Agenda
Senate Executive Committee Meeting
Monday, February 11, 2019, 2:30 p.m.
142 Gerberding Hall

1. Call to Order and Approval of Agenda.

2. Senate Chair’s Remarks – George Sandison. [Exhibit A]

   a. Report of the Secretary of the Faculty. [Exhibit B]
   b. Report of the Chair of the Senate Committee on Planning and Budgeting. [Exhibit C]
   c. Report of the Faculty Legislative Representative. [Exhibit D]

4. President’s Remarks – Ana Mari Cauce.

5. Consent Agenda.
   a. Approve the January 7 and 14, 2019, SEC minutes.
   b. Approve the January 24, 2019, Faculty Senate minutes.
   c. Approve nominees for Faculty Councils and Committees. [Exhibit E]
   d. Confirm the 2019-2020 Vice Chair nominees. [Exhibit E]

6. Announcements.

7. Unfinished Business.

   a. Class B Legislation – Credit / No Credit. [Exhibit F]
      Faculty Council on Academic Standards.
      Action: Approve for Faculty Senate Consideration.
   b. Class A Legislation – Proposed changes to elected faculty councils – first consideration. [Exhibit G]
      Faculty Council on Faculty Affairs.
      Action: Approve for Faculty Senate consideration.
   c. SEC Interpretation – Participation of Non-voting Faculty in Promotion & Tenure Discussions. [Exhibit H]
      Action: Make interpretive decision.
   d. Approval of the February 28, 2019, Faculty Senate Agenda. [Exhibit I]
      Action: Approve for distribution to Faculty Senators.

9. Discussion Items.
   a. Faculty Diversity and Faculty Governance.
      Chad Allen, Associate Vice Provost for Faculty Advancement.
   b. Discussion of Potential Faculty Regent Process. [Exhibit J]
      JoAnn Taricani, Faculty Legislative Representative.

10. Adjournment.

Prepared by: Mike Townsend
Approved by: George Sandison, Chair
Secretary of the Faculty
Faculty Senate

NOTE: If a continuation meeting is necessary to conduct unfinished or special business, it will be held on Monday, February 25 at 2:30 p.m. in Gerberding 142.
Report of the Faculty Senate Chair
George Sandison, Professor, School of Medicine

This report will be sent under separate cover.
Report of the Secretary of the Faculty
Mike Townsend, Associate Professor, School of Law

1. Vice-Chair Nominations: The Senate Vice-Chair Nominating Committee has completed its work. Two names have been forwarded to the Senate Executive Committee for consideration as submissions to the full Senate at the February 28 meeting.

2. Senate Elections: Senate elections are currently ongoing.

3. Committee on Committees: The Committee on Committees will soon be seeking candidates for membership on various Faculty Councils and Committees. Contact Joey Burgess (jmbg@uw.edu) or Lauren Hatchett (lehatch@uw.edu) for further information.

4. Annual Faculty Lecture: The Nominating Committee has received nominations and will begin deliberations later this month.
Report of the Chair of the Senate Committee on Planning and Budgeting
Thaïsa Way, Professor, College of Built Environments

The Senate Committee on Planning and Budget meets weekly with the Provost, the Vice-Provost for Planning and Budget, and the head of the Board of Deans. SCPB is charged with consulting on all matters relating to the University budget and on a wide range of program and policy decisions.

The SCPB is charged with consulting on matters relating to the University budget, planning, and policies. SCPB strives to support the critical contributions we make as a public university through teaching, research, and service. The SCPB is advisory to Provost Mark Richards with a reporting obligation to the Senate Executive Committee (SEC) and to the Faculty Senate. So that you can be as informed as you have time to become, consider exploring the Institutional Data Resources found on the Office of Planning and Budgeting website.

Between holidays and snow days, SCPB has only met a few times since our last report. We have a full agenda moving forward.

Nevertheless in the past month, we reviewed the Governor’s budget and state legislative agenda priorities. We know that Governor Inslee’s budget depends on more revenue than we are likely to see, however, we are pleased to see the UW and higher education as one of his priorities. We hope this attention will be maintained. Please see the report by JoAnn Taricani and our State Relations office for more information.

We continued with our review of units with budget challenges including most recently Continuum College and its many programs. With online education and fee-based programs Continuum College serves thousands of learners. However, these services are built on a changing landscape that needs to be carefully considered as we move forward.

We continue to talk extensively about the next comprehensive ABB Review. This review is likely to tackle challenges of interdisciplinary and/ or collaborative teaching, provost investments, and supplement funding levels, among other questions. We will be scoping the review for the next couple of months, so let me know if you think there are issues we might address.

Coming up on our agenda is a review of unit-adjustment proposals, a potential change in summer course programs, and the need for increasing investments in student life services.

I look forward to hearing from you. Send me your questions and concerns. We will work to do our best to respond.
Report of the Faculty Legislative Representative  
JoAnn Taricani, Associate Professor, Music History

The month of February is always very busy; many policy bills are introduced every day. By mid-March, around 70% of these bills will have died without proceeding; by the end of the legislative session, around 85% of all bills will have died. However, while it is possible to see what bills are likely to keep moving, and which ones are likely to die soon, I do keep track of all legislation that might affect faculty and students.

This week, there are bills related to student issues such as financial aid, the need to reduce student debt, providing support to low-income students via mechanisms such as eliminating the application fees for college applications (many state universities already do this, based on income), establishing an educational savings account program, providing AP/IB credit at the college level, and providing assistance for homeless students. There are at least two campus free speech bills circulating, and one bill encouraging the use of lower-cost educational materials in courses. The faculty Regent bill is proceeding through the House as I write this; it always passes in the House, and I expect it to do so again in the next few weeks. I am happy to provide more information on any of these issues – as I noted, most of these will not still be alive about six weeks from now. As I have noted recently, no new budget information will be available until late March or early April, when the House and Senate proposals will appear.
2018-19 Nominees for Faculty Councils and Committees

**Faculty Council on Academic Standards**

Melissa Saiz-Matheny, Graduate & Professional Student Senate, as a member for a term beginning immediately and ending September 15, 2019.

**Faculty Council on Faculty Affairs**

Padmaja Vrudhula, Associated Students of the University of Washington, as a member for a term beginning immediately and ending September 15, 2019.

**Faculty Council on Multicultural Affairs**

Amy Gabriel, Graduate & Professional Student Senate, as a member for a term beginning immediately and ending September 15, 2019.

**Faculty Council on Research**

Mike Averkiou, College of Engineering, as a member for a term beginning immediately and ending September 15, 2021.

Jenny Muilenburg, Association of Librarians of the University of Washington, as a member for a term beginning immediately and ending September 15, 2019.

**Faculty Council on Tri-campus Policy**

Ferdinand Khalid, Graduate & Professional Student Senate, as a member for a term beginning immediately and ending September 15, 2019.

**Faculty Council on University Facilities and Services**

David Tomporowski, Graduate & Professional Student Senate, as a member for a term beginning immediately and ending September 15, 2019.

**Faculty Council on Women in Academia**

Arwa Mokdad, Associated Students of the University of Washington, as a member for a term beginning immediately and ending September 15, 2019.

**Confirm 2019-2020 Vice Chair Nominees**

Robin Angotti, Associate Professor, UW Bothell School of STEM.

Teresa Ward, Professor, School of Nursing
Class B legislation regarding Credit / No Credit

Class B Legislation
Student Governance and Policies
Scholastic Regulations
Chapter 110; Section 1 (The Grading System), A (System of Grades), 7 (Credit / No Credit)

Background and Rationale

The Faculty Council on Academic Standards recommends to amend Scholastic Regulation Chapter 110 (The Grading System) based on the following findings:

- There are current inconsistencies in University of Washington grading practices, with no university-wide standard grade cutoff for the Credit / No Credit designation.
- An emendation to Scholastic Regulations will put this grading designation in line with the University’s numerically-graded courses.

7) Credit / No Credit

a) With appropriate departmental review and approval, faculty may offer a course or courses on a CR/NC basis. The standard for granting credit in CR/NC courses shall be the demonstration of competence in the material of the course to the instructor’s satisfaction. Grading should be consistent with the university’s policy for numerically graded courses, in which students receive credit for grades of 0.7 or greater. Students demonstrating such competence shall have CR entered on the transcript; those who do not shall have NC entered on the transcript.

Submitted by:
Faculty Council on Academic Standards
Legislation proposing changes to the Faculty Code, Chapter 23, Campus, College, and School Faculties.

Introduction

The Faculty Council on Faculty Affairs voted on January 29, 2019, to forward proposed Class A legislation to the Senate Executive Committee and Faculty Senate for consideration. The motion was approved by a majority of voting members.

Rationale

In response to a request from the Senate Executive Committee, the Advisory Committee on Faculty Code and Regulations (ACFCR) conducted a review of the Faculty Code and the bylaws of the University's 27 campuses, colleges, and schools concerning the composition of elected faculty councils. Specifically, the request noted "... it is critical that the composition of Elected Faculty councils are elected faculty only" in conformance with the intent of Section 23-45 of the Code, which is that “the faculty of each campus, college, or school … shall determine its own organization...” [italics added]

In its resulting March 6, 2018 report, ACFCR found:
- The Faculty Code does not explicitly bar administrators from EFC membership;
- The Faculty Code does not explicitly require EFC members be elected by the voting faculty; and
- The bylaws of some units are inconsistent with Section 23-45 or its intent, allowing administrators to nominate or appoint EFC members or to be voting members themselves.

The following proposed changes to Section 23-45 aim to clarify that only voting faculty may be voting members of EFCs and that administrators should not have a formal role in nominating or electing EFC members.

Section 23-45  Campus, College, and School Faculties: Authority to Determine Organization and Procedure

A. Subject to the provisions of Section 23-46, the faculty of each campus, college, or school other than the Graduate School shall determine its own organization and rules of procedure except as stipulated in Subsections B and C of this section. The organization and rules of procedure of a department may be determined by the department faculty, but shall be subject to review by the appropriate campus, school, or college faculty.

B. The University of Washington Bothell and the University of Washington Tacoma shall each have an elected faculty council or councils that shall advise their respective chancellors on matters affecting the general welfare of their respective campuses, matters of faculty promotion and tenure, and on matters involving academic policy, including priorities, resource and salary allocation, and budgets. In accord with Subsection A, the faculty of each campus shall determine for itself the organization and structure of its council or councils and the procedure by which the members are elected, subject to the provisions of Subsection D below.

C. Each school or college shall have an elected faculty council or councils which shall advise the dean on matters of faculty promotion and tenure, and advise the dean on matters involving academic policy, including priorities, resource and salary allocation, and budgets. In accord with Subsection A, the faculty of each school or college shall determine for itself the organization and structure of its council or councils and the procedure by which the members are elected, subject to the provisions of Subsection D below.

D. Every elected faculty council of a campus, school, or college shall be subject to the following provisions.

1. Voting members of elected faculty councils are elected by the voting faculty of their respective units;

2. Chancellors, vice chancellors, deans, associate deans, and chairs may not appoint, propose, or elect members to elected faculty councils;
3. Chancellors, vice chancellors, deans, associate deans, and chairs may not be voting members of their respective elected faculty councils, but they may be non-voting ex-officio members.

4. The chair of each elected faculty council shall be a voting member of the council, and shall set the agendas and preside over meetings.

DE. The Advisory Committee on Faculty Code and Regulations shall review each campus’s, college’s, or school’s procedure to assure that the councils are established in conformity with the provisions of this section.

EF. The Graduate School shall determine its own organization and rules of procedure. It may directly control its affairs or may delegate to a council, executive committee, or other committees any of its powers, provided that such council or committees shall be representative of the various fields of graduate study.

Submitted by:
Faculty Council on Faculty Affairs
SEC Participation of Non-voting Faculty in Promotion and Tenure Discussions Interpretation.

12/14/18

From: Advisory Committee on the Faculty Code and Regulations (ACFCR)
    Rich Christie, Chair

To: Senate Executive Committee

Subj: Advice on Interpretation of Faculty Code Section 24-54B on Participation of Non-voting Faculty in P&T Discussions

The ACFCR (the Code Cops) have been asked to advise the Senate Executive Committee (SEC) on an interpretation of Faculty Code Section 24-54B, concerning whether faculty who are ineligible to vote may participate (attend, make statements, ask and answer questions) in a faculty meeting where a candidate for promotion and/or tenure is being discussed, prior to the faculty vote on that candidate.

In the case at hand, the faculty member is senior to the candidate, and is on sabbatical, but would be otherwise eligible to vote on the case.

FC 24-54B states, in relevant part,

“The eligible voting faculty (as described above) of the candidate’s department (or college/school if undepartmentalized) shall then meet to discuss the candidate’s record. A vote on the promotion question shall occur following the discussion.”

The eligible voting faculty are defined in FC 24-54A as

“The voting members of the appropriate department (or undepartmentalized college or school) who are superior in academic rank to the person under consideration shall decide whether to recommend promotion within the professorial ranks.”

The question is whether FC 24-54B *excludes* faculty ineligible to vote on the case from the faculty discussion.

Although in general faculty ineligible to vote on a case should not participate in the faculty meeting discussion of the case, sometimes faculty on sabbatical or leave have helped put a tenure case together before going on leave, or they may have special knowledge of the candidate’s subdiscipline. This is particularly important in the case of faculty of color who are underrepresented at the university and who have special knowledge. It seems uncollegial to forbid faculty on leave to speak.

At the same time, the Faculty Code concerning the promotion and tenure process is narrowly written, and, in general, that which is not explicitly permitted is not permitted. Thus faculty ineligible to vote may not participate in the FC 24-54B *discussion and vote*. They can, however, communicate with the faculty about the case *prior* to the FC 24-54B discussion and vote.

The recommended interpretation, also narrowly written, is therefore:

There is nothing in the faculty code that precludes a faculty member on sabbatical or leave who would otherwise be eligible to vote on a promotion and tenure case from speaking with the faculty who are eligible to vote about the case prior to that part of the faculty meeting at which the FC 24-54B discussion and vote occurs. In such cases the start of the FC 24-54B discussion and vote must be clearly delineated at the faculty meeting.
Agenda
Faculty Senate Meeting
Thursday, February 28, 2019, 2:30 p.m.
Johnson Hall 102

1. Call to Order and Approval of Agenda.

2. Faculty Senate Chair’s Remarks – Professor George Sandison.

   a. Report of the Secretary of the Faculty.
   b. Report of the Chair of the Senate on Planning and Budgeting.
   c. Report of the Faculty Legislative Representative.

4. President’s Remarks– Ana Mari Cauce.

5. Requests for Information.
   a. Approval of the January 7 and 14, 2019 Senate Executive Committee minutes.
   b. Approval of January 24, 2019, Faculty Senate minutes.
   c. SEC interpretation of participation of non-voting faculty in promotion and tenure discussions.
   d. Faculty Diversity and Faculty Governance Report.
   e. Discussion of Potential Faculty Regent Process.

6. Memorial Resolution.

7. Consent Agenda.
   a. Approve Nominees for Faculty Councils and Committees.

8. Announcements.


    a. Nomination of Candidates for 2019-2020 Faculty Senate Vice Chair.
       Robin Angotti, Associate Professor, UW Bothell School of STEM; Teresa Ward, Professor, School of Nursing.
    b. 2019-2020 Faculty Senate Vice Chair Candidate’s Presentations.
    c. Class B Legislation – Credit / No Credit.
       **Action:** Approve for distribution to the faculty.
    d. Class A Legislation proposed changes to elected faculty councils – first consideration.
       **Action:** Initial review of proposed revisions to the Faculty Code.

11. Discussion Items.

12. Good of the Order.


Prepared by: Mike Townsend
Secretary of the Faculty

Approved by: George Sandison, Chair
Faculty Senate
NOTE: If a continuation meeting is necessary to conduct unfinished or special business, it will be held on Thursday, March 7, 2019, at 2:30 p.m. in Johnson Hall 102
Discussion of Potential Faculty Regent Process.

Provided by JoAnn Taricani, Faculty Legislative Representative       February 5, 2019

When we first submitted this legislation (currently, HB 1079) in 2016 for consideration by the Legislature, the UW Faculty Senate leadership (then-current and future chairs Kate O’Neill, Norm Beauchamp, and Zoe Barsness), myself, and our equivalents at WSU, discussed what elements should be included in state statute, and what elements should be left to the decision of the UW and WSU Faculty Senates. We are all bound by the future law/statute, but each university can adopt its own internal policies for selection of a faculty Regent.

As we get closer to this legislation being enacted by the Legislature, this would be a timely moment to have a discussion in the UW Senate Executive Committee about the elements it would need to decide in Spring, if the legislation passes. The Governor would need a short list of potential UW faculty Regents, likely in June; then, over the summer, he would select a faculty Regent from the list provided to him, and send the name to the state Senate for confirmation.

A faculty Regent is a gubernatorial appointment, so not part of the Faculty Code, but embedded in state statute, where the appointment and any potential recall of a Regent is stipulated in state law. However, the UW Faculty Senate would be charged with identifying a short list of two to five nominees for the Governor’s consideration. Please note that a faculty Regent does not represent faculty on the Board of Regents, but represents the people of the State of Washington, providing a faculty perspective. The same is true of the student Regents.

Here are the elements that would be required as part of state law, if the legislation is enacted:

- Term of office: three years, with term starting October 1
- Eligibility: full-time or emeritus faculty (for the three-year period)
- List of two to five potential Regents must be provided to the Governor; the list is provided by the Faculty Senate; the selected faculty Regent must also be confirmed by the state Senate in the next legislative session.
- Language from the legislation: “A faculty member appointed under this section shall excuse himself or herself from voting on matters related to the hiring, discipline, or tenure of specific faculty members.” (same language for student Regents)

Here are the elements that the Senate Executive Committee, and possibly the full Faculty Senate, should decide (based on the discussions held by Faculty Senate leadership in 2016):

- Who is specifically eligible? In the 2016 discussion, the view was that if a faculty member has an administrative appointment such as a Dean or Vice Provost (for example), with the primary responsibilities being administrative, those faculty members would not be eligible to serve as a faculty Regent. The 2016 discussion considered department chairs to be still primarily working within a faculty role, so chairs would be eligible, because department chairs have served as Faculty Senate Chair.
- Who should appoint a selection committee and what body should approve the short list? In the 2016 discussion, the view was that the current Faculty Senate Chair should appoint a Faculty Regent selection committee, composed of faculty, to solicit nominations and materials (C.V., cover letter, and answers to specific questions*) from faculty interested in being considered, and should conduct interviews with faculty selected from the nomination list. A timeline and deadline for nominations should also be created by this group. (*A useful tool would be to ask nominees and self-nominees to answer specific questions about the faculty member’s views on the role of a faculty Regent.)
• What would be the ideal criteria for a faculty Regent? It would be useful to provide some broad indications of what attributes would be beneficial for this role.
• How should the nomination process be communicated? All faculty should be made aware of the nomination period and process, via the modes of communication that will reach the most faculty.
• What would cause a faculty Regent to be asked to resign before the end of the term? From the 2016 discussion: shift to part-time status; departure from the University; retirement without emeritus status; new appointment as a Dean, Vice Provost, or higher administration official. Removal from a faculty position for disciplinary reasons would also be cause for resignation of a faculty Regent. If a faculty Regent refuses to resign, there is a recall process in state statute available to end a Regent’s appointment. The faculty and Faculty Senate cannot remove a faculty Regent, but can request a recall.
• Other points for consideration?