Agenda
Senate Executive Committee Meeting
Monday, May 6, 2019, 2:30 p.m.
142 Gerberding Hall

1. Call to Order and Approval of Agenda.

2. Acting Senate Chair’s Remarks – Joseph Janes. [Exhibit A]

   a. Report of the Secretary of the Faculty. [Exhibit B]
   b. Report of the Chair of the Senate Committee on Planning and Budgeting. [Exhibit C]
   c. Report of the Faculty Legislative Representative. [Exhibit D]

4. President’s Remarks – Ana Mari Cauce.

5. Consent Agenda.
   a. Approve the April 1, 2019, SEC minutes.
   b. Approve the April 18, 2019, Faculty Senate minutes.
   c. Approve nominees for Faculty Councils and Committees. [Exhibit E]
   d. Approve nominations for 2019-2020 Senate Executive Committee positions. [Exhibit F]
   e. Approve 2019-20 Schedule of Senate Executive Committee and Faculty Senate Meetings. [Exhibit G]

6. Announcements.

7. Unfinished Business.

   a. Class A Legislation – Proposed changes to elected faculty councils – second consideration. [Exhibit H]
      Faculty Council on Faculty Affairs.
      Action: Final review of proposed revisions to the Faculty Code.
   b. Class C Resolution – Support for UW Libraries’ bargaining priorities in their negotiations with Elsevier and other
      scholarly journal subscriptions. [Exhibit I]
      Faculty Council on University Libraries
      Action: Approve for Faculty Senate consideration.
   c. Approval of the May 16, 2019, Faculty Senate Agenda. [Exhibit J]
      Action: Approve for distribution to Faculty Senators.

9. Discussion Items.
   a. Task force on the faculty disciplinary code and process update. [Exhibit K]
      Zoe Barsness, Associate Professor and co-chair of the task force on disciplinary code and process.
   b. Affordable housing for faculty. [Exhibit L]
      Theo Myhre, Senior Lecturer in the School of Law.
      Gundula Proksch, Associate Professor in the College of Built Environments.
      Gowri Shankar, Associate Professor in the UWB School of Business.

10. Adjournment.

Prepared by: Mike Townsend
    Secretary of the Faculty

Approved by: Joseph Janes, Acting Chair
             Faculty Senate

NOTE: If a continuation meeting is necessary to conduct unfinished or special business, it will be held on Monday, May 13, at 2:30 p.m. in Gerberding 142.
Report of the Acting Faculty Senate Chair
Joseph Janes, Associate Professor, Information School

We have two important pieces of business before us today:

- the second consideration of the Class A legislation on the composition of elected faculty councils, which could substantially strengthen the processes and effectiveness of shared governance at the school and college level
- and a Class C resolution from the Faculty Council on University Libraries - maintaining access to the scholarly and research literature, in a responsible, cost-effective, sustainable way, is crucial to our work, and faculty support for our colleagues in the University Libraries as they undertake negotiations with Elsevier, among others, is an important sign of our commitment.

In addition, we'll have discussion items on two longer-term matters: the ongoing work to reform our dispute resolution and disciplinary processes, and identifying options for more affordable housing for faculty, and I think we all understand the importance of each of these going forward.

We appreciate, as always, all the efforts of the members of our faculty councils, committees, and working groups for their hard work over the course of the year. And as this is our last meeting of the year, on behalf of Senate leadership and the entire university faculty, I want to thank all of you for your time and willingness to serve on SEC - and wish you all the best for an enjoyable and rejuvenating summer!
Report of the Secretary of the Faculty
Mike Townsend, Associate Professor, School of Law

1. Committee on Committees: The Committee on Committees has met, and, pursuant to their discussion, the Office of University Committees is filling out a roster of new Faculty Council members for approval.

2. Deputy Faculty Legislative Representative: Dan Ratner, Associate Professor, Bioengineering, Seattle, has been elected DFLR for 2019-2020.
Report of the Chair of the Senate Committee on Planning and Budgeting
Thaïsa Way, Professor, College of Built Environments

The Senate Committee on Planning and Budget meets weekly with the Provost, the Vice-Provost for Planning and Budget, and the head of the Board of Deans. SCPB is charged with consulting on all matters relating to the University budget and on a wide range of program and policy decisions.

This report will be sent under separate cover.
Report of the Faculty Legislative Representative  
JoAnn Taricani, Associate Professor, Music History

I am writing this on Monday, April 29, within hours of the Legislature adjourning the 2019 session *on time* for the first time in ten years, the result of a dense and tense weekend of hearings and voting that included full House and Senate floor sessions that went past 4:00 AM each night this weekend. While there are still many details about the budget that need to be analyzed over the next few weeks, here is some background on legislation and issues that have attracted headlines in the final days of the session.

One surprise in the final hours of the session was that the House and Senate both took votes on I-1000, the initiative to the Legislature that would/will significantly alter the 1998 I-200 that prohibits the use of factors such as race in a variety of public arenas, including college admissions at public universities. The hearing on this included many faces of the past that had worked on I-200, both pro and con. What was surprising to me was the organizational effort against I-1000 that was new, from families and potential future students who felt that I-1000 might limit their access to public higher education. It is worth knowing about this opposition because of the next steps. Because the House and Senate have voted to enact I-1000 as session law, it becomes law once the Secretary of State has signed it – it does not need the Governor’s signature (he was/is a supporter of I-1000). But any law can be subjected to a referendum, which must be requested within 90 days of the legislation being enacted. A referendum places the new law on the general ballot for a vote of the general population. Within twelve hours of I-1000 passing, a request for a referendum was filed with the Secretary of State; that will likely place this issue on the ballot for the November general election.

The Education Workforce Investment fund (HB 2158) will be funded largely via new business and occupation (B&O) taxes. While some large entities such as Microsoft were strong supporters of this legislation, smaller business owners and organizations expressed concern about the additional tax on their services. The final hearing on this was held the day before the session ended; President Cauce came to Olympia on Saturday morning to indicate her strong support for this investment in higher education. The intention of this fund is to provide stable, dedicated funding for the State Need Grant (SNG), now renamed as the Washington College Grant (WCG). The hope is that the WCG will be fully funded by the 2020-21 academic year; this will relieve some pressure on the UW, which has been providing the Husky Promise funding to student eligible for the SNG but did not receive it because of lack of funding. This investment fund also will provide funding for new enrollments in Engineering at the Seattle campus, and new STEM funding for the Bothell and Tacoma campuses. It also allocated some foundational funding to the UW; how the UW will budget the funding is not yet determined.

The operating budget (ESHB 1109) has many details that need to be analyzed. As I have noted over the past two years, the language of the legislation is not always funded in the budget itself, with the UW being expected to fund certain expenses, such as a portion of salary increases, from tuition rather than from new state appropriations. Because of this, it is not possible at this point to say with any certainty what the salary increases would be for faculty and professional staff, but we may have a more clear picture by the time of the meeting of the Senate Executive Committee. The foundational support for the UW appears to be more favorable in the final budget than in earlier versions of the budget. Our UW Office of Planning and Budgeting (OPB) will post a detailed analysis later this week, which I will provide to the Senate Executive Committee and full Faculty Senate. Now, the planning for the next biennium continues through the work of our OPB and Senate Committee on Planning and Budgeting.
2019-20 Nominees for Faculty Councils and Committees

**Faculty Council on Academic Standards**

Scott Spaulding, Senior Lecturer, College of Education, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Marjorie Olmstead, Professor, College of Arts and Sciences, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Joel Ross, Senior Lecturer, Information School, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

**Faculty Council on Benefits and Retirement**

Monika Sobolewska, Senior Lecturer, UW Tacoma School of Engineering and Technology, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Peter Johnson, Professor, School of Public Health, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

William Yuh, Professor, School of Medicine, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Mary O’Neil, Associate Professor, College of Arts and Sciences, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

**Faculty Council on Faculty Affairs**

Jack Lee, Professor, College of Arts and Sciences, as Chair for a term beginning September 16, 2019, and ending September 15, 2020.

Teresa Ward, Professor, School of Nursing, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Megan Callow, Lecturer, College of Arts and Sciences, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Jacob Vigdor, Professor, Evans School of Public Policy and Governance, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

**Faculty Council on Multicultural Affairs**

Michael Spencer, Professor, School of Social Work, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

**Faculty Council on Research**

Charles Frevert, Professor, School of Medicine, as Chair for a term beginning September 16, 2019, and ending September 15, 2020.

Gillian Marshall, Assistant Professor, UW Tacoma School of Social Work, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.
Nicole Gibran, Professor without Tenure, School of Medicine, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

**Faculty Council on Student Affairs**

Chris Laws, Principal Lecturer, College of Arts and Sciences, as Chair for a term beginning September 16, 2019, and ending September 15, 2020.

Kivanc Dincer, Lecturer, UW Tacoma School of Engineering and Technology, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Clark Musselman, Lecturer, UW Bothell School of STEM, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Ann Culligan, Lecturer, College of Arts and Sciences, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

**Faculty Council on Teaching and Learning**

Thomas Halverson, Senior Lecturer, College of Education, as Chair for a term beginning September 16, 2019, and ending September 15, 2020.

Kimberlee Gillis-Bridges, Principal Lecturer, College of Arts and Sciences, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Lynn Dietrich, Senior Lecturer, College of Education, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

**Faculty Council on University Facilities and Services**

Ann Marie Borys, Associate Professor, College of Built Environments, as Chair for a term beginning September 16, 2019, and ending September 15, 2020.

Bruce Balick, Professor Emeritus, College of Arts and Sciences, as a voting member for a term beginning September 16, 2019, and ending September 15, 2020.

**Faculty Council on University Libraries**

Trent Hill, Senior Lecturer, Information School, as Chair for a term beginning September 16, 2019, and ending September 15, 2020.

Khalfani Mwamba, Lecturer, School of Social Work, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Randolph Otto, Associate Professor, School of Medicine, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Helene Williams, Senior Lecturer, Information School, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Juliet Shields, Professor, College of Arts and Sciences, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.
Faculty Council on Women in Academia

Ankur Suri, Senior Lecturer, UW Tacoma, School of Engineering and Technology, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Samantha Robinson, Lecturer, College of Arts and Sciences, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Elizabeth Umphress, Associate Professor, Foster School of Business, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Senate Committee on Planning and Budgeting

Daniel Grossman, Professor, College of Engineering, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.

Mary Hebert, Professor, School of Pharmacy, as a voting member for a term beginning September 16, 2019, and ending September 15, 2022.
Nominations for 2019-20 Senate Executive Committee Positions

Open Seat Nominations

<table>
<thead>
<tr>
<th>Positions</th>
<th>Nominees</th>
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<tbody>
<tr>
<td>Medicine – 2 positions</td>
<td>Gautham Reddy, Radiology</td>
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<td></td>
<td>Phillip Taddei, Radiation Oncology</td>
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<tr>
<td>Arts and Sciences – 2 positions</td>
<td>Justin Jesty, Asian Languages and Literature</td>
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<td>Julie Brines, Sociology</td>
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<tr>
<td>Engineering – 1 position</td>
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<tr>
<td>Other health science colleges (^1) – 1 position</td>
<td>Douglas Ramsay, Oral Health Sciences</td>
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<tr>
<td>Environment and Built Environments – 1 position</td>
<td>Jeff Hou, Landscape Architecture</td>
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<tr>
<td>Professional Schools(^2) – 1 position</td>
<td>Shailendra Jain, Marketing and International Business</td>
</tr>
</tbody>
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\(^1\) Public Health, Dentistry, Pharmacy, Nursing, Social Work
\(^2\) Business, Education, Evans, Information, Law, ROTC
2019-2020
Schedule of Senate and Executive Committee Meetings

### Autumn Quarter 2019

<table>
<thead>
<tr>
<th>Event</th>
<th>Date</th>
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<tbody>
<tr>
<td>Executive Committee Agenda Deadline</td>
<td>September 18</td>
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<tr>
<td>Executive Committee Meeting</td>
<td>September 30</td>
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<tr>
<td>Faculty Senate Meeting</td>
<td>October 17</td>
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<tr>
<td>Executive Committee Agenda Deadline</td>
<td>November 6</td>
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<tr>
<td>Executive Committee Meeting</td>
<td>November 18</td>
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<tr>
<td>Faculty Senate Meeting</td>
<td>December 5</td>
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### Winter Quarter 2020

<table>
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<tr>
<th>Event</th>
<th>Date</th>
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<tbody>
<tr>
<td>Executive Committee Agenda Deadline</td>
<td>December 27</td>
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<tr>
<td>Executive Committee Meeting</td>
<td>January 6</td>
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<tr>
<td>Faculty Senate Meeting</td>
<td>January 23</td>
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<tr>
<td>Executive Committee Agenda Deadline</td>
<td>January 29</td>
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<tr>
<td>Executive Committee Meeting</td>
<td>February 10</td>
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<tr>
<td>Faculty Senate Meeting</td>
<td>February 27</td>
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### Spring Quarter 2020

<table>
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<tr>
<th>Event</th>
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<tr>
<td>Executive Committee Agenda Deadline</td>
<td>March 18</td>
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<tr>
<td>Executive Committee Meeting</td>
<td>March 30</td>
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<tr>
<td>Faculty Senate Meeting</td>
<td>April 16</td>
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<tr>
<td>Executive Committee Agenda Deadline</td>
<td>April 22</td>
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<tr>
<td>Executive Committee Meeting</td>
<td>May 4</td>
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<tr>
<td>Faculty Senate Meeting</td>
<td>May 14</td>
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Senate meetings will be held at 2:30 p.m. in Johnson Hall 102.

Executive Committee meetings will be held at 2:30 p.m. in 142 Gerberding Hall.

Special Meetings will occur if necessary to conduct unfinished business or special business of the SEC or Senate.
Legislation proposing changes to Faculty Code, Chapter 23 Colleges, Schools, and Departments

Introduction

The Faculty Council on Faculty Affairs voted on January 29, 2019, to forward proposed Class A legislation to the Senate Executive Committee and Faculty Senate for consideration. The motion was approved by a majority of voting members.

Rationale

In response to a request from the Senate Executive Committee, the Advisory Committee on Faculty Code and Regulations (ACFCR) conducted a review of the Faculty Code and the bylaws of the University’s 27 campuses, colleges, and schools concerning the composition of elected faculty councils. Specifically, the request noted “… it is critical that the composition of Elected Faculty councils are elected faculty only” in conformance with the intent of Section 23-45 of the Code, which is that “the faculty of each campus, college, or school … shall determine its own organization…” [italics added]

In its resulting March 6, 2018 report, ACFCR found:

- The Faculty Code does not explicitly bar administrators from EFC membership;
- The Faculty Code does not explicitly require EFC members be elected by the voting faculty; and
- The bylaws of some units are inconsistent with Section 23-45 or its intent, allowing administrators to nominate or appoint EFC members or to be voting members themselves.

The following proposed changes to Section 23-45 aim to clarify that only voting faculty may be voting members of EFCs and that administrators should not have a formal role in nominating or electing EFC members.

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Section 23-45  Campus, College, and School Faculties: Authority to Determine Organization and Procedure

A. Subject to the provisions of Section 23-46, the faculty of each campus, college, or school other than the Graduate School shall determine its own organization and rules of procedure except as stipulated in Subsections B and C of this section. The organization and rules of procedure of a department may be determined by the department faculty, but shall be subject to review by the appropriate campus, school, or college faculty.

B. The University of Washington Bothell and the University of Washington Tacoma shall each have an elected faculty council or councils that shall advise their respective chancellors on matters affecting the general welfare of their respective campuses, matters of faculty promotion and tenure, and on matters involving academic policy, including priorities, resource and salary allocation, and budgets. In accord with Subsection A, the faculty of each campus shall determine for itself the organization and structure of its council or councils and the procedure by which the members are elected, subject to the provisions of Subsection D below.

C. Each school or college shall have an elected faculty council or councils which shall advise the dean on matters of faculty promotion and tenure, and advise the dean on matters involving academic policy, including priorities, resource and salary allocation, and budgets. In accord with Subsection A, the faculty of each school or college shall determine for itself the organization and structure of its council or councils and the procedure by which the members are elected, subject to the provisions of Subsection D below.

D. Every elected faculty council of a campus, school, or college shall be subject to the following provisions.

1. Voting members of elected faculty councils must be voting members of the faculty, elected by the voting faculty of their respective units;
2. Chancellors, vice chancellors, deans, associate deans, and directors or chairs of departments may not appoint or propose members to elected faculty councils;
3. Chancellors, vice chancellors, deans, associate deans, and directors or chairs of departments may not be voting members of their respective elected faculty councils, but they may be non-voting ex-officio members.

4. The chair of each elected faculty council must be a voting member of the council, and shall set the agendas and preside over meetings.

5. Notwithstanding the provisions of 1 through 4 above, anyone who is a member of an elected faculty council on June 15, 2019 with a fixed term of office may serve to the end of that term.

DE. The Advisory Committee on Faculty Code and Regulations shall review each campus's, college's, or school's procedure to assure that the councils are established in conformity with the provisions of this section.

EF. The Graduate School shall determine its own organization and rules of procedure. It may directly control its affairs or may delegate to a council, executive committee, or other committees any of its powers, provided that such council or committees shall be representative of the various fields of graduate study.

Approved by:
Senate Executive Committee
April 1, 2019

Approved by:
Faculty Senate
April 18, 2019
Class C Resolution: Support for UW Libraries’ Bargaining Priorities in Their Negotiations with Elsevier and Other “Big Deal” Scholarly Journal Subscriptions

WHEREAS UW Libraries’ (“Libraries”) current subscription arrangement with Elsevier is scheduled to end on December 31, 2019; and

WHEREAS subscription costs and increases, both current and projected, imperil the funding available for the Libraries’ other collection-development and service needs; and

WHEREAS Elsevier charges Article Processing Charges to authors for open-access articles on top of the subscription charges paid by the Libraries; and

WHEREAS Elsevier’s “Big Deal” inhibits the Libraries’ freedom to adjust their subscriptions and spend to address budget needs and changes in scholarship; and

WHEREAS Elsevier is leveraging its profits (37 percent in 2018) to acquire and control key tools to enable open access scholarship and resource sharing; and

WHEREAS Elsevier uses non-disclosure agreements (NDAs) to obscure its contractual terms with universities and hinder free, transparent negotiations; and

WHEREAS Elsevier imposes limitations that hinder authors’ abilities to share their articles openly with other researchers and with the public at large, contrary to our goals as a public institution; and

WHEREAS peer institutions (including UC, UVA, UNC, FSU, and the national university systems of Germany, Norway, and Sweden) have cancelled their “Big Deal” packages with Elsevier in response to Elsevier’s business and negotiating practices; therefore

BE IT RESOLVED that the UW Faculty Senate endorses the Libraries’ negotiation and licensing priorities as follows:

1. Bringing down subscription costs and increases to a sustainable level that will not imperil other collection and service needs;

2. Ending NDAs to allow the Libraries to disclose their contractual terms and permit greater market transparency;

3. Allowing interlibrary loan to facilitate resource sharing;

4. Protecting the rights of users to share articles with students and colleagues;

5. Ensuring the privacy and data security of all users;

6. Protecting the ability of students and researchers to continue to access journals and articles;

7. Supporting the University’s Open Access policies by allowing re-use and embargo-free deposit rights and protecting researchers’ copyright in their own research;

8. Enabling greater market flexibility and responsiveness by negotiating contracts on a 3-year basis;

9. Providing equitable service and access to information for all our library users.

Submitted by:
Faculty Council on University Libraries
Agenda
Faculty Senate Meeting
Thursday, May 16, 2019, 2:30 p.m.
Johnson Hall 102

1. Call to Order and Approval of Agenda.

2. Acting Faculty Senate Chair’s Remarks – Associate Professor Joseph Janes.

   a. Report of the Secretary of the Faculty.
   b. Report of the Chair of the Senate on Planning and Budgeting.
   c. Report of the Faculty Legislative Representative.

4. President’s Remarks – Ana Mari Cauce.

5. Requests for Information.
   Summary of Executive Committee Actions and Upcoming Issues of April 1, 2019.
   a. Approval of the April 1, 2019, Senate Executive Committee minutes.
   b. Approval of the April 18, 2019, Faculty Senate minutes.
   c. Task force on the disciplinary code and process update.
   d. Affordable housing for faculty.

6. Memorial Resolution.

7. Consent Agenda.
   a. Approve nominees for Faculty Councils and Committees.
   b. Approve nominations for 2019-2020 Senate Executive Committee positions.
   c. Approve 2019-2020 Schedule of Senate Executive Committee and Faculty Senate meetings.

8. Announcements.

   a. Class C Resolution regarding support for the establishment of a UW sustainability initiative and campus plan by 2020.
      Action: Approve for distribution to the faculty.

    a. Class A Legislation – Proposed changes to elected faculty councils – second consideration.
       Action: Approve for faculty vote.
    b. Class C Resolution – Support for UW Libraries’ bargaining priorities in their negotiations with Elsevier and other scholarly journal subscriptions.
       Action: Approve for distribution to the faculty.

11. Discussion Items.
    a. Task force on the disciplinary code and process update.
       Zoe Barsness, Associate Professor and co-chair of the task force on disciplinary code and process.

12. Good of the Order.


Prepared by: Mike Townsend
Approved by: Joseph Janes, Acting Chair
             Secretaty of the Faculty
             Faculty Senate

NOTE: If a continuation meeting is necessary to conduct unfinished or special business, it will be held on Thursday, May 23, 2019, at 2:30 p.m. in Johnson Hall 102
Task force on faculty disciplinary code and process update.

This exhibit will be sent under separate cover.
Affordable housing for faculty.

This exhibit will be sent under separate cover.