

University of Washington
Faculty Council on University Libraries
January 13th, 2016
2:30 p.m. – 4:00 p.m.
Suzzallo 5th Floor Conference Room East

Meeting Synopsis:

1. Call to order
 2. Review of the minutes from December 9th, 2015
 3. Report from the Vice Provost and Dean of University Libraries
 4. 2016 Triennial User Surveys (Steve Hiller, Director of Libraries Assessment and Planning)
 5. Open Access Initiative Update (Gordon Aamot, Interim Director of Collections and Content Strategy)
 6. Good of the order
 7. Adjourn
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1) Call to order

Lattemann called the meeting to order at 2:30 p.m.

2) Review of the minutes from December 9th, 2015

The minutes were approved unanimously as amended.

3) Report from the Vice Provost and Dean of University Libraries

New conservation lab

Wilson (President's Designee) explained that the new conservation lab (previously discussed in FCUL) has been under construction for six months and is nearing completion. The state-of-the-art conservation center located within Suzzallo Library will allow for the University to conduct in-house complicated conservation treatments of very old books, manuscripts, scrolls, and other delicate pieces. The center will be officially opened in April 2016, and Wilson explained the council will also be given a tour of the facilities when opportune.

Open textbooks

Wilson noted that Fugate has charged a group to conduct an investigation on available open textbook opportunities for UW instructors. Following this investigation, a series of open textbook workshops have been scheduled for January 20th. The workshops are available to faculty, librarians, and instructional staff, and attendees will also be awarded \$200 for reviewing one open textbook. Members from the University of Minnesota Open Textbook Network (OTN) will be present to provide some background on using open materials in higher education institutions, Wilson explained.

Allen Library / new exhibit

A new exhibit has been installed in the Allen Library North Lobby titled "Swimming Upstream: Salmon in the Pacific Northwest." The exhibit includes historical photos, artworks, stories, and other elements

highlighting the role of Salmon in the Pacific Northwest. Wilson explained the exhibit will be available to the public until March 14th.

Author talk / "Shake, Rattle – and Rebound"

Wilson noted that Seattle Times reporter and acclaimed-author Sandi Doughton will give a talk on the UW Seattle campus (Kane hall), on January 21st, titled: "Shake, Rattle – and Rebound." The talk will revolve around the author's own research, relating to the notion that a large destructive earthquake is imminent for the Pacific Northwest region – which is also the subject of Doughton's 2013 book, "Full-RIP 9.0."

4) 2016 Triennial User Surveys (Steve Hiller, Director of Libraries Assessment and Planning)

Steve Hiller (Director of Assessment & Planning, Libraries Administration) explained the libraries' 2016 Triennial User Survey has been conducted every three years since 1992, and is designed to locate the library-related needs of UW faculty and students, and to help coordinate efforts to better support faculty in their work. He explained the survey is part of a broader program of qualitative techniques utilized by the UW libraries to examine user activity and plan future services, and is broadcast to all faculty (all tenure-track, research, fulltime lecturers, etc.) and students, which has not changed since the survey's inception.

Hiller explained that 2013 survey results (37% faculty response rate) helped to shape strategic directions and new library programs. The council held some discussion of the last 2013 survey results, including questions over how "library contributions" is defined. It was noted the term is not expressly defined in the survey, and may vary based on one's academic field. The council was given a brief summary of some of the findings of the last survey. Hiller explained faculty rated their own satisfaction with library contributions and services as "very high," whereas "collections" and "access" were rated as most important offered services by the libraries.

Hiller explained the Triennial User Survey will be launched again in mid-April, 2016. He asked that FCUL members pre-test this survey in March, and provide feedback. It was noted the survey is short, and takes about ten minutes to complete. Lattemann posed that the council take the survey together during its March meeting.

It was noted faculty will be more willing to participate in this survey if they are shown the results of the survey the last time it was broadcast, and are able to see the impacts the results had on the libraries' ability to provide more directed and useful services thereafter.

5) Open Access Initiative Update (Gordon Aamot, Interim Director of Collections and Content Strategy)

Gordon Aamot (Interim Director, Collections and Content Strategy, University Libraries) and Thom Deardorff (University Libraries Copyright Officer) were present and explained they have updates for the council relating to the work of their Open Access (OA) Working Committee, including revisions made to the OA Policy draft reviewed by the council in a previous meeting (Exhibit 1).

It was noted after meeting with various groups and individual stakeholders, including Clark Shores of the UW-branch Attorney General's Office - the timeline for submittal of the OA Policy draft to the faculty

senate is still intact, and the committee hopes to submit the policy for review to the faculty senate in time for that body's March 28th meeting.

Aamot explained the working committee found that University of Minnesota currently has an effective yet succinct Open Access policy, which is organized well despite its brevity. He explained some differences from the last version of the OA Policy draft that the council previously reviewed. He noted the draft UW OA Policy now no longer includes procedural information, and the reference to Executive Order No. 36 has been removed. Additionally, the "Scope and Waiver" section has been revised, and made more similar to the policy at the University of Minnesota. In the "Implementation and Oversight" section, it was found there are already process-related systems in place and so the section was truncated.

Council feedback

Lattemann and others commented that making the policy more succinct is good change, and explained it does make sense to remove some procedural information.

After discussion, it was agreed a short history section should be incorporated into the OA policy to provide a background of how and why it was developed. It was noted the "Class C resolution on Open Access" does a good job of framing the current initiative and its origin.

Council members thanked the working committee and members of the libraries for responding to the faculty senate's "Class C resolution on Open Access." It was noted the working committee had asked the AG's Office the best way to proceed with the OA Policy, and were told that faculty and administration should work together to devise a policy fit for the university. It was noted legislation may come from the Faculty Council on Research or from FCUL to go to the SEC, and through the senate process for approval.

Lattemann asked if there is any more input from the Council for guests. She explained any comments at this point are useful in the continued drafting of the policy.

Deardorff explained the policy is now close to what it may look like in its final draft.

Needs assessment of ResearchWorks / survey

It was noted that the libraries had assembled and charged a group to conduct a "needs assessment" of the UW repository that is currently in-use, *ResearchWorks*. As a result, a short survey was developed and broadcasted to nearly 3500 UW faculty members (12% response rate), with questions designed to gauge the overall use of the repository, locate reasons faculty may not be utilizing it, and to distinguish which elements of the repository are useful, or require improvement.

It was explained that the respondents were from UW Seattle mainly, and of those, faculty from the School of Medicine responded most frequently. It was clarified that full professors comprised most of the responding group, as well.

The first question of the survey asked faculty "where they share their work," and it was found that most faculty simply receive personal requests for their works over email, to which they respond through email, with the work attached.

It was explained that the survey results largely supported the notion that not many faculty are actively using the current UW repository. One cause of this is thought to be that the service has not been effectively advertised, and many faculty are simply unaware of its existence. Most faculty provided “neutral” feedback on the current repository, though there were comments revealing some faculty sentiment that the repository is difficult to use, awkward, and takes too much time to submit works.

It was noted that “ease of depositing” (works) was found to be the most important element of a new or improved repository for faculty, with “enhanced discoverability” being the second most important aspect. Many responders had questions as to why they were being surveyed, and questioned if there is need for another university repository. It was noted some responders expressed they hope a new repository is staffed well-enough for it to be effectively maintained and kept operational.

Lattermann explained that the one positive aspect of a UW-housed repository, is being able to consult with the author of the scholarly work right on campus, or relatively nearby. She noted this is a huge asset, as the UW community is “one of its own best resources.” She explained this should be promoted as one positive element of a new or improved UW-housed repository.

Nicoletta explained to FCUL members of the UW-Tacoma (UW-T) libraries did a great deal of research to utilize repository software “bepress” at that campus. It was noted some consultation should occur between UW Seattle librarians and UW-Tacoma, to locate the reasons why “bepress” was ultimately chosen as the repository software to be used there.

Lee asked if the comments/common themes of this survey will be responded to in the adjoining FAQ document to the OA Policy, to which the answer was yes.

The council thanked the guests for the information, and for their efforts in helping to improve the current UW repository.

6) Good of the order

Lattermann reminded the Council they will meet at UW-Bothell for their February 10th meeting, to take a tour of the UW Bothell Libraries’ facilities.

7) Adjourn

Lattermann adjourned the meeting at 3:39 p.m.

Minutes by Joey Burgess, jmbg@uw.edu, council support analyst

Present: **Faculty:** Dianne Lattermann, Randall Leveque, Julie Nicoletta, Carole Lee, Betty Bekemeier

Ex-officio representatives: Anuv Vaghul, Susan Redalje

President’s designee: Betsy Wilson

Guests: Cynthia Fugate, Gordon Aamot, Thom Deardorff, Steve Hiller

Absent: **Faculty:** Lauro Flores, Trent Hill, Clay Mountcastle, Jevin West, Kristin Gustafson

Ex-officio representatives: Ellen Barker, Beth Kerr

Exhibits

Exhibit 1 – 151229 OA Policy Draft Dec 29

Discussion Draft UW Open Access Policy

December 29, 2015

Purpose.

As a public university, the University of Washington is dedicated to making its research and scholarship freely and widely available to the people of Washington and the broader research community. In addition to the public benefit, the following policy is intended to serve faculty interests by: promoting the visibility and accessibility of their work, resulting in greater impact and recognition; helping them retain distribution rights; and aiding preservation of the scholarly record.

Policy and Grant of Rights.

Faculty grant to the UW a non-exclusive, irrevocable, worldwide license to exercise, and to allow others to exercise, any and all rights under copyright relating to his or her scholarly articles, in any medium, for the purpose of making their articles freely and widely available in an open access repository. This policy does not transfer copyright ownership to the University.

Scope and Waiver.

This policy applies to all scholarly articles authored or co-authored while a person is a member of the Faculty except for articles completed before the adoption of this policy.

The Provost or Provost's designate will waive this requirement or delay access for a specified period of time for a particular article upon express direction by the Faculty member. Grant of such a waiver is mandatory, not at the discretion of any person or group.

Implementation and Oversight of Policy

The Provost or Provost's designate will be responsible for implementing and interpreting this policy, and recommending changes to the Faculty from time to time.