Meeting Synopsis

1) Call to order
2) Review of the minutes from October 9, 2019
3) Chair introduction
4) Elsevier negotiations update – Denise Pan
5) Good of the order
6) Adjourn

1) Call to order

The meeting was called to order at 2:31 p.m.

2) Review of the minutes from October 9, 2019

The minutes from October 9, 2019 were approved as written.

3) Chair introduction

The council members introduced themselves.

4) Elsevier negotiations update – Denise Pan

Denise Pan, Associate Dean in Libraries, gave an update on the Elsevier negotiations.

UW finalized the Elsevier contract in late December 2019. It is a three-year agreement that includes more than 2,500 journal titles. The total cost is over $7.7 million with an overall projected cost reduction of over $890 thousand over the term of the contract. Pricing data and full-text of the 2020-2022 license can be found on SPARC (Scholarly Publishing and Academic Resources Coalition) Big Deal Knowledge Base.

FCUL and the Faculty Senate were involved in the negotiation process by providing faculty input. Faculty members pushed for better terms, which helped during the final phase of the negotiation process.

Subscription reviews will begin in Spring 2020. The focus will be on building awareness with the UW community and external partnerships. There will be communication on proposed serial cancellations.
The council asked for an update on Open Access implementation. There have been efforts recently on moving towards a new repository. Meetings are ongoing with no timeline at this point for completion, but the council will be kept updated on any progress.

5) Good of the order

Chair Hill shared that Justin Wadland from the UW Tacoma Library will be at the next meeting to give an update on the UW Tacoma Library / Learning Commons project.

There was also discussion about future topics for the council, including digital scholarship and UW Press.

6) Adjourn

The meeting was adjourned at 3:54 p.m.

Minutes by Jordan Smith, jjsmith4@uw.edu, assistant to the chair

Present: Faculty: Trent Hill, Julie Nicoletta, Juliet Shields, Randy Otto, Helene Williams, Douglas MacLachlan, Khalfani Mwamba
Ex-officio reps: Jackie Belanger, Kate O’Neill, Ellen Barker
President’s designee: Betsy Wilson
Guests: Gordon Aamot, Denise Pan

Absent: Faculty: Michael Kucher

Exhibits

Exhibit 1 – Elsevier negotiations update
Elsevier Negotiations Update & Collections Budget Outlook

Faculty Council on University Libraries

January 8, 2020 | 2:30 p.m. – 4:00 p.m.
Suzzallo 5th Floor Conference Room East

Denise Pan, Associate Dean for Collections & Content

UNIVERSITY LIBRARIES
Outline

Reflection / Recap
• Libraries overall collections budget / Serials Crisis
• Broader context for Elsevier / major big deals for 2019

UW / Elsevier
• Current status and implications
• Next steps and campus engagement
Recap: The Serials Crisis
Unsustainable & Inequitable

Increasing serials expenditures

Decreasing funds for other collection and service needs

An issue for ALL Libraries since the 90s - UW is no exception

* Budget forecast & hypothetical flat budget scenario

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Legend:
- **Serials (fixed 6%)**
- **Monographs**
- **ILL (2.9% of Budget)**
- **Cataloging Plus (1.5% of Budget)**
UW Big Deal Negotiations 2019

• Three journal packages - Elsevier ScienceDirect, Springer Nature, and SAGE - scheduled to end December 31, 2019
• Combined cost ~$4M nearly 25% of total publically-funded collections budget
  • Elsevier: ScienceDirect and Scopus are our single largest and most expensive contractual agreement. We negotiate directly with Elsevier
  • Springer and SAGE: negotiated via regional consortia, the Orbis Cascade Alliance (39 colleges and universities in Oregon, Washington, and Idaho)
  • Current publisher agreements do not allow us to disclose terms
• Unsustainable publisher annual price increases averaging 5-6% (compared to the 1.5 - 2% rate of inflation)
UW Big Deal E-Journal Packages 2019

According to the Chronicle of Higher Education

UW is #12 among 4-year public institutions that spend the most (25%) on Subscriptions
Elsevier Contract Finalized

January 3, 2020

I’m pleased to announce the Elsevier Negotiation Team, comprised of UW faculty and librarians, successfully negotiated a new journal subscription contract with Elsevier. The three-year agreement maintains access to publications that include more than 2,500 journal titles in the life, physical, medical, technical, and social sciences for the total cost of over $7.7M USD with an overall projected cost reduction of over $890K USD over the term of the contract. Pricing data and the full-text 2020-2022 license is available on the SPARC Big Deal Knowledge Base…

Sincerely,

Lizabeth (Betsy) Wilson

Vice Provost for Digital Initiatives and Dean of University Libraries
Major Milestones 2019

• **Late January:** Initial negotiation meeting with UW Libraries
• **April 10:** Faculty Council on University Libraries support and proposal
• **May 16:** Faculty Senate Faculty approved Class C Resolution
• **June 4:** Convened the [Elsevier Negotiation Team](#)
• **Oct 28:** Convened the [Alternative Access Committee](#)
• **Late October to early December:** nearly weekly meetings and calls
• **December 3-30:** nearly daily emails and phone calls
• **December 30:** Contract signed

Exhibit 1
UW Bargaining Priorities: June 4, 2019

UW Faculty Senate votes to support UW Libraries bargaining and licensing priorities in scholarly journal subscription negotiations

1. Bringing down subscription costs and increases to a sustainable level that will not imperil other collection and service needs
2. Ending NDAs to allow the Libraries to disclose their contractual terms and permit greater market transparency
3. Allowing interlibrary loan to facilitate resource sharing
4. Protecting the rights of users to share articles with students and colleagues
5. Ensuring the privacy and data security of all users
6. Protecting the ability of students and researchers to continue to access journals and articles
7. Supporting the University’s Open Access policies by allowing re-use and embargo-free deposit rights and protecting researchers’ copyright in their own research
8. Enabling greater market flexibility and responsiveness by negotiating contracts on a 3-year basis
9. Providing equitable service and access to information for all our library users
UW Sustainable Model: Elements

We began negotiations focused on three elements:

- **Cost Containment** to provide collections for UW
- **Confidentiality** to support research for the public good
- Supporting UW Campus with
  - Interlibrary Loan
  - Author Rights
  - Open Access Workflows
  - Accessibility
  - Early Termination Clause
  - Privacy/Personal Data Processing
UW Elsevier Agreement

Terms 2020 to 2022

• **Cost Containment** to provide collections for UW

• **Confidentiality** to support research for the public good

• Supporting UW Campus with access to information
  - Interlibrary Loan*
  - Author Rights*
  - Open Access Workflows*

• **Accessibility***
  - Early Termination Clause
  - Privacy/Personal Data Processing*

* Will propose in 2022 for future agreement
UW Big Deal Packages

Pricing data & full-text 2020-2022 license is available on SPARC Big Deal Knowledge Base.
UW Elsevier Checklist

1. Bringing down subscription costs and increases to a sustainable level that will not imperil other collection and service needs ✅ See Schedule 1 “Subscribed Products/Access/Fees” Page 10

2. Ending NDAs to allow the Libraries to disclose their contractual terms and permit greater market transparency ✅ See 7.8 “Confidentiality” - “INTENTIONALLY DELETED” Page 8

3. Allowing interlibrary loan to facilitate resource sharing ✅ See 1.3 “Authorized Uses” Page 1-2

4. Protecting the rights of users to share articles with students and colleagues ✅ See 1.3 “Authorized Uses” Page 1-2  ▪ Pilot for International Interlibrary Loan (ILL) Page 2

5. Ensuring the privacy and data security of all users  □ See 7.6 Page 10 and UW PDPA

6. Protecting the ability of students and researchers to continue to access journals and articles ✅ See Schedule 1.1 “Post Termination Access to Subscribed Titles” Page 12

7. Supporting the University’s Open Access policies by allowing re-use and embargo-free deposit rights and protecting researchers’ copyright in their own research □ Letter of commitment for collaboration “to make sincere, good faith efforts to develop a practical, tangible Green OA pilot”

8. Enabling greater market flexibility and responsiveness by negotiating contracts on a 3-year basis ✅ See “Schedule 1” Page 10

9. Providing equitable service and access to information for all our library users  □ See 2.5 “Accessibility” Pages 6-7 (Agreed to “reasonable efforts”, Not to “shall comply”)
Financial Projections
Next Steps & Timeline

• 2020-2022 Sustainable Scholarship Communication
  • Building awareness with UW community and External partnerships
  • **Subscription Reviews starting Spring 2020 and beyond**
    • February: Discuss improving communication with UW faculty and students
    • March: Communication plan presented
    • End of March: Annual Review Meeting with Provost
    • April: Sharing proposed serials cancellations
• Establishing priorities for next phase of agreements