

University of Washington
Faculty Council on University Libraries
May 13th, 2015
2:30 p.m. – 4:00 p.m.
Suzzallo 5th Floor Conference Room East

Meeting Synopsis:

1. Call to Order
 2. Approval of the Agenda
 3. Approval of the Minutes from April 8th, 2015
 4. Vice Provost's report
 5. Libraries open access update
 6. Chair's Report on recent SEC meeting and Faculty Senate meeting
 7. Good of the Order
 8. Adjourn
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1) Call to Order

Lattemann called the meeting to order at 2:35 p.m.

2) Approval of the Agenda

The agenda was approved as written.

3) Approval of the Minutes from April 8th, 2015

The minutes from April 8th, 2015 were approved as written.

4) Vice Provost's report

Retirements

Wilson (president's designee) explained the UW libraries have recently sustained a number of retirements in various leadership positions. She explained at the end of July 2015 library leadership will meet to make decisions on position reconfigurations for those that have become recently vacant. One of the retirees is Charles Lord, Director of University of Washington Tacoma Library. Wilson mentioned that there are three identified candidates to take over his position at UW Tacoma (UWT), Jennifer Sundheim, Lauren Pressley, and Pat Hawthorne. Wilson explained another prominent retiree is Thom Deardorff, Coordinator for Access Services. Wilson noted Michelle Bachelor (former Head of Access Services, UWT) will be taking over his position in July, 2015.

Deduplication of serial print runs

Wilson reported that in the coming summer 2015 the UW libraries will be conducting a deduplication of serial print runs. She noted this is in part to create space, as the library stacks are nearly at capacity. The first priority will be looking at JSTOR (Journal Storage Digital Library) titles, she explained.

Lattermann asked with a new librarian at UW Tacoma and at UW Bothell if there is consideration of integration with the UW Seattle campus. Wilson noted she has been working with the new Chancellor at Tacoma as well as other individuals to ensure that the new director is well connected with colleagues throughout the university. Wilson explained the director of the Tacoma library will be included in the ongoing organizational review initiative.

5) Libraries open access update

Tim Jewell (Director, Information and Scholarly Services) was present to discuss the recently passed Class C Resolution on Open Access and to clarify additional follow-up items which are now necessary subsequent to the resolution's passing.

He explained an earlier draft of the resolution included details for a "university-wide open access policy," but this piece was later cut in the final version and replaced with a designation for Betsy Wilson (Dean of University Libraries) to champion an effort to work with faculty and senate leadership to develop a similar policy with greater feedback.

Jewell noted he has been communicating with members of other institutions which have sustained increased use of open access and/or have a university-wide open access policy, including the University of California system (UC system). He emphasized the importance of devising an open access policy that will not be rejected by the UW community (occurred at UC system in 2008), as this rejection will result in largely increased time-to-acceptance of any alternative policy. It is important to develop a policy that is going to satisfy all stakeholders, he explained. According to members of the University of California, the policy should be brief and clear, including no intention to cover all possible situations that may arise. The UC system chose not to include the policy in their faculty handbook (faculty contracts) to improve flexibility, though at University of North Carolina Chapel Hill, a policy is included in employment contracts.

Jewell went on to discuss a number of points in his report to the council, including:

- The university will need to decide between an opt-in or opt-out policy. Many critics argue that an opt-in policy, which allows faculty to deposit scholarly works into a repository if they choose to do so (but is not the default option) is not an effective option for increasing submissions to open access on the part of faculty.
- The UW Libraries should seek to provide comprehensive information to faculty concerning their publications and the relationship between open access and publishers/journals.
- Funding, though an important component in bolstering open access, should not be the center of every discussion around the topic, as it will likely hinder progress significantly.
- It is important to continue raising visibility of the issue.

Jewell then spoke of the current repository at the UW, *ResearchWorks*. His main points included:

- The need to engage faculty in conversations concerning what it means for the university to have a "world-class open access repository" (as prescribed by the Class C resolution).
- The UW's open access repository is currently ranked #156 in the nation, as listed on a relevant website. Jewell identified the top-rated repositories and open access systems as belonging to

University of California, Georgia Tech, University of Nebraska, and Harvard. Jewell explained he would look into these institutions to identify keys to their strengths, both for their repositories and policies.

- Work has already begun in identifying areas of improvement for *ResearchWorks*; Jewell noted that usability is a priority for most, if not all, successful repositories. Work will continue through summer 2015, with a general aim to unveil an improved repository in the following fall.

Designs for an improved repository include providing a “suite of functions” for publishing faculty (i.e. no longer just a simple repository archive, but with much greater utility and functionality for faculty). Discussions favoring these priorities point to the prominent repository software *Symplectic Elements* as one option for the UW. Steps are being taken to trial this software.

- Jewell also mentioned the importance of reputation management for the UW and its faculty, manifested through the presentation of UW works in the repository.
- Jennifer Ward (Head, Information Technology Services) is the lead point person in the current initiative to improve *ResearchWorks*.

Council feedback

Lattemann asked if Jewell has had an opportunity to talk with University of California members concerning any financial or legal ramifications they had encountered after bolstering open access and implementing their university-wide policy. Jewell replied he had not asked about this, but they have been very friendly and helpful so far and would likely be amenable to answering questions of this sort.

Lattemann noted UNC-Chapel Hill’s open access policy is written into their “faculty code,” and thus how do students operate under the policy. Jewell explained he did not know, though he is aware that the policy passed at UNC was designated only for tenure-track faculty, and not all faculty. Ziskina noted she knows a student at UNC-Chapel Hill whom she can consult with over the question.

A member noted maintenance costs will likely be substantial for a new or improved repository, and urged that these be factored into total cost approximations. Another requested the web address for the website that ranks repositories (referenced earlier by Jewell). Jewell noted he would send this web address to the council electronically. Another important aspect noted was the importance of exceptional support services.

Nicoletta noted it is important for the process to submit works to the repository to be as simple as possible. She noted in looking at Harvard’s policy that they have an opt-out system, which suits their situation. Jewell explained the opt-in/opt-out question is a very important aspect of the policy for the UW community to grapple with. He also noted it is not just the strength of the policy which needs to be focused on, but exceptional support services need to be made available for submitters, as well.

6) Chair’s Report on recent SEC meeting and Faculty Senate meeting

Lattemann reported that the Faculty Council on Research’s Class C Open Access resolution was passed by the Faculty Senate on April 23 and received numerous positive comments over the importance of increasing open access efforts at the UW.

In addition, Lattemann noted at the annual faculty council chair's luncheon council chairs agreed that the university is in a good place to strengthen its position on increasing the use of open access; this may manifest in improvements to the current repository, or possibly in a university-wide open access policy. She explained that the council should expect to receive regular updates on the state of open access at the university throughout the 2015-2016 academic year.

7) Good of the Order

After question, nothing was stated for the good of the order.

8) Adjourn

Lattemann adjourned the meeting at 4:00pm.

Minutes by Joey Burgess, jmbg@uw.edu, council support analyst

Present: **Faculty:** Lauro Flores, Kimberlee Gills-Bridges, Trent Hill, Dianne Lattemann (chair), Julie Nicoletta, Jevin West
Ex-Officio reps: Susanne Redalje, Juliya Ziskina, Ellen Barker, Beth Kerr
President's designee: Betsy Wilson
Guests: Cynthia Fugate, Tim Jewell

Absent: **Faculty:** Randall Leveque, Clay Mountcastle
Ex-Officio reps: Katherine Simms