Submitted: 11/22/10
 Faculty Senate Report

Report of the Chair of the Senate Committee on Planning and Budgeting
Bruce Balick, Professor, Astronomy

This report focuses on recent progress and planned future activities. The first presents SCPB's purpose, scope, and membership as well as a preview of the issues that we are expecting to tackle. Our agendas are posted in advance of meetings at <http://www.washington.edu/faculty/facsen/issues.html> and your advice is always welcome.

Budget: SCPB's agenda for 2010-11 is dominated by consideration of the budget for 2011-13. As of this writing we have dire predictions but no solid budget estimates to constrain the discussions. Thus we are concentrating on (1) crafting recommended budget-crafting guidelines and priorities, and (2) working with the Provost and deans on how quantitative metrics should be utilized to build unit budgets (the definition of unit budgets are the province of the deans and their faculty.) The future impact of ABB is also an element in the discussions.

Our report on metrics is posted on the portion of the Faculty Senate web site called "Issues Under Consideration". The principles and priorities of budgeting are still under active discussion owing to inherent complexities of making difficult choices. Even so, our hope is to establish working principles in time for the Provost's charge to deans and chancellors to develop budget scenarios during the winter quarter.

2y2d: SCPB is planning to provide periodic reviews of the recommendations of the "2y2d" groups as they progress into the early stages of agreement on priorities and business plans. Elected faculty representatives serve on both of the high-level committees, and faculty participation in the working groups is extensive. At this point there are active academic and administrative groups looking at ways to set and meet university-wide goals in a realistic planning process. See <http://www.washington.edu/discover/leadership/provost/initiatives/2y2d> for updates. <http://www.washington.edu/discover/leadership/provost/initiatives/2y2d/2y2d-q-a> is particularly helpful for understanding the scope and goals of this effort.

Graduate Partial Tuition Waivers: As you may have heard, budget cuts are forcing an evaluation of several programs and support units that have promoted grad-student access to UW. The President has asked the regents to rescind a partial tuition waiver program for non-state residents initiated in 2005. The fellowships are now received by about 360 students who are not TAs and RAs, but the cost has vastly exceeded the programmed funds. The regents have approved the proposal to eliminate these waivers; however, SCPB and GPSS are hopeful that limited numbers of such waivers will be offered by each college and school on a competitive basis to meet their strategic goals. We are watching this issue closely as it develops.

Report of the Faculty Legislative Representative
Jim Fridley, Professor, Forest Resources and Mechanical Engineering

Now that the November 2, 2010 elections and the November 18, 2010 Forecast Council report are behind us the Governor and the Legislative Leadership will be giving their full attention to the current budget crisis. The following statement regarding the situation in the current biennium was released by the Governor:

“For the past two years we have been squarely focused on navigating the effects of the great recession. Our revenues have been closely tracking to the September forecast which is why today's news comes as such a great surprise. Further across the board cuts, which would add 4.6 percent to the 6.3 percent reductions I ordered last month, are not feasible.

“I have been working with legislative leadership in both parties to collect ideas on how to address our current shortfall. This forecast has added even more urgency to those discussions and I've asked them to provide their options to me by November 29. Quite frankly we can't cut any deeper without ending significant programs. Extremely difficult choices must be made and given this sharp revenue decline, they must be made now.

“As Governor I have limited tools in how to deal with these shortfalls. With the 6.3 percent across the board cuts currently being implemented, I have already pushed that option to the limit. The Legislature will need to act quickly – delay will only deepen the problem and limit the options.”

Governor Gregoire imposed the 6.3% across the board reductions to budgets, for the fiscal year ending June 30, 2011, with an executive order issued this past August. One reason that she cannot issue a second executive order, for an additional 4.6%, is that there are legal constraints on some agency budgets that would be violated if their spending was reduced beyond the level of the 6.3% cut. One such constraint is the requirement to maintain a predetermined minimum level effort in higher education, through June 2011, that was agreed to by the state when it accepted federal “stimulus money” in the education area.

The state general fund budget for the coming biennium will also present very serious challenges to the Governor and the legislature. The most recent revenue forecast suggests a 5.7 billion dollar shortfall on a biennial budget of about 31 billion dollars. The problem is made particularly severe because only about 9 billion of the 31 billion dollars of expenditures in the budget are considered to be discretionary. The state general fund contribution to higher education is about 3.1 billion dollars and those 3.1 are entirely within the nine.

The Governor has been meeting with stakeholder groups in various sectors to explain the current situation and discuss its implications. In a recent meeting with about six of us representing community college and four-year university faculty and students, Governor Gregoire explained that the budget will be painful, higher education will see very substantial cuts, and that there isn't anything she can do about it. It was suggested that the only possible mitigation to the coming cuts will be tuition increases and that the institutions will need to decide how much they will be willing increase tuition in order to offset the reductions in their state appropriation.

Another concern she raised is course transferability for students moving between institutions. She said this was something that came up on the campaign trails in the form of students and parents telling/complaining about courses that didn't transfer but should have. She was insistent that it was a real problem and she was insistent that it be solved. Whether or not course transferability per se is a problem, if she thinks we have a problem then we have a problem. Perhaps this issue is something faculty could get out in front of, find a great solution, and take credit for it. The real problem probably isn't that big but if we wait for a legislatively imposed solution that probably will be that big.

The Governor's Task Force on Higher Education Funding and Accountability has been meeting behind closed doors throughout the latter part of the summer and the autumn and should be issuing its report in early December. It is reasonable to expect that, given the state's budget problems, that any funding recommendations will involve tuition increases at least to the extent necessary to maintain total funding at or around the FY2011 (this current year) level and perhaps with an intent of approaching something like the 60th percentile of Global Challenge States' comparable institution funding levels. Accountability will

also be prominent in the Task Force's report but it may become more of a transparency and truth in advertising issue than one of improved performance.

It is the legislature though, not the Governor, that will ultimately determine the state's budget but they will have little or no ability to do much more than cut agency budgets. Their tendency will likely continue to want to see "improvement" in exchange for favorable treatment in the budget. Therefore expect all of higher education to be challenged to explain their ability to do more and do better. The challenges will likely occur in legislative work sessions and in public hearings for bills under consideration, and the University of Washington will be expected to show leadership in addressing them. The following list of questions aims to illustrate the breadth of policy and accountability issues that are topical in today's higher education political climate. Our Faculty Senate and Faculty Councils might have some interest in examining these to determine if progress on the underlying issues is in their purview.

1. What are your plans to increase capacity overall as well as participation rates for certain groups, especially)?
2. What are your measures for overall completion rates and for selected populations that have not traditionally been successful?
3. How do you measure quality of your program as viewed by employers and students?
4. Are the graduates of your institution highly sought after by other institutions of higher learning (for either bachelors or graduate programs)? What can you do to make them more highly sought after?
5. What are your plans to grow high demand areas of study, and more nimbly respond to the changing needs of the world (employers, economy, etc), while maintaining your timeless and invaluable liberal arts foundation?
6. What are your plans to reaffirm the commitment to improving the quality of the institution's teaching and learning endeavors (including but not limited to strategies around, faculty workload, class size, etc.)?
7. What measures are you taking to reduce costs and speed up student progress? What specific data are you using to measure progress?
8. Is or isn't transfer between institutions a problem and if it is what is your plan (for things you can do at your institution) to help eliminate the problem?
9. What are your plans to better integrate technology (in a quality manner, as something that maintains and/or enhances the educational experience and outcome and does not merely aim to provide "quicker, cheaper, easier")?
10. What are your plans to be more obviously accountable to consumers of higher-education by providing easy to navigate and interpret information about what they are 'purchasing' and what outcomes they might expect?
11. Is or isn't student debt a problem and if it is, what besides providing a lower cost and hence lower quality education can be done about it?
12. What programs do you offer now or may be planning that will be either self supported or bring significant matching money from federal or industry sources?
13. Do you have a process to identify programs/fields which are not central to your core program and not cost effective for your institution to offer a comprehensive or top quality program? What steps have taken on that process?

2010-2013 Faculty Member Appointments to University and Senate Committees**Senate Committee on Planning and Budgeting**

- Bill Zumeta, Daniel J. Evans School of Public Affairs, as a member for a term effective immediately and ending September 15, 2011.

Faculty Council on Faculty Affairs

- Lea Vaughn, School of Law, as a member for a term effective immediately and ending September 15, 2013.

2010-2011 Representative (Ex-officio) Faculty Council and Committee Nominations

Nominate, for Senate appointment, effective immediately, representative *ex-officio* members of Faculty Councils and Committees for terms ending September 15, 2011:

Faculty Council on Academic Standards

- Carlos Williams, Professional Staff Organization, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011
- Cynthia Fugate, Association of Librarians of the University of Washington, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011
- Jed Bradley, Associated Students of the University of Washington, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011

Faculty Council on Benefits and Retirement

- Charles Chamberlin, Association of Librarians of the University of Washington, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011
- J. Ray Bowen, University of Washington Retirement Association, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011

Faculty Council on Faculty Affairs

- Stacey Fauchald, Professional Staff Organization, as an ex-officio member for a term effective immediately and ending September 15, 2011
- Serin Anderson, Association of Librarians of the University of Washington, as an ex-officio member for a term effective immediately and ending September 15, 2011

Faculty Council on Multi-Cultural Affairs

- Scott Sipes, Professional Staff Organization, as an ex-officio member for a term effective immediately and ending September 15, 2011
- Laura Lillard, Association of Librarians of the University of Washington, as an ex-officio member for a term effective immediately and ending September 15, 2011
- Benjamin Lealofi, Associated Students of the University of Washington, as an ex-officio member for a term effective immediately and ending September 15, 2011

Faculty Council on Research

- Colin Smith, Professional Staff Organization, as an ex-officio member for a term effective immediately and ending September 15, 2011
- Maureen Nolan, Association of Librarians of the University of Washington, as an ex-officio member for a term effective immediately and ending September 15, 2011
- Francis (Sandy) Spelman, University of Washington Retirement Association, as an ex-officio member for a term effective immediately and ending September 15, 2011

Faculty Council on Student Affairs

- Carrie Perrin, Professional Staff Organization, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011

- Jill McKinstry, Association of Librarians of the University of Washington, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011
- Jed Bradley, Associated Students of the University of Washington, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011

Faculty Council on Teaching and Learning

- Lorraine Calissi-Corral, Professional Staff Organization, as an ex-officio member for a term effective immediately and ending September 15, 2011
- Amanda Hornby, Association of Librarians of the University of Washington, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011

Faculty Council on Tri-Campus Policy

- Rebecca Deardorff, Professional Staff Organization, as an ex-officio member for a term effective immediately and ending September 15, 2011
- Sarah Leadley, Association of Librarians of the University of Washington, as an ex-officio member for a term effective immediately and ending September 15, 2011
- Brandon Skyles, Associated Students of the University of Washington, as an ex-officio member for a term effective immediately and ending September 15, 2011

Faculty Council on University Libraries

- Ellen Barker, Professional Staff Organization, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011
- Susanne Redalje, Association of Librarians of the University of Washington, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011
- Richard Kirkendall, University of Washington Retirement Association, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011
- Yoo Lee, Associated Students of the University of Washington, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011

Faculty Council on University Facilities and Services

- Paul Zuchowski, Professional Staff Organization, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011
- Paula Walker, Association of Librarians of the University of Washington, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011
- Steve Goldblatt, University of Washington Retirement Association, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011

Faculty Council on Women in Academia

- Jeanne Small, Professional Staff Organization, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011
- Deborah Raftus, Association of Librarians of the University of Washington, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011
- Benjamin Lealofi, Associated Students of the University of Washington, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011
- Bertha Barriga, University of Washington Retirement Association, as an ex-officio member with vote for a term effective immediately and ending September 15, 2011