

MINUTES OF THE SENATE EXECUTIVE COMMITTEE
142 Gerberding Hall
2:30 p.m., Monday, 2 May 2005

Present: Senate Chair Heath; Vice Chair Emery, Group Representatives: Rogers (II), Buck (IV, Perkins (VII), Welton (VII), Lam (VIII); Secretary of the Faculty Vaughn; Faculty Council Chairs: Janssen (FCAS), Kanal (FCET), Carline (FCIQ), Luchtel (FCFA), Stewart (FCR), Schwartz (FCSA), Schaufelberger (FCUFS), Martin (FCUL); Wadden (SCPB), Faculty Legislative Representative Stygall, GPSS Representative Grupp, Acting Provost Thorud,

Absent: President Mark Emmert*, Group Representative Coldewey (I)* Swalla (III)*, Hardy (V)*, Christie (VI); UW Bothell Representative Watts*, UW Tacoma Representative Jackson*; UW Faculty Council Chairs: Erdly (FCEO)*, Boxx (FCBR)*, Killien (FCTCP) *, Seifer (FCUR); Special Committee Chair Mandoli (SCFW), Fabien (SCMFA) *, ASUW Representative Knowles, Assistant to the President Niccolls*

*=excused

Guest: Professors Patricia Brandt (FCBR), Mandy Schivell (SCFW) and Jill Garnder (SCFW), David Lovell (Deputy Faculty Legislative Representative), Ms. Lizabeth Wilson, Director of Libraries,

The meeting was called to order at 2:35 p.m. The agenda, as amended, was **approved**. The minutes for the Faculty Senate and SEC were **approved**.

Opening Remarks from the Chair – G. Ross Heath

This is the last Senate executive Committee meeting for the 2004-05 academic year, so I will take the opportunity to thank you all for a productive and enjoyable year. The quality of discussion in this body is as high as I have seen during my time here. It has been enormously helpful.

I will save extended closing remarks until the full Senate meeting next week. I have to note, however, that although this has been a productive year, there is plenty left for Ashley and Gail to sink their teeth into over the years ahead.

In looking back over the foci of the Senate for the past couple of years, I see significant progress and contributions in a number of areas:

- Intercollegiate athletics. The structures are in place to allow substantial academic input. The next year or so will tell how well the new scheme works.
- Tri-Campus planning. The Senate-initiated retreat last fall identified many opportunities. When the new Provost comes aboard, the administration will have to decide where synergy and where autonomy best meet the needs and aspirations of the 3-campus university.
- Student learning goals. The Senate has emphasized the importance of focusing at the individual professor, class, and student level.
- Competitive compensation. The Senate has been an active player in developing the concept of an institutional performance contract and in working with legislators on Olympia. This has been a relatively successful year, but must be repeated for several more years to close the peer salary gap.
- Diversity. The Senate's participation in the Diversity Appraisal and the elevation of the Special Committees on Faculty Women and Minority Faculty Affairs to full Council status have positioned us to move ahead in this area.

- Management of scarce resources. The solid work of the Senate Committee on Planning and Budgeting, under Doug Wadden's leadership this year, has had many impacts on budget requests and implementation, as well as other policy issues.

New provost. All members are urged to participate in the upcoming interviews of an outstanding trio of provost candidates.

"Visibility." We have a continuing problem, both in Olympia and more locally, with a lack of understanding of what we do. SEC Members are urged to present our case to as many forums as they can.

In discussion after Heath's remarks, two members agreed that a Faculty Foundation would be a useful device for informing the faculty as well as helping our legislative representative. We would need to be aware, however, of restrictions on public sector faculty.

Report from the President – David Thorud, Acting Provost for President Mark Emmert

Thorud, after thanking the body for an enjoyable term as acting Provost, characterized this legislative session as the best in the last ten years. While there are still some structural gaps, the economy is getting better and higher education is getting more attention. Thorud did point out that represented employees will start their pay increases on 1 July while other employees will have to wait until 1 September. The administration did not agree with this legislative policy, and is not sure how they will manage this difference. It may be that this practice is an unfair labor practice, but this will need to be debated.

Donna Kerr will be the new Secretary of the Faculty, and comes to us from the College of Education. At the same time, he thanked Lea Vaughn for her six years of service in this role.

Unfortunately, he noted that we were once again in the news for practices involving our human subjects research program as part of a routine audit. We were behind on notifying our supporters about this, and have responded forthrightly to the audit, promising to correct the identified deficiencies. For example, we will need to process applications more expeditiously and assure that we are following all HHS regulations. This is a huge task, involving upwards of 700,000 subjects in roughly 6,000 projects. In response to a question, Brent Stewart (Chair, FCR) outlined what involvement the Faculty Council on Research has with the office. Helen McGough, Director, and Karen Moe, Ass't Director, will be visiting the council at its next meeting. The need for avenues of faculty involvement was stressed. Thorud noted we also have faculty colleagues on six different IRB committees. Heath noted that the process is switching from a paper to electronic mode, which will make tracking and compliance easier.

Effective August 1, the dean of the School of Social Work will be stepping down and an acting dean will be appointed until the search committee completes its work. Steve Olswang has been appointed interim chancellor at Bothell, and will resign his Provost's duties effective 30 June 2005. A search is being organized for a new Bothell chancellor, hopefully to be completed within the year.

Report from the Senate Committee on Planning and Budgeting – Douglas Wadden, Chair and Former Chair, Faculty Senate

Following on Thorud's comments, Wadden outlined salary and budget discussions. Next Monday is the deadline for submitting a budget to the Regents, and on 9 June 05, they are scheduled to approve the final budget. Wadden agreed with Thorud's characterization of this budget as a "decent" one that goes a long distance in addressing university needs.

As proposed, the budget proposes a 3.2% salary increase in the first year (2% for merit, and 1.2% for additional merit), and a 1.6% in the second year (which would be augmented to 2%). Funds have also been allocated for promotions, retention and recruitment, and other faculty salary needs. Thus, a broad array of faculty needs is addressed. It is hoped that there will be additional enhancements in FY2007. Some allocations await discussion with the President before the budget can be finalized. There are allocations for classroom renovations and technological changes; Architecture and Guggenheim have received virtually full funding. Generally, the House budget prevailed over the Senate budget.

Report on Legislative Affairs – Gail Stygall, Legislative Representative

Stygall agreed with the characterization of the budget, and noted that the final budget was arrived at through a process of real negotiation. She provided details on the funding for various allocations, noting that some is coming from the Educational Trust Fund (which has its genesis in some of the "sin" taxes). Referring to the differing salary raise dates for represented and non-represented employees, Stygall commented that this difference was also mirrored in differential health care premiums. Gov. Gregoire, she noted, did not approve of the legislature making this distinction. The 7% tuition increase will involve a 25% giveback to the legislature, effectively making the increase about 5.25%. There will be some one-time allotments to various University centers. Stygall concluded that it was pleasant to *not* be required to apologize for the budget situation this year.

Report from the Secretary of the Faculty – Lea Vaughn

The current issues report is excluded because the council chairs met recently; rather, Vaughn asked council chairs to submit their annual reports as soon as possible. Also, she reminded the group representatives that the next and last Senate meeting will be preceded by elections for group representatives. Finally, there was a 29% increase in voting in this year's elections.

Nominations and Appointments

- a. David Lovell, Research Associate Professor, Psychosocial and Community Health, was **approved** for an appointment as Legislative Representative beginning 16 September 2005.
- b. Referring to the attachment generated by the Nominations meeting, the body **approved** the nominations as listed.

Faculty Council Nomination for terms beginning September 16, 2005 and ending September 15, 2008.

Faculty Council	Last Name	First Name	Group	Department Name	Chair
Adjudication Panel	BADEN	HARRIS	7	Pediatrics	
	BREIDENTHAL	ROBERT E	6	Aeronautics and Astronautics	

	GALLUCCI	VINCENT F	3	Aquatic and Fishery Sciences	
	GILLIS-BRIDGES	KIMBERLEE	1	English	
	KILLIEN	MARCIA G	8	Family and Child Nursing	
	LOVELL	DAVID G.	8	Psychosocial & Community Health	
	MC CANN	BARBARA	7	Psychiatry & Behavioral Sciences	
	STACEY	ROBIN C	4	History	
Special Committee on Minority Faculty Affairs (Proposed New Name - Faculty Council for Multicultural Affairs)	FABIEN	BRIAN C	6	Mechanical Engineering	Yes
	FU	QIANG	3	Atmospheric Sciences	
	HARDY	MICHELLE S	5	Aerospace Studies	
	HART	DANIEL W	4	American Indian Studies	
	KAUL	RAJINDER	7	Medicine	
Special Committee on Faculty Women (Proposed New Name- Faculty Council for Women in Academia)	BORSON	SOO	7	Psychiatry & Behavioral Sciences	
	GANDARA	BEATRICE K	8	Oral Medicine	
	IVORY	MELODY	1	Information School	
	JONES	AMANDA L.	7	Pediatrics	
	MANDOLI	DINA F.	3	Biology	Yes
	MC PHILLIPS	HEATHER A	7	Pediatrics	
	OLMSTEAD	MARJORIE A	3	Physics	
	POORKAJ-NAVAS	PARVONEH	7	Psychiatry & Behavioral Sciences	
	TEKKOK	SELVA B.	7	Neurology	
	THOMPSON	LUANNE	3	Oceanography	
Faculty Council on Academic Standards	BALAKRISHNAN	P V (SUNDAR)	9	Bothell--Business	
	BRIXEY	SHAWN	2	School of Art	
	DILLON	GEORGE L	1	English	
	JANSSEN	DONALD J	6	Civil and Environmental Engineering	Yes
Faculty Council on Benefits & Retirement	BOXX	KAREN E	5	Law	Yes
	GALLUCCI	BETTY J	8	Biobehavioral Nursing and Health Systems	

	HESS	ALAN C	5	Finance & Business Econ	
	SCHROEDER	CAROLE A	8	Psychosocial & Community Health	
Faculty Council on Educational Outreach	ERDLY	WILLIAM W	9	Bothell-Computer & Software Systems	Yes
	KEIFER	MATTHEW C	7	Environmental & Occupational Health Sciences	
	KYES	RANDALL C.	4	Psychology	
	OLAVARRIA	JAIME F	4	Psychology	
	ROGERS	MARGARET A	2	Speech & Hearing Sciences	
	WARNICK	BARBARA P	2	Communication	
Faculty Council on Educational Technology	CONROY	MATTHEW	3	Mathematics	
	HERRANZ JR.	JOAQUIN	4	Evans School of Public Affairs	
	KANAL	KALPANA M	7	Radiology	Yes
	MILLER	GREGORY R	6	Civil and Environmental Engineering	
	MORTON	THOMAS H	8	Oral Biology	
	SPIELBERG	FREYA	7	Family Medicine	
	TWEEDIE	JAMES	1	Comparative Literature	
Faculty Council on Faculty Affairs	CALLIS	JAMES B	3	Chemistry	
	GILL	ANTHONY J	4	Political Science	
	HILDEBRANDT	JACOB	7	Physiology and Biophysics	
	LUCHTEL	DANIEL L	7	Environmental & Occupational Health Sciences	Yes
	VAUGHAN	MICEAL	1	English	
Faculty Council on Instructional Quality	CARLINE	JAN	7	Medical Education and Biomedical Informatics	Yes
	GREENWALD	ANTHONY G	4	Psychology	
	MARTIN-MORRIS	LINDA	3	Biology	
	SALEHI-ESFAHANI	HAIDEH	4	Economics	
	WENDEROTH	MARY P	3	Biology	
Faculty Council on Research	FLUHARTY	DAVID L	3	Marine Affairs	
	HASELKORN	MARK P	6	Technical Communication	
	STEWART	BRENT K	7	Radiology	Yes

	WRIGHT	RICHARD A	1	Linguistics	
Faculty Council on Student Affairs	ANTONY	JAMES S	5	Education	
	FEARN-BANKS	KATHLEEN	2	Communication	
	LEADY	WILLIAM JAY JR	5	Military Science	
	PACE	CLARK B	2	Construction Management	Yes
	RASMUSSEN	DENNIS D	7	Psychiatry & Behavioral Sciences	
Faculty Council on Tri-Campus Policy	BARSNESS	ZOE IRENE	10	Tacoma-Milgard School of Business	
	KILLIEN	MARCIA G	8	Family and Child Nursing	Yes
	KRISHNAMURTHY	SANDEEP	9	Bothell--Business	
Faculty Council on University Facilities & Service	BERRY	DONNA	8	Biobehavioral Nursing and Health Systems	
	CHIZECK	HOWARD	6	Electrical Engineering	
	GATES	SARAH N	2	Drama	
	LITTLE	LAURA M	4	Psychology	
	RORABAUGH	WILLIAM J	4	History	
	SCHAUFELBERGER	JOHN E	2	Construction Management	Yes
Faculty Council on University Libraries	DE ROOS	ANNECLAIRE J	7	Epidemiology	
	KERR	F BETH	4	Psychology	Yes
	KORSHIN	GREGORY	6	Civil and Environmental Engineering	
	WILKINSON	CHARLES W.	7	Psychiatry & Behavioral Sciences	
Faculty Council on University Relations	BRAMHALL	JOHN S	7	Anesthesiology	
	HEVLY	BRUCE W	4	History	
	JACKSON	DOUGLASS L	8	Oral Medicine	
Special Committee on Legislative Matters	DOMINITZ	JASON	7	Medicine	
	FRIDLEY	JAMES L	6	Forest Resources	
	GRANT	THERESE M.	7	Psychiatry & Behavioral Sciences	
	KAUL	RAJINDER	7	Medicine	
	LOVELL	DAVID G.	8	Psychosocial & Community Health	
	ROGERS	MARGARET A	2	Speech & Hearing Sciences	
	WARD	DEBORAH H	8	Psychosocial & Community Health	

Reports from Councils and Committees

Faculty Council on Faculty Affairs (FCFA) – Daniel Luchtel, Chair

Report: Response to the Class C Resolution Regarding the Oversight of Funds from Non-state Sources (Winn Settlement), 24 October 2002)

Referring to the Council's fifteen-page report, Luchtel pointed out that this issue has a long history. The report, while complete, was controversial – not all faculty agreed with each point. The committee attempted to understand the administrative and shared governance system at the School of Medicine and the Medicare reimbursement arrangement. He and the council interviewed a number of people, including Dean Ramsey.

Dividing the report into four sections, he began with the most unsatisfactory part of the report which covered the settlement with Dr. Winn. Dr. Ramsey explained this as the cost of a plea agreement and lengthy legal proceedings. The FCFA felt that some of this explanation does still beg the question, and feels that more transparent explanations are still needed. Similarly, the explanation regarding the adjudicative process is not fully convincing in light of state statutory requirements regarding forfeiture of appointments for wrongdoing. Although some faculty disagree, the ultimate finding was that there was only one successful charge of over-billing involving Dr. Couser (Winn's settlement being for an obstruction of justice charge). Many see this as a result of complicated and conflicting Medicare regulations.

Regarding the Dean's Fund, the council made far more headway. The Dean's Fund is better described as a fund of parts of UW Medicine, largely from the clinical components. Total reserves from all sources total \$222 million; the Dean of Medicine has a reserve of \$21 million. (Report, pg. 6) Luchtel stated that trying to understand the billing culture is at the heart of this inquiry and there is a clear difference of opinion between the government and the school of medicine for how billing should be conducted. Many faculty at other schools around the country described UW's practice as routine while others described these as unethical. UW, however, was distinguished by the presence of a whistleblower, which allowed the government to make an example of the University for other university medical schools around the country.

The bottom line: One way to characterize this would be mismanagement and mis-billing culture. A second interpretation was that everyone was doing this, and Dr. Ramsey was acting in the best interest of the medical school, attempting to navigate through a very complicated territory. The council also made a set of recommendations regarding transparency and oversight of these funds. There was no finding of any improper use of these funds. They asked the SEC to accept the report and forward their recommendations to the President.

During discussion, Luchtel confirmed that Ramsey has direct control over his reserve fund of \$21 million, and that he did pay for part of the settlement from this fund. The dean does receive advice from the operating officers at the medical school with input from various departmental chairs. The dean is also the chair of UWP as well as dean of the medical school. The settlement was paid entirely from reserve funds, while the \$27 million in legal fees was paid from a variety of sources: insurance, departmental operating funds (affecting incentive pay in some departments), etc. Luchtel provided an overview of the structure of UWP, which is a separate non-public, corporate entity. Several people raised concerns about the governance of the UWP funds.

Heath addressed how he would like to use the report, characterizing the report "as a model of clarity" and "putting to bed a number of issues." Some people may continue to feel aggrieved, but this report does address most issues. He would like the SEC to accept the report. The recommendations would then be forwarded to the President. Luchtel noted that the report has been shared with Dean Ramsey, and that the Dean has been open and forthright with him, admitting he has made some mistakes and would be willing to follow through with some of the recommendations. In response to a question, Thorud said the dean does have a reporting relationship to the provost as a dean, while there are other reporting relationships that are to the President that involve the medical center. Heath noted that large state universities traditionally have difficulties with their medical schools. Focusing on the need for good governance, Luchtel pointed out that many of the SOM governance documents are out of date with the growth of the centers and the hospital. One person suggested that there needs to be greater central university involvement and oversight regarding the medical school, and that the Regents need to take a closer look at this issue. Heath promised to have a long conversation with Emmert about these issues, noting that the historical delegations of authority and review may need to be reviewed. Luchtel opined that much of the information about the medical school and its governance needs to be easier to find and publicly available. Finally, Stygall asked if the performance audits will affect the medical center; Thorud replied that the legislation is quite complicated and its reach is unclear.

Action: The body voted unanimously to accept the report and forward it to the President.

Information – Annual Remarks from Betsy Wilson, Director of University Libraries Hosted by the Faculty Council on University Libraries, Seelye Martin, Chair

Thanking the body for their invitation to speak, Wilson initially referred to a handout that was distributed at the meeting, describing her philosophy of turning issues into opportunities.

First, she gave an overview of the scope and organization of the university libraries which span all three campuses as well as a library at Harborview Hospital and Friday Harbor. We also run the library for Cascadia community college at Bothell. Thus, there are a total of 22 facilities with 1,000 employees, many of them students. The library also operates a long-standing assessment program, and works in collaboration with teaching efforts. Additionally, they also do a lot of strategic planning. Referring to the "Vision 2010" statement, Wilson outlined recent areas for focus: the "anytime anyplace" library, library space as high tech space, diversity and organizational culture, enlarging building capacity by focusing on grant activities, tripling the amount of grant funds since 2001, working with international organizations to leverage purchasing power, and fundraising. The library is about to start on a new round of strategic planning which will make use of focus groups, including students who do not use the library in order to understand where students get their information. Vision 2010 is where the library sees itself going but her organization wants to be sure that these aspirations are "in synch" with the rest of the university community.

Wilson highlighted the library's future by talking about the "Any Time Any Place Library." She also addressed faculty interest in maintaining a robust collection. The library remains extremely challenged by growing inflation costs for acquisition of journals, especially as faculty areas of research grow and expand. This has required close communication with faculty to understand new areas of emphasis. Finally, she drew attention to the Scholarly

Communication webpage, which tracks efforts that faculty can undertake to reduce the costs of journal acquisition. Wilson described "D Space" as well as other initiatives.

Wilson also described future directions for space planning, informed by the greater use of electronic resources. The emphasis would be on getting information to desktops as well as having off-site library storage at Sand Point of up to two million volumes. They would like to have two new consolidated libraries: one would be for a fine arts library and the second would be a new interdisciplinary health sciences library. Both would be funded by donor funds. This year forest resources was merged with natural sciences. Much of the consolidation responds to new approaches to interdisciplinary research while other efforts respond to new ways in which students use library space and resources. She also described new plans at South Lake Union for ways to support those efforts. One outstanding issue is how library costs are accounted in certain funded research in the ICR costs; this is a national issue.

Regarding Bothell and Tacoma, as the enrollments increase, it is more difficult to "fly below the radar" on vendor costs as they treat us as three universities rather than one university with three campuses. The library is committed to having the same access to information on all three campuses which may lead to increased costs. Similarly, if the two campuses become four-year institutions this will change costs.

In conclusion, we have emerged as one of a handful of outstanding national university libraries. To this end, conversations with FCUL and the Faculty Senate have been pivotal.

During discussion, Stewart (FCR) brought up ICR costs and noted that the medical center, which generates most of the ICR dollars, does not receive what it puts in. Wilson said they do not use a formula but use an information resources council to allocate costs. Luchtel (FCFA) asked about quiet zones in the library, and Wilson said that although the types of spaces have diversified, there are quiet spaces. He also discussed with Wilson the book budget for Health Sciences.

Class A Legislation – Second Consideration

Proposed Amendments Requesting a Name and Status Change for SCFW and SCMFA, *Faculty Code*, Volume II, Chapter 42

Faculty Council on Faculty Affairs – Daniel Luchtel, Chair

Vote: Approved.

New Business

a. Approval of Meeting Calendar for 2005-2006

Vote: Approved.

b. Review Agenda for Faculty Senate Meeting, 12 May 2005

Vote: Approved.

The meeting was adjourned at 4: 23 p.m.

SUBMITTED BY: Lea B. Vaughn, Secretary of the Faculty

APPROVED BY: G. Ross Heath, Chair, Faculty Senate