

**University of Washington**  
**Faculty Council on Tri-Campus Policy**  
February 22<sup>nd</sup>, 2018  
9am – 10:30am  
Odegaard Undergraduate Library 320

**Meeting Synopsis:**

1. Call to order
  2. Review of the minutes from January 25<sup>th</sup>, 2018
  3. Update on the changes to Tri-campus Curriculum Review
  4. Faculty governance issues on each campus – collecting information
  5. Good of the order
  6. Adjourn
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**1) Call to order**

The meeting was called to order at 9:00 a.m.

**2) Review of the minutes from January 25<sup>th</sup>, 2018**

The minutes from January 25<sup>th</sup>, 2018 were approved as written.

**3) Update on the changes to Tri-campus Curriculum Review**

Stein explained changes developed by the FCTCP for the tri-campus undergraduate curriculum coordination process were not reviewed by the SEC in the body's most recent meeting, but will be included on the April SEC agenda.

**4) Faculty governance issues on each campus – collecting information**

Stein explained an idea has emerged for the FCTCP to engage in an effort to assess the state of faculty/shared governance on the three UW campuses, and to make part of that task gathering information concerning critical issues on each campus in relation to faculty governance. She explained in order to engage in preliminary fact-finding, several faculty guests from all three UW campuses have joined the meeting. After fact-finding, a final report will be developed summarizing the council's findings. Stein then introduced Mike Townsend (Secretary of the Faculty, Faculty Senate & Governance) who provided the council with an overview of issues related to shared governance.

*Presentation by the Secretary of the Faculty*

Mike Townsend (Secretary of the Faculty, Faculty Senate & Governance) gave a presentation on the framework for shared governance at the UW and its authorization under Washington State law. He used a PowerPoint as part of his presentation (Exhibit 1).

Townsend noted the Revised Code of Washington (RCW) 28B.20.200 states: “[T]he faculty of the University of Washington ... shall have charge of the immediate government of the institution under such rules as may be prescribed by the board of regents.” Moreover, Executive Order II (ratified May 31, 1956) states “By virtue of the authority vested ... by the Board of Regents ... and in conformity with the statutes of the state ..., the President authorizes the faculty to share with him and the academic deans responsibility for the formulation of rules for the immediate government of the University...” (Exhibit 1). Another slide was shown listing additional documents that authorize and/or detail faculty governance at the UW (Slide 3, Exhibit 1).

Several slides were shown on the process for the induction of new UW schools as it relates to the UW Board of Regents (BoR) and the Faculty Code (Slides 4-8, Exhibit 1). Specific examples were given, such as the induction of the Milgard School of Business at UW Tacoma in 2004. Townsend explained after some discussion that interpretation of Executive Orders is carried out by the Provost and the head of UW Academic HR.

A slide was shown and some comments made concerning UW unit organization as it relates to directives within Faculty Code Chapter 23 (Slide 11, Exhibit 1). It was clarified that each UW school or college should have an Elected Faculty Council (EFC) per Faculty Code Section 23-45.C.

A recent evaluation of EFC bylaws at the Senate level found that some EFCs were made up of members who had not been elected (a requirement under Faculty Code Section 23-45.C). Another issue related to EFCs-involved faculty members who hold appointments as department chair or higher sitting on the councils (with vote) (Faculty Code Section 23-45.C).

Townsend was thanked for presenting.

*Issues on each campus or shared by campuses / feedback from members and guests*

Stein explained it might be useful to the initiative to identify three to four key issues/discrepancies relating to faculty authority across the campuses, which may provide evidence that can be used to demonstrate need for changes. She asked for feedback from members and guests.

A guest felt questions need to be hashed out concerning whether UW schools on the other campuses are included under the related regulations set down in the Faculty Code. He explained this point ties in with donor funding, something important to his own school.

One guest felt that the membership of the Board of Deans and Chancellors (BoDC) should be reevaluated given that deans on the other campuses do not participate in that Provost-level meeting. Another member commented that some deans from the other campuses formerly expressed they did not want to attend BoDC as the meeting agendas were Seattle-centric. It was clarified the BoDC is not an entity included/referenced within the Faculty Code. Another member felt one solution might be for the other campuses to have their own “Boards of Deans,” however there was some discussion of this occurring in varying capacities already. It was noted the chair of the Faculty Senate currently holds regular meetings with the chairs of the faculty organizations of the other campuses.

Promotion and Tenure process discrepancies between campuses were raised as another potential item that could be included with the initiative. There was some discussion of known differences, including a

campus-level review body existing at the other campuses that currently does not exist at UW Seattle. It was noted the issue relates to the differing structures within each of the three campuses.

There was some discussion of the resources available to faculty (at any UW campus) who believe the Faculty Code is being violated. This discussion highlighted several options for faculty including a sequence of steps provided for in the Faculty Code, which include faculty adjudication. It was explained the 11 university-level Faculty Councils might also propose legislation altering the Faculty Code to remove vagueness. Finally, the Secretary of the Faculty and the Faculty Senate Chair were identified as a critical resource to faculty.

A member noted there seems to be underrepresentation of faculty from UW Bothell and UW Tacoma in the Faculty Senate. Other members agreed, but noted in the past that the issue possibly related to the logistics of attending Senate meetings at UW Seattle for members of the other campuses.

A guest noted access to other-campus counterparts and the understanding of lateral relationships is an important consideration. She explained what might seem to be minor shifts in practice for one unit/department/office at one campus can have large repercussions at the other campuses. It was noted timely communication among the campuses is very important in relation to this point.

A guest recommended resource allocation considerations – including faculty workloads, working budgets, programs to be grown, programs to be shrunk, and level of involvement of faculty in making these choices – is another potential item relating to the initiative.

Enrollment management and admissions practices were raised as additional issues. After discussion, it was noted the FCTCP would receive a report from members of the Undergraduate Enrollment Management (UEM) Taskforce on the topic in a future (2017-18) meeting.

### *Outcomes*

Stein questioned how the FCTCP might document or collect information that could inform next year's related efforts. She noted faculty leaders at each of the UW campuses might be asked their opinions, for example. She asked for feedback.

A guest noted FCTCP could question how EFC bylaws and resource allocation is handled in each school. It was noted reaching out to all UW EFCs is a very large task, but remains a possibility.

A member felt a taskforce with ample representation from UW Bothell and Tacoma should be developed to investigate faculty governance structures on each campus.

Stein noted she would like a FCTCP report to identify some top issues, as well as document what is similar among all three campuses and what is different (relating to faculty/shared governance). It was noted this report would be useful in the FCTCP communicating its interests with the new UW provost (planned to begin in August, 2018).

Stein concluded this discussion is the first step that has been taken in trying to determine similarities and differences in how things are addressed across the three campuses. She explained the objective now is to begin the discussion, and to increase levels of faculty governance on the three campuses. Another member noted FCTCP beginning to unravel these issues and the related history has been a

useful exercise. Stein noted FCTCP communicating with the new provost is of the utmost urgency, and laying the groundwork for that communication is the current goal of the initiative.

Stein noted FCTCP will continue gathering information. Members thanked FCTCP guests for joining the meeting.

#### 5) Good of the order

Nothing was stated.

#### 6) Adjourn

The meeting was adjourned at 10:30 a.m.

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*Minutes by Joey Burges, jmbg@uw.edu, council support analyst*

**Present:**                   **Faculty:** Marcy Stein (Chair), Brent Lagesse, Joseph Tennis, Ehsan Feroz, Kyle Crowder  
**Ex-officio reps:** Gowri Shankar, George Sandison, Ka Yee Yeung-Rhee, Navid Azodi, Ziyang Bai  
**President's designees:** Patricia Moy  
**Guests:** LeAnne Jones Wiles, Mike Townsend, Thaisa Way, Gunnar Almgren, Sergio Davalos, Linda Watts, Matthew Tolentino, Cinnamon Hillyard, Deanna Kennedy, JaeRan Kim, Robert Macgregor

**Absent:**                   **Faculty:** Megan Eagen-Torkko  
**Ex-officio reps:** Annette Anderson, Lauren Pressley, Claudia Gorbman  
**President's designees:** Susan Jeffords, Jill Purdy

#### Exhibits

Exhibit 1 – aspectsofsharedgovernance\_secfac\_fctcp\_winter2018.ppt

# SOME ASPECTS OF SHARED GOVERNANCE AND THE THREE CAMPUSES

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Mike Townsend  
Secretary of the Faculty

# Authorization for the Faculty to Share in the Formulation of Rules

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**“[T]he faculty of the University of Washington ... shall have charge of the immediate government of the institution under such rules as may be prescribed by the board of regents.”**

**> RCW 28B.20.200**

**“By virtue of the authority vested ... by the Board of Regents ... and in conformity with the statutes of the state ..., the President authorizes the faculty to share with him and the academic deans responsibility for the formulation of rules for the immediate government of the University....”**

**>Executive Order II (of the President), May 31, 1956**



# Main Governance Documents

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## -Board of Regents Resolutions.

(<http://www.washington.edu/admin/rules/policies/BRG/BRGTOC.html>)

## -Delegations of Administrative Authority.

(<http://www.washington.edu/admin/rules/policies/PO/AOTOC.html>)

## -Administrative Policy Statements.

(<http://www.washington.edu/admin/rules/policies/APS/APSTOC.html>)

## -Main Shared-Governance Documents.

### >Executive Orders (President—with *input* from Faculty).

(<http://www.washington.edu/admin/rules/policies/PO/EOTOC.html>)

### >Faculty Code (Faculty—with *approval* from President).

(<http://www.washington.edu/admin/rules/policies/FCG/FCGTOC.html>)



# Executive Order V.2—What is a School?

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-“The campuses of the University of Washington, Bothell (UWB), and the University of Washington, Tacoma (UWT) are designed and operated similarly to a departmentalized collegiate unit at the University of Washington, Seattle, with programs equivalent to departments ... the Regents of the University of Washington have created colleges or schools within the campuses of the University, and departments within campuses and colleges. *If the Regents create colleges or schools within the campuses of the University of Washington, Bothell, or the University of Washington, Tacoma, headed by a dean, then for those UWB or UWT colleges and schools the words colleges and schools and dean in the Faculty Code and Governance shall have the same meaning as they do at the University of Washington, Seattle.*”



## **Example: Board of Regents Designates Milgard as a Faculty Code School--February 20, 2004**

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**-"CONSENT AGENDA ...The Board of Regents established the Milgard School of Business on June 13, 2003. At that time, the status of the School was that of a program headed by a Director, similar to other programs at the University of Washington, Tacoma. On June 30, 2004, President Huntsman, after substantial consultation with the Faculty Senate and the Faculty organizations of UWT and UWB, approved revisions to a series of executive orders which begin to redefine the relationships among the three campuses. Among those revisions was [Executive Order V], which now establishes ... procedures should the Regents determine that an internal unit within UWT or UWB is to be designated as a Collegiate-level unit to be headed by a Dean. ...The Milgard School of Business has now reached a maturity, size, support structure, and status, with full potential for further growth to warrant its change of status from a program to be the first Collegiate-level School at the UW Tacoma, headed by a Dean. "**



# Executive Order IX.3—Who are the Schools?

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-“The following schools, originally authorized by distinct action of the Board of Regents in the year designated, have been established within the University of Washington, Tacoma:

Milgard School of Business (2004)

School of Interdisciplinary Arts and Sciences(2014)

Institute of Technology (2016)

School of Education (2016)”



## Executive Order IX.4

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-“The following schools, originally authorized by distinct action of the Board of Regents in the year designated, have been established within the University of Washington, Bothell:

School of Business (2013)

School of Interdisciplinary Arts and Sciences (2013)

School of Science, Technology, Engineering, and Mathematics (2013)

School of Educational Studies (2014)

School of Nursing and Health Studies (2014)”



# **Faculty Code Section 23-11**

- "Campuses, Colleges, and Schools

[See Executive Order No. IX, Campuses, Colleges, and Schools.]"



# Board of Deans and Chancellors

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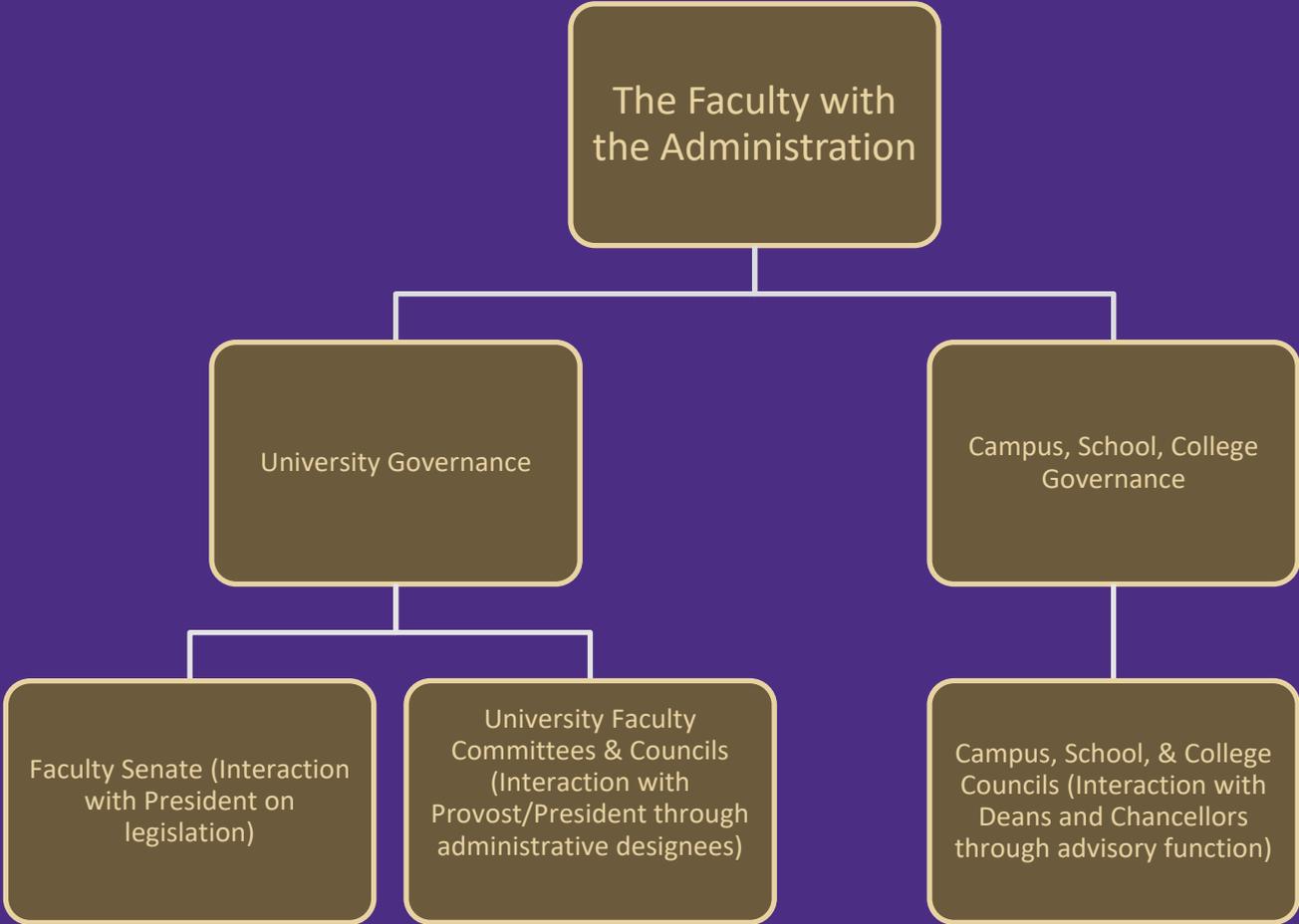
-“The board of deans and chancellors considers matters of special interest related to educational policy, administrative processes and operations under the presidential orders, the faculty code and governance, the student governance and policies, and the employment and administrative policies. ”

><https://www.washington.edu/provost/board-of-deans-and-chancellors/>

-Although the board includes the Chancellors of Bothell and Tacoma, it does not include their “Deans.”



# Shared Governance



# UNIT ORGANIZATION

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**-“The faculty of a campus, college, or school is its governing body, and under the provisions of this chapter may exercise direct control of its affairs or may delegate such control as it deems appropriate to an executive committee, council, or other committee or committees.”**

**>Section 23-41**

**“Subject to [some restrictions], the faculty of each campus, college, or school other than the Graduate School shall determine its own organization and rules of procedure .... The organization and rules of procedure of a department may be determined by the department faculty, but shall be subject to review by the appropriate campus, school, or college faculty.”**

**>Section 23-45.A.**



# By-Laws

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- By-laws are documents that describe the way in which the Deans and Chancellors and the faculties are to practice shared governance and vary in ways that reflect the particular culture of an academic unit. Among other things, by-laws determine the organization and structure of elected councils and the procedure by which the members are elected.



# CAMPUS COUNCILS

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**“The University of Washington Bothell and the University of Washington Tacoma shall each have an *elected faculty council or councils that shall advise their respective chancellors on matters affecting the general welfare of their respective campuses, matters of faculty promotion and tenure, and on matters involving academic policy, including priorities, resource and salary allocation, and budgets.* [T]he faculty of each campus shall determine for itself the organization and structure of its council or councils and the procedure by which the members are elected.”**

**>Section 23-45.B.**



# Elected Faculty Councils of Schools

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**“Each school or college shall have an *elected faculty council or councils* which *shall advise the dean on matters of faculty promotion and tenure, and advise the dean on matters involving academic policy, including priorities, resource and salary allocation, and budgets.* ... [T]he faculty of each school or college shall determine for itself the organization and structure of its council or councils and the procedure by which the members are elected.”**

**>Section 23-45.C**



# **“Elected Faculty”**

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- The Code Cops are looking at this phrase.
- **“Elected”**: One extreme that is OK—list all eligible names with “yes” and “no” boxes. One extreme that is not OK—pass a by-law saying henceforth the EFC is made up of all full professors existing at the time EFC action is required.
- **“Faculty”**: One concern is the extent to which otherwise eligible faculty who hold senior administrative positions should be members of EFC’s.



## **“Advise ... matters”**

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- **Note the breadth of “matters.”**
- **There is a wide variation in the amount of “interaction” envisioned by the word “advise.” While that word certainly doesn’t go so far as “advise and consent,” the Provost’s office expects it to go beyond mere “listening,” especially as it relates to unit priorities and budgets.**



# Extra Promotion Step From Executive Order V.2?

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-“If the Regents create colleges or schools within the campuses of the University of Washington, Bothell, or the University of Washington, Tacoma, headed by a dean, then for those UWB or UWT colleges and schools the words colleges and schools and dean in the *Faculty Code and Governance* shall have the same meaning as they do at the University of Washington, Seattle. In such cases, prior to forwarding any recommendation on to the University President or Provost, a dean at UWB or UWT shall forward the recommendation first to the chancellor of the campus, who shall be advised, as appropriate, by a campus-elected faculty body as provided in the *Faculty Code* ....”



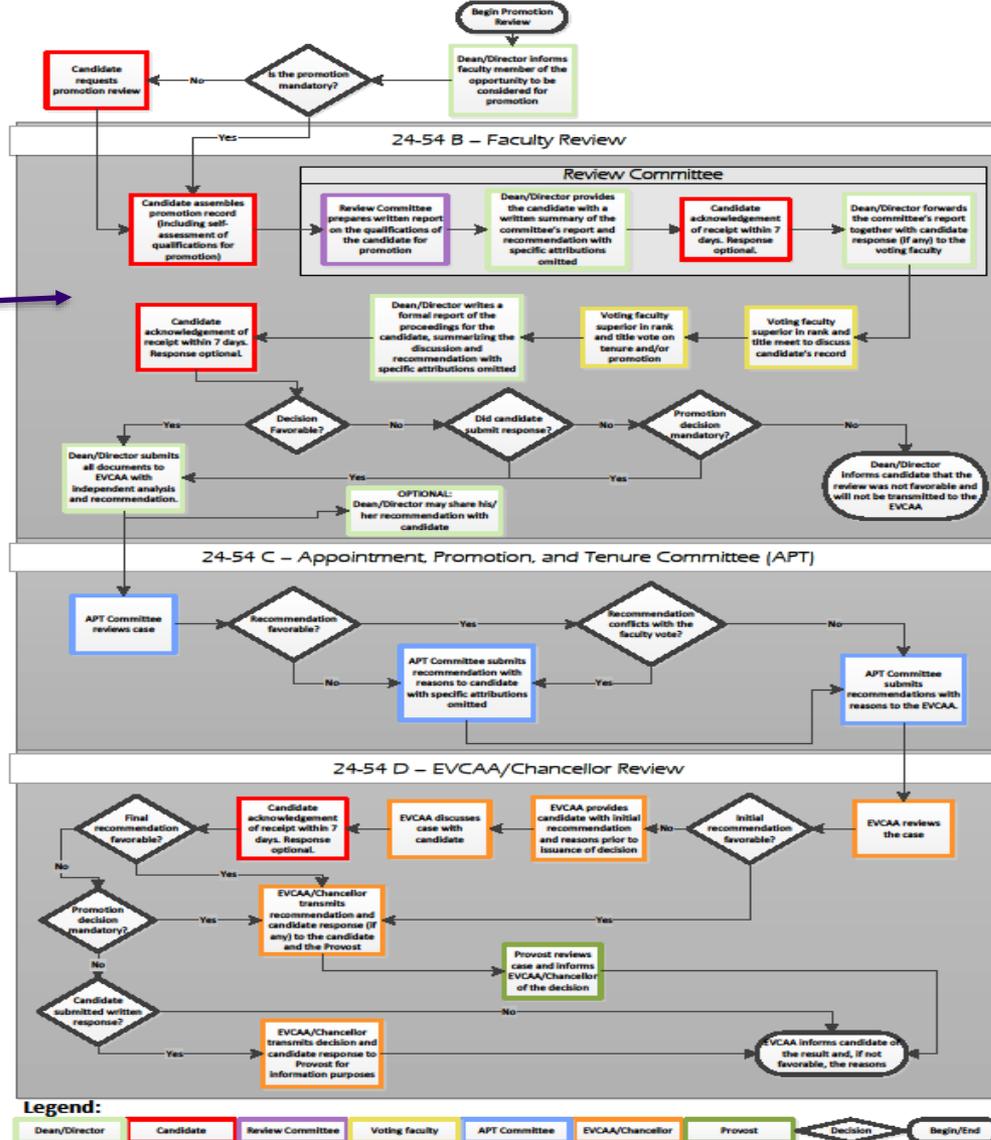
# Faculty Code Section 24-54.C

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-“The dean shall be advised by a committee or council of the college or school. This advisory group, elected by the faculty of the college or school, shall consider each case presented to it and submit its recommendations with reasons therefor to the dean. If the recommendation of the committee or council is not favorable, or if it conflicts with the faculty vote, then the council or committee recommendation with reasons therefor shall be provided to the candidate. For purposes of confidentiality, specific attributions shall be omitted and vote counts may be omitted from this report. In a departmentalized school or college, when a candidate for promotion is under consideration, any member of the committee or council who is also a member of the candidate's department may be excused.”



# Promotion Review Process UW Tacoma



Nothing about School EFC



# Senate Representation—Faculty Code 22-41.6.b

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-“Each school, college, or campus shall elect one senator for each 40 voting faculty, or fraction thereof, in the school, college, or campus.”



# Thank You

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