

University of Washington
Faculty Council on University Libraries
November 14th, 2018
2:30 p.m. – 4:00 p.m.
Suzzallo 5th Floor Conference Room East

Meeting Synopsis

- 1) Call to order
 - 2) Review of the minutes from May 8th, 2018
 - 3) Review of FCUL charge letter 2018-19
 - 4) Implementation of the Open Access Policy – Gordon Aamot
 - 5) Update on Faculty Status for Librarians – Jackie Belanger
 - 6) Good of the order
 - 7) Adjourn
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1) Call to order

The meeting was called to order at 2:30 p.m.

2) Review of the minutes from May 9th, 2018

The minutes from May 9, 2018 were approved as written.

3) Review of FCUL charge letter 2018-19

The council reviewed the FCUL charge letter (Exhibit 1).

4) Implementation of the Open Access Policy – Gordon Aamot

Gordon Aamot provided an overview of the new Open Access Policy (which was approved by the faculty in June 2018). The policy asks faculty to deposit articles on the University repository or another open access/non-profit repository. A team began meeting in September to establish an implementation plan, and they are establishing a timeline. The team will utilize the FCUL and the Faculty Council on Research for feedback on the plan. Aamot also mentioned that the goal is to be as streamlined as possible and referenced the OA policy implementation guiding principles draft (Exhibit 2).

A member asked about non-exclusive licenses as they may precede the copyright transfer. Aamot responded that the OA policy should override a publishing agreement.

Council members asked what metrics the team is using to determine success. Aamot responded that the implementation team has just begun to talk about metrics (downloads, views, etc.). Ideally, metrics would include both repository based (how many documents deposited) and some behavior based (views, downloads, geographical access, etc.).

A member asked about the funding and/or necessary resources for implementation. Aamot responded that the estimate includes some staff and software cost. The implementation team

has looked into a software called Symplectic which is what the University of California system has used. There may be more cost-effective methods.

The implementation team has not yet discussed the outreach plan for faculty.

5) Update on Faculty Status for Librarians – Jackie Belanger

Jackie Belanger updated the council on the University Librarians' pursuit of Faculty status (Exhibit 3). The Librarians voted to discontinue their pursuit. Generally, librarians felt that their code, as it stands, represents their values.

A member also shared that the librarians felt that if they fell under the faculty code they would lose some benefits that they have now (an additional level of promotion and sick leave).

Belanger noted that there may be opportunities in the future, and they are considering other ways to align with faculty policy.

6) Good of the order

Nothing was stated.

7) Adjourn

The meeting was adjourned at 3:22 p.m.

Minutes by Lauren Hatchett, lehatch@uw.edu, council analyst

Present: **Faculty:** Douglas MacLachlan, Julie Nicoletta
Ex-officio reps: Jackie Belanger, Angelia Miranda
Guests: Gordon Aamot
President's designee: Betsy Wilson

Absent: **Faculty:** Trent Hill, Richard Furman, Michael Kucher
Ex-officio reps: Kate O'Neill

Exhibits

Exhibit 1 – fcul_chargeletter_2018-19_draft.docx
Exhibit 2 – Guiding Principles as of 2018.11.05.pdf
Exhibit 3 – aluw-faculty-status-2018-10-3.pdf

September 16, 2018

Trent Hill, Chair
Faculty Council on University Libraries

Dear Professor Hill:

The Faculty Council on University Libraries is charged with responsibility “for all matters of policy relating to libraries such as, but not limited to, collection development; services to students, faculty, and others; the system of libraries, including branch libraries; space needs; and budgetary requirements” (Faculty Code, Sec 42-4). Activities historically performed include receiving regular updates on library news and logistics from appropriate administrators, with members reporting substantive updates back to constituents, as well as providing faculty and student feedback to the Vice Provost for Digital Initiatives and Dean of Universities Libraries on specific topics of interest, offering guidance and prioritization of concerns where warranted.

Our recommendation is that the council identify specific goals that can be accomplished by the end of the 2018-19 academic year.

The Senate office did a background review to help identify goals for your council. This included review of minutes from last year’s meetings, review of discussions at Faculty Senate meetings, and selected outreach for topics. Recommended goals and / or topics for discussion include:

- Monitor implementation of new UW Open Access Policy.
- Evaluate state of ALUW “Faculty Status” proposal.

After your first council meeting we will be available to discuss the goals your council identified. Thereafter, we will post your council’s goals on the Faculty Senate website to communicate the important work you are doing on their behalf.

Sincerely,

George Sandison
Chair, Faculty Senate

/jmb

OA Policy Implementation Guiding Principles - DRAFT
Rev 11/5/18

[Mission of the University of Washington](#)

The primary mission of the University of Washington is the preservation, advancement, and dissemination of knowledge. The University preserves knowledge through its libraries and collections, its courses, and the scholarship of its faculty. It advances new knowledge through many forms of research, inquiry and discussion; and disseminates it through the classroom and the laboratory, scholarly exchanges, creative practice, international education, and public service.

In response to a worldwide movement to increase open access to published knowledge and to protect the rights of authors, the University of Washington Faculty have joined hundreds of other universities worldwide in establishing an Open Access Policy. Faculty authors may participate by:

- Depositing a copy of their accepted manuscript in one of UW's institutional repositories
- Depositing a copy of their accepted manuscript in a nonprofit disciplinary repository (for example, PubMed Central), or
- Publishing their article in an open access journal.

The University Libraries is an advocate for open, public and emerging forms of scholarship, and strives to lead the development of institutional open access publishing, resources and technologies. The Libraries is a partner with UW faculty in both furthering open access and implementing the faculty Open Access Policy. As part of this partnership role, the Libraries has been charged to support implementation of the faculty Open Access Policy.

The following guiding principles are intended to provide a framework for implementing the policy:

- 1 - An overarching principle in implementing the policy will be to minimize the burden on faculty members' time.
- 2 - Processes and policies will be clear, simple, and well-documented.
- 3 - Faculty perspectives directly inform the implementation of the policy.
- 4 - Faculty authors are in control of all decisions regarding the questions of where and how to publish.
- 5 - The passage of the open access policy is an important step toward developing a culture of open access practice and advocacy across the University.

6 - In our outreach and education efforts and daily practice, the UW Libraries will emphasize the transformative aspects of open access, such as social justice, advancing research for the public good, expanding UW's global reach by lowering subscription paywalls, and accelerating the pace of new scholarship.

7 - The University of Washington is committed to accessibility for all students, faculty, and staff, regardless of disability/ability status. In all of the Libraries' work, we aim to make electronic documents, videos, web sites, user interfaces, and search engines accessible to all.

8 - The implementation of the Open Access Policy will be an iterative process that will be fine-tuned and improved over time as everyone learns from experience.

9 - In order to provide the ongoing support needed for faculty authors and to enhance the institutional repository, additional Libraries resources will be required for implementation of the Open Access Policy. The Libraries will identify and seek an appropriate level of support from the University.



October 3, 2018

To: Faculty Council on Faculty Affairs
University of Washington Faculty Senate

From: Jason Sokoloff
President, Association of Librarians of the University of Washington
Head, Foster Business Library

On behalf of the members of the Association of Librarians of the University of Washington (ALUW), I am writing to inform you that the UW librarians have elected to discontinue our current pursuit of faculty status. Our most recent inquiry into faculty-status opportunities has involved more than three years of investigation and discussion between ALUW and the Faculty Council for Faculty Affairs, culminating in a significant amount of deliberation this summer. After weighing the potential benefits and challenges of changing librarian status, ALUW members elected to withdraw from the endeavor.

ALUW appreciates the willingness of the Faculty Council on Faculty Affairs to have considered our proposal. The discussions between FCFA members and ALUW representatives were instrumental in helping us to articulate our role and our priorities. In the end, I think the decision came down to a majority of us acknowledging that the Librarian Personnel Code, by which we currently abide, remains a suitable framework for defining our appointment, promotion, and professional lives. Of course, we remain committed to sustaining our involvement in faculty governance as ex officio faculty council members.

It is difficult to read the Faculty Senate mission-statement definition as a “community of scholars contributing...to the transmission and advancement of knowledge,” and not see ourselves. Certainly, librarians are active partners in the academic enterprise, but our decision today acknowledges the uniqueness of librarian appointments as not entirely compatible with the current UW faculty structure.

Thank you for your consideration and receptiveness.

JS

cc: Betsy Wilson
Laura Lillard
Judith Henchy
Emilie Vrbancic