

University of Washington
Faculty Council on University Libraries
October 11th, 2017
2:30 p.m. – 4:00 p.m.
Suzzallo 5th Floor Conference Room East

Meeting Synopsis:

1. Call to order
 2. Welcome
 3. Review of the minutes from June 7th, 2017
 4. Purpose and agenda building
 5. Open Access Pathways (Gordon Aamot)
 6. ALUW Open Access Policy (Gordon Aamot)
 7. New Scholarly Communication and Publishing Department (Cynthia Fugate/Gordon Aamot)
 8. New Fine Policy (Cynthia Fugate)
 9. Visit to Suzzallo Library Starbucks (The Library has generously procured \$5 gift cards for committee members for the Starbucks visit).
 10. Adjourn
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1) Call to order

Hill called the meeting to order at 2:30 p.m.

2) Welcome

New and returning members were welcomed back to the council for the 2017-2018 academic year. Members and guests introduced themselves.

3) Review of the minutes from June 7th, 2017

The minutes from June 7th, 2017 were approved as written.

4) Purpose and agenda building

Hill mentioned FCUL's charge as it appears within the Faculty Code: "FCUL shall be responsible for all matters of policy relating to libraries such as, but not limited to, collection development; services to students, faculty, and others; the system of libraries, including branch libraries; space needs; and budgetary requirements. Faculty Code, Sec 42-41." He explained much of the work of the council involves providing feedback to UW Libraries on various initiatives. He noted he met with chair of the Faculty Senate, Thaisa Way, to develop the council's charge letter (Exhibit 1), and also met with Betsy Wilson (Vice Provost for Digital Initiatives and Dean of Univ. Libraries) and confirmed that FCUL will be

involved in providing input on initiatives for digital scholarship, offsite shelving and moving collections, and open educational resources for students during 2017-2018.

5) Open Access Pathways (Gordon Aamot)

Gordon Aamot (Director, Scholarly Communication and Publishing) provided a status update on the ongoing open access initiative. He explained the Faculty Senate reviewed a draft UW Open Access Policy twice during the 2016-2017 academic year. Questions surrounding various aspects of the policy's impacts as well as pathways for implementing the policy itself surfaced, and no vote was taken. During the summer of 2017, the Advisory Committee on Intellectual Property, Policy, and Practice (ACIP3) worked through legal considerations concerning implementation of the policy; recommendations are planned to be developed in late October or early November, 2017.

Aamot gave out a handout with a flowchart describing potential pathways for implementation of an Open Access Policy for both an opt-in and opt-out policy developed by ACIP3 (Exhibit 2). The main difference between the options is the opt-out policy is executable via a non-exclusive license granted to the university to use faculty works. It is not clear if this pathway would require that approval be given on behalf of faculty members one time (via legislation) or every time a faculty member makes a submission to the university's repository. Aamot noted it is also not clear if there will be a consensus within ACIP3 on a single recommended implementation pathway. Theoretical/policy concerns related to implementation are described on the backside of the handout, along with "practical concerns" (Exhibit 2). ACIP3 has also recommended a new position be created in UW Libraries to aid with the efficacy of the new policy.

Council input

A member pointed out that though the opt-in policy option may seem more likely to be able to be voted through the Faculty Senate and approved by UW voting faculty, it may be less effective in bolstering faculty usage of open access, which is the ultimate goal.

Aamot explained the university does not want to inhibit faculty authors from publishing with a journal of their choosing, and so there must be a mechanism for faculty to "opt-out" of submitting to open access.

There was some discussion of ResearchGate (a social networking site for scientists and researchers to share papers, ask and answer questions, and find collaborators), and several members noted increasing visibility of faculty works through open access (and other mechanisms like ResearchGate) would make the open access initiative more attractive to UW faculty.

6) ALUW Open Access Policy (Gordon Aamot)

Aamot explained the Association of Librarians of the University of Washington (ALUW) has approved its own Open Access Policy, which became effective September 1, 2017. He provided a copy of the Policy (Exhibit 3). Aamot noted there are only roughly 120 librarians at the UW as opposed to the near-14,000 faculty members, making it easier to disseminate and vote on an Open Access Policy for librarians. He explained the Policy also covers librarians from the UW Law Library. Following approval by vote, an

implementation team was charged, and 16 publishers were notified. Aamot commented the Policy is additionally useful as a pilot program for the possibility of implementing a university-wide Open Access Policy.

Members congratulated the ALUW on approving and implementing the policy.

7) New Scholarly Communication and Publishing Department (Cynthia Fugate/Gordon Aamot)

Cynthia Fugate (Senior Associate Dean of Libraries, University Libraries) explained a new Scholarly Communication and Publishing Department (SCP) was created within the UW Libraries in the fall of 2016. The new department now has five members, and has responsibility for copyright, electronic theses and dissertations (EAD), open access initiatives, and outreach to Libraries staff and the university community on matters relating to scholarly publishing. One member of the office has a legal background in copyright. The department will be the center of the UW Libraries' effort to provide support to the university on these matters by way of a more programmatic, proactive approach.

8) New Fine Policy (Cynthia Fugate)

Fugate explained to the council that the Libraries' Loan Policy was altered. Under the new terms, standard loan items will not be fined as long as they are in a renewable status (20 days beyond when they become due). The change accommodates concerns from faculty and graduate students requesting further unfettered access to collections.

9) Visit to Suzzallo Library Starbucks (The Library has generously procured \$5 gift cards for committee members for the Starbucks visit)

Council members were given gift cards to use at the newly-opened Starbucks location located on the 1st floor of Suzzallo Library.

10) Adjourn

The meeting was adjourned at 3:38 p.m.

Minutes by Joey Burgess, jmbg@uw.edu, council support analyst

Present: **Faculty:** Trent Hill, Randall Leveque, Betty Bekemeier
Ex-officio reps: Navid Azodi, Tim Zastrow
Guests: Gordon Aamot, Cynthia Fugate, Tom Helleburg, Ellen Barker

Absent: **President's designee:** Betsy Wilson
Faculty: Richard Furman, Michael Kucher
Ex-officio reps: Kate O'Neill, Susanne Redalje

Exhibits

Exhibit 1 – fcul_chargeletter_17-18.pdf

Exhibit 2 – Open Access ACIP3 Subcommittee Summary[28238].pdf

Exhibit 3 – aluw_openaccesspolicy_fall2017.pdf